FILED Florida Engineers Management Corporation 8/14/2018 Clerk: Rebecca Valentine

STATE OF FLORIDA FLORIDA BOARD OF PROFESSIONAL ENGINEERS

FILED
Department of Business and Professional Regulation
Deputy Agency Clerk

CLERK Brandon Nichols
Date 8/14/2018
File # 2018-06561

FEMC Case No. 2017058960

FLORIDA BOARD OF PROFESSIONAL ENGINEERS,

Petitioner,	
v,	
THOMAS CHASTAIN, P.E.,	
Respondent,	

FINAL ORDER ADOPTING SETTLEMENT STIPULATION

THIS CAUSE came before the FLORIDA BOARD OF PROFESSIONAL ENGINEERS ("Board"), pursuant to Sections 120.569 and 120.57(4), Florida Statutes, on August 09, 2018 in Orlando, Florida, for the purpose of considering a Settlement Stipulation (attached hereto as "Exhibit A to Final Order") entered into between the parties in this cause. Upon consideration of the stipulation, the documents submitted in support thereof, and the arguments of the parties, it is hereby:

ORDERED AND ADJUDGED that the Settlement Stipulation as submitted be and is hereby adopted *in toto* and incorporated herein by reference. Accordingly, the parties shall adhere to and abide by all the terms and conditions of the stipulation.

This Final Order shall take effect upon being filed with the Clerk of the Department of Business and Professional Regulation.

DONE AND ORDERED this 13 day of August, 2018.

FLORIDA BOARD OF PROFESSIONAL ENGINEERS

Zana Raybon, Executive Director For KENNETH TODD, P.E., CHAIR

CERTIFICATE OF SERVICE

I HEREBY CERTIFY that a true and correct copy of the foregoing filed *Final Order Adopting Settlement Stipulation* has been furnished by U.S. First Class Mail to Thomas Chastain, P.E. at 2 Alan Road, Bayville, NY 11709 this 20 day of August 2018.

Rebecca Valentine.

Paralegal

STATE OF FLORIDA FLORIDA BOARD OF PROFESSIONAL ENGINEERS

FLORIDA BOARD OF PROFESSIONAL ENGINEERS,

Petitioner,	
V.	
THOMAS CHASTAIN, P.E.,	
Respondent,	

SETTLEMENT STIPULATION

FEMC Case No. 2017058960

THOMAS CHASTAIN, P.E. ("Respondent") and the Florida Engineers Management Corporation ("FEMC") hereby stipulate and agree to the following Joint Settlement Stipulation ("Stipulation") and to entry of a Final Order of the Florida Board of Professional Engineers ("Board"), incorporating this Stipulation in the above-styled matter.

STIPULATED FACTS

- 1. For all times pertinent hereto, Respondent, THOMAS CHASTAIN, P.E., was a licensed engineer in the State of Florida, having been issued license number PE 62367.
- 2. Respondent was charged with violations of Chapter 471, Florida Statutes, in an Administrative Complaint filed by the Florida Engineers Management Corporation, and properly served upon Respondent. True and correct copies of the filed Administrative Complaints are attached hereto and incorporated herein by reference as "Composite Exhibit A to Settlement Stipulation".

STIPULATED CONCLUSIONS OF LAW

1. Respondent, in Respondent's capacities as a licensed professional engineer admits that, in such capacity, Respondent is subject to the provisions of Chapter 471, Florida Statutes, and the

jurisdiction of the Department of Business and Professional Regulation ("Agency" or "Department"), FEMC, and the Board.

2. Respondent admits that the facts set forth in the Administrative Complaint, if proven, constitute violations of Chapter 471, Florida Statutes, as alleged in the Complaint.

