

Florida Engineers Management Corporation

3rd Quarter Report

2015-2016



2639 North Monroe Street, Suite B-112
Tallahassee, Florida 32303
Telephone: (850) 521-0500; Fax: (850) 521-0521

www.fbpe.org



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FLORIDA ENGINEERS MANAGEMENT CORPORATION Quarterly Report in Compliance with Sections IV Deliverables

Third Quarter 2015-2016

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- B2. Compliance with priority referrals from the Contract Monitor, per Section IV, Contract Monitor, of the Agreement.
- B3. Information related to disciplinary actions.
 - a. A list and status of all complaints made during this agreement (open and closed, licensed and unlicensed). Status information should include:
 - The name of the complainant;
 - The name and license number of subject;
 - The date of the complaint;
 - The alleged violation;
 - The last action taken; and
 - The next appropriate action recommended.
 - b. A list of all cases FEMC closed as legally insufficient since the last report;
 - c. A list showing the status of compliance with all final orders with pending provisions;
 - d. A list of cases where the alleged violation is for unlicensed activity and the subject also holds a license with another profession within the department.
- B4. Information regarding the failure of FEMC to comply with any provisions of the contract.
- B5. A list of all legal cases where FEMC has not been the prevailing party. The list must include copies of final orders and specify cases where attorney fees have been awarded.
- B6. Corrective Plan as required by Section IV of the contract.
- B7. A detailed report of expenditures and cash balance.
- B8. Long Range Estimates of Revenue.

B9. Detailed information for the last quarter as required by contract:

- The number of license renewals;
- The number of license applications received;
- The number of licenses approved;
- The number of licenses denied;
- The number of licenses issued;
- The average time required to issue a license;
- The number of examinations administered;
- The number of applicants who passed the examination;
- The number of applicants who failed the examination;
- The number of complaints received;
- The number of complaints determined to be legally sufficient;
- The number of complaints dismissed;
- The number of complaints determined to have probable cause;
- The number of administrative complaints issued along with the status of the complaint; and
- The number and nature of disciplinary actions taken by the FBPE.

Section VIII. Deliverables

B1. Performance Standards

B1. Performance Standards

In order to facilitate efficient and cost effective regulation by the Florida Engineers Management Corporation ("FEMC"), the following performance standards and measurable outcomes are adopted:

- (1) FEMC shall make a determination of legal sufficiency within 30 days of receipt of a complaint.

In the Third Quarter of Fiscal Year 2015 / 2016, 41 total new complaints were received of which 26 were complaints against licensees and 15 were complaints against unlicensed individuals or entities. Of the new licensed complaints, 15 were deemed legally sufficient, 2 were deemed legally insufficient, and 9 were undetermined at the end of the Third Quarter, as they were still within the 30 days of determination and were still in the initial review process. Of the new unlicensed complaints, 11 were deemed legally sufficient, 2 were deemed legally insufficient, and 2 were undetermined at the end of the Third Quarter, due to being within the 30 days of determination and still in the initial review process.

- (2) Within fifteen days of receiving a complaint that is determined to be legally sufficient, FEMC shall furnish to the subject or the subject's attorney a copy of the complaint or document that resulted in the initiation of the investigation.

Of the 26 cases deemed legally sufficient, the subject (or subject's attorney) was notified and furnished a copy of the complaint, or, in the case of some unlicensed cases, furnished with a Cease and Desist Order resulting in 100% compliance with the Rule.

- (3) FEMC shall provide status reports to the Board regarding all outstanding disciplinary cases at every other regularly scheduled meeting of the Board. The status report shall include all legally sufficient disciplinary cases until entry of a final order by the Board. Upon entry of a final order, FEMC shall notify the licensee's employer of the action taken by the Board.

Status reports were submitted to the Board at the February 2016 FBPE meeting. As far as FEMC can reasonably ascertain the existence of an employer for Respondent, FEMC now has 100% compliance with that requirement.

- (4) FEMC shall refer to the Board any investigation or disciplinary proceeding not before the Division of Administrative Hearings pursuant to Chapter 120, Florida Statutes, or otherwise completed by FEMC within one year after the filing of a complaint.

Referenced in the previous quarterly report, at the end of the Second Quarter of the fiscal year 2015 –2016, 16 cases were identified as being more than one year old; and, of those 16 cases, 6 have been resolved. As a result of aging, there are 2 additional cases that reached year old status during the Third Quarter of Fiscal

B1. Performance Standards

Year 2015 / 2016 thus making a total of 12 cases which were more than one year old at the end of the First Quarter for the 2015 / 2016 Fiscal Year.

(5) FEMC shall notify the person who filed the complaint of the status of the investigation every six months, including whether probable cause has been found, when the case is on the agenda for consideration by the Board, and the status of any administrative proceeding or appeal.

FEMC is in 100% compliance with this section.

(8) Upon receipt of an application for a license, FEMC shall examine the application and, within 30 days after such receipt, notify the applicant of any apparent errors or omissions and request any additional information FEMC is permitted by law to require.

Type of Application	Applications Received	Applications in compliance	Applications not in compliance with 30 day notice
Engineer Intern	330	330	
Continuing Education Providers	21	21	
Continuing Education Courses	12	12	
Professional Engineer	64	64	
Endorsement	434	434	
Certificate of Authorizations	192	192	

(9) Every application for a license shall be approved or denied within 90 days after receipt of a completed application.

FEMC is in 100% compliance with the rule.

Applications Received and in 90 – Day Compliance	
January 2016	
Principles & Practice	13
Engineer Intern/Fundamentals	67
Continuing Education	9
Endorsements	144
Special Inspectors	1
Total	234
February 2016	
Principles & Practice	26
Engineer Intern/Fundamentals	72
Continuing Education	6
Endorsements	153
Special Inspectors	0

B1. Performance Standards

Total	257
March 2016	
Principles & Practice	34
Engineer Intern/Fundamentals	57
Continuing Education	4
Endorsements	205
Special Inspectors	1
Total	301
Grand Total for quarter	792

(10) If an applicant seeks a license for an activity that is exempt from licensure, FEMC shall notify the applicant and return any tendered application fee within 30 days after receipt of the original application.

FEMC is in 100% compliance with this section due to the fact that it has not received any application for an exempt activity.

(11) FEMC shall maintain the Board's web page and update the web page within 14 days of the date the updates go into effect. Administrative complaints shall be posted no later than 30 days after the recommendation by the probable cause panel. All active disciplinary cases shall be posted on the web page, including the final action taken by the Board until the terms of the final order are completed or until the licensee becomes inactive, retires, relinquishes the license or permits the license to become null and void.

FEMC is in 100% compliance with this section.

Section VIII. Deliverables

B2. Priority Referrals Compliance

B2. Priority Referrals

During the third quarter of 2015-2016, FEMC received one priority referral from the Attorney General's office concerning the Traffic Engineering Rules Committee. FEMC timely responded to the letter and the committee has since been discontinued.