STIPULATED DISPOSITION OF LAW

- 1. Respondent shall, in the future, comply with Chapters 471 and 455, Florida Statutes, and the rules promulgated pursuant thereto.
- 2. Should Respondent fail to comply with the terms of the Final Order, an administrative complaint for failure to comply with final order will automatically be opened against Respondent.
- 3. Respondent shall pay an <u>ADMINISTRATIVE FINE</u> of \$500.00 and <u>COSTS</u> of \$142.35 to the Board within thirty (30) days of the date that the Final Order adopting this Stipulation is filed with the Agency Clerk.
- 4. This Stipulation is executed by the Parties to avoid further administrative action with respect to this cause. In this regard, Respondent authorizes the Board to review and examine all investigative file materials concerning Respondent prior to, or in conjunction with, consideration of this Stipulation. Furthermore, should this Stipulation not be accepted by the Board, it is agreed that presentation to and by the Board shall not unfairly or illegally prejudice the Board or any of its members from further participation, consideration, or resolution of these proceedings.
- 5. So long as the Board accepts this Settlement Stipulation, Respondent shall expressly waive all further procedural steps and expressly waive all rights to seek judicial review of or otherwise challenge or contest the validity of the joint Stipulated Facts, Conclusions of Law, and the Final Order of the Board incorporating this Stipulation.

6. So long as the Board accepts this Settlement Stipulation, Respondent shall waive the right to seek any attorney's fees or costs from the Board in connection with this disciplinary proceeding.

7. The Parties hereto expressly agree and acknowledge that this Settlement Stipulation and the accompanying Final Order should not be construed in any way as a restriction on Respondent's license, a reprimand, warning, probation, revocation or suspension of Respondent's license. Instead, the Parties enter into this Settlement Stipulation and Final Order to fully and finally resolve any and all disputes between them.

WHEREFORE, the parties hereto request the Board to enter a Final Order accepting and implementing the terms contained herein.

Thomas Chastain, P.E.,

Respondent

Case No. 2017058960

Dated: __7/21/2018

APPROVED this 24 day of ____

. 2018

Zana Raybon, Executive Director Florida Board of Professional Engineers

Thomas & Charley

BY: John J. Rimes, III Chief Prosecuting Attorney FILED
Florida Engineers
Management Corporation
05/18/2018 Clerk: Rebecca Valentine STATE OF FLORIDA

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FLORIDA BOARD OF PROFESSIONAL ENGINEERS

FILED

epartment of Business and Professional Regulation

Deputy Agency Clerk

CLERK Evette Lawson-Proctor

Date 5/18/2018

File #

FLORIDA BOARD OF PROFESSIONAL ENGINEERS,

Petitioner,

v.

FEMC Case No. 2017058960

THOMAS CHASTAIN, P.E.,

Respondent,

ADMINISTRATIVE COMPLAINT

COMES NOW the Florida Engineers Management Corporation (FEMC) on behalf of Petitioner, Florida Board of Professional Engineers, hereinafter referred to as "Petitioner," and files this Administrative Complaint against THOMAS CHASTAIN, P.E., hereinafter referred to as "Respondent." This Administrative Complaint is issued pursuant to Sections 120.60 and 471.038, Florida Statutes. Any proceeding concerning this complaint shall be conducted pursuant to Section 120.57, Florida Statutes. In support of this complaint, Petitioner alleges the following:

1. Petitioner, Florida Board of Professional Engineers, is charged with regulating the practice of engineering pursuant to Chapter 455, Florida Statutes. This complaint is filed by the Florida Engineers Management Corporation (FEMC) on behalf of Petitioner. FEMC is charged with providing administrative, investigative, and prosecutorial services to the Florida Board of Professional Engineers pursuant to Section 471.038, Florida Statutes (1997).