Section VIII. Deliverables

B3a. Complaint Status List

B3a. – COMPLAINTS STATUS LIST FOR ALL CASES WORKED ON DURING 3rd QUARTER OF 2015 / 2016 FISCAL YEAR

No.	Case Number	Complainant Name	Name of Subject; License No. of Subject	Alleged Violation	Last Action Taken	Next Action Recommended
1.	2014009216	FEMC	Salsbury, Robert A. P.E. – 49852	Statute or Rule at Issue: Section 471.033(1)(g), Florida Statutes & Rule 61G15-19.001(4), Florida Administrative Code, by engaging in negligence in the practice of engineering. Section 471.033 (1)(j), Florida Statutes, by affixing or permitting to be affixed his or her seal, name or digital signature to any final to any final drawings, specifications, plans reports or documents that were not prepared by him or her or under his or her responsible supervision, direction, or control	Amended Petition for Formal Evidentiary Hearing filed	Negotiations
2.	2014031694	Modena, Roberta	Mitchell, Charles C. P.E. – 11127	Statute or Rule at Issue: Section 471.033(1)(g), Florida Statutes, & Rule 61G15-19.001(4), Florida Administrative Code, by engaging in negligence in the practice of engineering.	ADMINISTRATIVE COMPLAINT FILED	Going to DOAH
3.	2014037527	FBPE	Batterson, Richard Scott P.E. – 60853	Section 471.033(1) (c), Florida Statutes: Being convicted or found guilty of, or entering a plea of nolo contendere to, regardless of adjudication, a crime in any jurisdiction which directly relates to the practice of engineering or the ability to practice engineering.	ADMINISTRATIVE COMPLAINT FILED	This case is on hold until the conclusion of the appeal of the Criminal case
4.	2014039867	Anonymous	National Cost, Inc. Unlicensed	Statute or Rule at Issue: Section 471.023, Florida Statutes: Offering Engineering Services without a Certificate of Authorization.	Investigation Completed NOTICE TO CEASE & DESIST ISSUED	Set for Board meeting April 2016
5.	2014046334	REDACTED	REDACTED		Set to Consultant for Review	Receive opinion from Consultant and proceed accordingly
6.	2015011700	REDACTED	REDACTED		Under Investigation	Continue Investigation
7.	2015015700	REDACTED	REDACTED		Sent to Consultant for Review	Await Report from Consultant
8.	2015017686	Jonathan Bauer	Pacheco, Eduardo M. UNLICENSED	Unlicensed activity	Under Investigation NOTICE TO CEASE & DESIST ISSUED	Continue Investigation
9. UL	2015017687	FBPE	Wincad Group, Inc.	Firm practicing without certificate of authorization	Under Investigation NOTICE TO CEASE & DESIST ISSUED	Continue Investigation
10. UL	2015023010	William J. Ondulich	DWR Architecture and Engineering Inc.	Statute or Rule at Issue: § 471.023, FS: Offering Engineering Services without a Certificate of Authorization	NOTICE TO CEASE & DESIST ISSUED	Set for May 2016 PCP
11.	2015013030	REDACTED	REDACTED		Sent to Consultant for review	Receive Opinion from Consultant and proceed accordingly
12.	2015023328	REDACTED	REDACTED		Under Investigation	Continue Investigation

B3a. – COMPLAINTS STATUS LIST FOR ALL CASES WORKED ON DURING 3rd QUARTER OF 2015 / 2016 FISCAL YEAR

No.	Case Number	Complainant Name	Name of Subject; License No. of Subject	Alleged Violation	Last Action Taken	Next Action Recommended
13.	2015033401	Basile, Joseph	Griffin, Harry John II	Statute or Rule at Issue: Section 471.033(1)(g), F.S., & Rule 61G15-19.001(4) by engaging in negligence in the practice of engineering	Investigation Completed	Administrative Complaint issued
14. UL	2015034301	Anonymous	Gallow, Lane A. UNLICENSED	Unlicensed Activity	Investigation Complete NOTICE TO CEASE & DESIST ISSUED	Awaiting fully executed Affidavit from Subject
15.	2015036918	REDACTED	REDACTED		Under Investigation	Continue Investigation
16.	2015037392	REDACTED	REDACTED		Under Investigation	Continue Investigation
17.	2015038289	REDACTED	REDACTED		Investigation Completed	Set for May 2016 PCP
18. UL	2015038491	Anonymous	Murphy, Allison UNLICENSED	Unlicensed activity	Investigation Completed NOTICE TO CEASE & DESIST ISSUED	Close file
19	2015042782	REDACTED	REDACTED	Unlicensed Activity	Investigation Completed NOTICE TO CEASE & DESIST ISSUED	Awaiting fully executed Affidavit from Subject
20. UL	2015044271	FBPE	Sailors Engineering Associates UNLICENSED	Firm practicing without certificate of authorization	Under Investigation Notice to CEASE & DESIST ISSUED	Continue Investigation
21.	2015044275	REDACTED	REDACTED		Under Investigation	Continue Investigation
22.	2015045425	REDACTED	REDACTED		Under Investigation	Continue Investigation
23.	2015045726	REDACTED	REDACTED		Initial Review	Investigation
24. UL	2015047628	FBPE	Sinkholes, LLC UNLICENSED	Firm practicing without certificate of authorization	Under Investigation Notice to Cease & Desist Issued	Continue Investigation
25.	2015048800	REDACTED	REDACTED		Initial Review	Investigation
26.	2015048853	REDACTED	REDACTED		Initial Review	Investigation
27.	2015023764	REDACTED	REDACTED		Under Investigation	Continue Investigation

B3a. – COMPLAINTS STATUS LIST FOR ALL CASES WORKED ON DURING 3rd QUARTER OF 2015 / 2016 FISCAL YEAR

No.	Case Number	Complainant Name	Name of Subject; License No. of Subject	Alleged Violation	Last Action Taken	Next Action Recommended
28.	2015025318	Umme Trust	Thompson, Michael	Statute or Rule at Issue: Section 471.033(1)(g), Florida Statutes & Rule 61G15-19.001(4), Florida Administrative Code, by engaging in negligence in the practice of engineering	Investigation Completed	Set for May 2016 PCP
29.	2015032758	REDACTED	REDACTED		Sent to Consultant for Opinion	Receive Opinion and proceed
30.	2015035606	REDACTED	REDACTED		Investigation Completed	Set for May 2016 PCP
31.	2015036055	REDACTED	REDACTED		Under Investigation	Continue Investigation
32.	2015042786	REDACTED	REDACTED		Under Investigation	Continue Investigation
33.	2015042898	REDACTED	REDACTED		Investigation	Continue Investigation
34.	2015045620	REDACTED	REDACTED		Initial Review	Investigation
35.	2015045621	REDACTED	REDACTED		Initial Review	Investigation
36.	2015045622	REDACTED	REDACTED		Initial Review	Investigation
37.	2015045640	REDACTED	REDACTED		Under Investigation	Continue Investigation
38.	2015047351	REDACTED	REDACTED		Under Investigation	Continue Investigation
39.	2015018063	FBPE	Claiborne, Gaylon R.	Statute or Rule at Issue: Section 471.033(1)(g), F.S., & Rule 61G15-19.001(4) by engaging in negligence in the practice of engineering; Rule 61G15-23.002, F.A.C. A title block shall be used on each sheet of plans or prints and shall contain the printed name, address, and license number of the engineer who has sealed, signed and dated the plans or prints.	Investigation Completed	Administrative Complaint filed
40.	2015030471	Ellen Schafer	Standrod, Marcus Brian	Statute or Rule at Issue: Section 471.033(1) (a) & (i), Florida Statutes: Violating any provision of s. 455.227(1), s. 471.025, or s. 471.031, or any other provision of this chapter or rule of the board or department. Practicing on a revoked, suspended, inactive, or delinquent license	Administrative Complaint filed	Awaiting Election of Rights
41.	2015021498	Fitzgerald, Peter	Alexander, Charles	Statute or Rule at Issue: Section 471.033(1)(c), Florida Statutes: Having a license to practice engineering revoked, suspended, or otherwise acted against, including the denial of licensure, by the licensing authority of another state, territory, or country, for any act that would constitute a violation of this chapter or chapter 455.	Investigation Completed	Administrative Complaint filed
42. UL	2015003839	Comtis, Ana	CaDavid, Rodrigo UNLICENSED	Statute or Rule at Issue: Section 471.031(1)(a), Florida Statutes: A person may not practice engineering unless the person is licensed or exempt from licensure under this chapter. Section 433.001(a), Florida Statutes: Violating any provision of s.	Administrative Complaint filed	Awaiting Election of Rights