- 2. Respondent is, and has been at all times material hereto, a licensed professional engineer in the State of Florida, having been issued license number PE 62367. Respondent's last known address is 2 Alan Road, Bayville, NY 11709.
- 3. Pursuant to Rule 61G15-22.006(2), Florida Administrative Code, "The Board will audit at random a number of licensees as is necessary to assure that the continuing education requirements are met." Pursuant to the provisions of the Rule, on July 18, 2017 FEMC requested the Department of Business & Professional Regulation (DBPR) to provide a list containing a random number of licensees who had renewed their licenses. This information was received from DBPR on July 21, 2017.
- 4. Respondent renewed Respondent's Professional Engineer license on January 24, 2017 and attested that Respondent had completed the required Continuing Education necessary for renewal as required by Section 471.017(3)(a), Florida Statutes, which provides in material part:
- "(3)(a) The board shall require a demonstration of continuing professional competency of engineers as a condition of license renewal or relicensure. Every licensee must complete 9 continuing education hours for each year of the license renewal period, totaling 18 continuing education hours for the license renewal period. For each renewal period for such continuing education:
- 1. One hour must relate to this chapter and the rules adopted under this chapter.
- 2. One hour must relate to professional ethics.
- 3. Four hours must relate to the licensee's area of practice.
- 4. The remaining hours may relate to any topic pertinent to the practice of engineering. Continuing education hours may be earned by presenting or attending seminars, in-house or nonclassroom courses, workshops, or professional or technical presentations made at meetings, webinars, conventions, or conferences, including those presented by vendors with specific knowledge related to the licensee's area of practice...."
- 5. On July 24, 2017, Respondent was provided with a Memo advising Respondent that Respondent was selected to participate in the audit process. On August 06 2017, Respondent responded to the Audit. Upon review of the information provided by Respondent, it was clear that

when Respondent renewed the PE license on January 24, 2017 Respondent lacked the required Ethics Course required for renewal as provided in Section 471.017(3), Florida Statutes.

- 6. In fact, Respondent did not complete the Ethics Course until August 12, 2017. In order to have been in compliance with Section 471.017 when Respondent certified that Respondent had taken and completed all required CE Courses Respondent was required to have taken and completed all required CE Courses, including the Ethics Course, by February 28, 2017.
- 7. Section 471.033(1)(a) provides in material part: "(1) The following acts constitute grounds for which the disciplinary actions in subsection (3) may be taken: (a) Violating any ... rule of the board or department. Rule 61G15-19.001(6)(s), Florida Administrative Code, provides in material part: "(6) [a] professional engineer shall not commit misconduct in the practice of engineering. Misconduct in the practice of engineering as set forth in Section 471.033(1)(g), F.S., shall include, but not be limited to: (s) Renewing or reactivating a license without completion of Continuing Education (CE) hours and subject areas as required by Section 471.017, F.S., and Rule 61G15-22.001, F.A.C."
- 8. By renewing Respondent's PE license without having completed the required Ethics Course at the time that Respondent certified that all required CE Courses had been taken, Respondent violated Section 471.033(1)(a) and Rule 61G15-19.001(6)(s).
- 9. Based upon the foregoing Respondent is hereby charged with violating Section 471.033(1)(a) and Rule 61G15-19.001(6)(s).

WHEREFORE, the Petitioner respectfully requests the Board of Professional Engineers to enter an order imposing one or more of the following penalties: permanent revocation or suspension of the Respondent's license, restriction of the Respondent's practice, imposition of an administrative fine, issuance of a reprimand, placement of the Respondent on probation, the

assessment of costs related to the investigation and prosecution of this case, other than costs associated with an attorney's time, as provided for in Section 455.227(3), Florida Statutes, and/or any other relief that the Board deems appropriate.

SIGNED this 18 day of May . 2018.

> Zana Raybon **Executive Director**

BY: John J. Rimes, III **Prosecuting Attorney**

COUNSEL FOR FEMC:

John J. Rimes, III **Prosecuting Attorney** Florida Engineers Management Corporation 2639 North Monroe Street, Suite B-112 Tallahassee, Florida 32303 Florida Bar No. 212008 JR/rv

PCP DATE: May 07, 2018

PCP Members: BRACKEN, MATTHEWS & FLEMING

CERTIFICATE OF SERVICE

I hereby certify that a copy of the foregoing was furnished to Thomas Chastain, P.E. at 2 Alan Road, Bayville, NY 11709, by certified mail and First Class U. S. Mail, on the Alondon , 2018.