B3a. – COMPLAINTS STATUS LIST FOR ALL CASES WORKED ON DURING 3rd QUARTER OF 2015 / 2016 FISCAL YEAR

No.	Case Number	Complainant Name	Name of Subject; License No. of Subject	Alleged Violation	Last Action Taken	Next Action Recommended
				455.227(1), s. 471.025, or s. 471.031, or any other provision of this chapter or rule of the board or department		
43.	2015032603	Reisinger, Jack	Banks, Julio	Statute or Rule at Issue: Section 471.033(1)(g), F.S., & Rule 61G15-19.001(4) by engaging in negligence in the practice of engineering	Investigation Completed	Administrative Complaint filed
44.	2015036080	Reisinger, Jack	Banks, Julio	Statute or Rule at Issue: Section 471.033(1)(g), F.S., & Rule 61G15-19.001(4) by engaging in negligence in the practice of engineering	Investigation Completed	Administrative Complaint filed
45.	2015040623	Anonymous	Pino, William	Section 471.033(1)(d), Florida Statutes: Being convicted or found guilty of, or entering a plea of nolo contendere to, regardless of adjudication, a crime in any jurisdiction which directly relates to the practice of engineering or the ability to practice engineering; Violating Chapter 455; Section 455.227(1)(t), Florida Statutes: Failing to report in writing to the board or, if there is no board, to the department within 30 days after the licensee if convicted or found guilty of, or entered a plea or nolo contendere or guilty to, regardless of adjudication, a crime in any jurisdiction	Administrative Complaint filed	Awaiting Election of Rights
46.	2014050099	John Farinelli	Holt, John D. P.E. – 15252	Statute or Rule at Issue: Section 471.033(1)(g), Florida Statutes, & Rule 61G15-19.001(4), Fla. Admin. Code, by engaging in negligence in the practice of engineering	Trial at DOAH and Recommended Order issued	Present Recommended Order to the Board at April 2016 Board meeting
47. UL	2015050230	REDACTED	REDACTED UNLICENSED	Unlicensed Activity	Under Investigation	Continue Investigation
48..	2016000255	REDACTED	REDACTED		Under Investigation	Continue Investigation
49..	2016003962	REDACTED	REDACTED		Under Investigation	Continue Investigation
50. UL	2016005434	REDACTED	REDACTED UNLICENSED	Unlicensed Activity	Under Investigation	Continue Investigation
51	2016005643	REDACTED	REDACTED		Under Investigation	Continue Investigation
52.	2016005645	REDACTED	REDACTED		Under Investigation	Continue Investigation
53. UL	2016005859	REDACTED	REDACTED UNLICENSED	Unlicensed Activity	Under Investigation	Continue Investigation
54. UL	2016007058	REDACTED	REDACTED UNLICENSED	Unlicensed Activity	Under Investigation	Continue Investigation
55. UL	2016007064	REDACTED	REDACTED UNLICENSED	Unlicensed Activity	Closed	No Further Action
56. UL	2016007065	REDACTED	REDACTED UNLICENSED	Unlicensed Activity	Under Investigation	Continue Investigation
57	2016007123	REDACTED	REDACTED		Under Investigation	Continue Investigation
58.	2016007210	REDACTED	REDACTED	Unlicensed Activity	Closed	No Further Action

B3a. – COMPLAINTS STATUS LIST FOR ALL CASES WORKED ON DURING 3rd QUARTER OF 2015 / 2016 FISCAL YEAR

No.	Case Number	Complainant Name	Name of Subject; License No. of Subject	Alleged Violation	Last Action Taken	Next Action Recommended
UL			UNLICENSED			
59.	2016007254	REDACTED	REDACTED		Under Investigation	Continue Investigation
60.	2016007306	REDACTED	REDACTED	Unlicensed Activity	Under Investigation	Continue Investigation
UL			UNLICENSED			
61.	2016007629	REDACTED	REDACTED	Unlicensed Activity	Under Investigation	Continue Investigation
UL			UNLICENSED			
62.	2016008447	REDACTED	REDACTED		Under Investigation	Continue Investigation
63.	2016010099	REDACTED	REDACTED	Unlicensed Activity	Under Investigation	Continue Investigation
UL			UNLICENSED			
64.	2016007163	REDACTED	REDACTED		Under Investigation	Continue Investigation
65.	2015052641	REDACTED	REDACTED		Investigation Completed	Set for May 2016 PCP
66.	2015052732	REDACTED	REDACTED		Under Investigation	Continue Investigation
67.	2016003254	REDACTED	REDACTED		Investigation Completed	Set for May 2016 PCP
68.	2016000184	REDACTED	REDACTED		Under Investigation	Continue Investigation
69.	2016003446	REDACTED	REDACTED		Under Investigation	Continue Investigation
70.	2016005023	REDACTED	REDACTED		Under Investigation	Continue Investigation
71.	2016009237	REDACTED	REDACTED		Investigation Completed	Set for May 2016 PCP
72.	2016010240	FBPE	Architectural Designs by Anthony, Inc. UNLICENSED	Firm practicing without certificate of authorization	Under Investigation Notice to Cease & Desist Issued	Continue Investigation
73.	2016012649	REDACTED	REDACTED		Under Investigation	Continue Investigation
74.	2016013495	REDACTED	REDACTED		Under Investigation	Continue Investigation
75.	2016012690	REDACTED	REDACTED		Closed	No Further Action Needed
76.	2016012695	REDACTED	REDACTED		Under Investigation	Continue Investigation
77.	2016013494	REDACTED	REDACTED		Under Investigation	Continue Investigation
78.	2016014037	REDACTED	REDACTED		Under Investigation	Continue Investigation
79.	2016014089	REDACTED	REDACTED	Unlicensed activity	Under Investigation	Continue Investigation
UL			UNLICENSED			

B3a. – COMPLAINTS STATUS LIST FOR ALL CASES WORKED ON DURING 3rd QUARTER OF 2015 / 2016 FISCAL YEAR

No.	Case Number	Complainant Name	Name of Subject; License No. of Subject	Alleged Violation	Last Action Taken	Next Action Recommended
80.	2016014130	REDACTED	REDACTED		Under Investigation	Continue Investigation
81.	2016014681	REDACTED	REDACTED		Under Investigation	Continue Investigation
82. UL	2016014695	REDACTED	REDACTED UNLICENSED	Unlicensed activity	Under Investigation	Continue Investigation
83.	2016015254	REDACTED	REDACTED		Under Investigation	Continue Investigation
84	2016015767	REDACTED	REDACTED		Under Investigation	Continue Investigation
85.	2016016228	REDACTED	REDACTED		Under Investigation	Continue Investigation
86.	2016016233	REDACTED	REDACTED		Under Investigation	Continue Investigation
87.	2015005004	FBPE	Celestin, Josaphat Joe		Closed	Closed Complaints
88.	2015042228	FBPE	Joe Celestin Civil Engineer & General Builder, LLC		Closed	Closed Complaints
89.	2014049993	FBPE	Hakken, Joshua M. PE - 63999	Possible violation of FS 471.033(1)(d) and 455.227(1)(c) – Being convicted or found guilty of, or entering a plea of nolo contendere to a crime which relates to the practice or ability to practice	CLOSED- Still Open	WAITING UNTIL HE GETS OUT OF PRISON
90.	2016000641	Davati, Franco	Exekial Enterprises, LLC		Closed	No Further Action
91.	2016001081	FBPE	Oeltjen, Jeffery Lee		Closed	No Further Action
92.	2016006277	Brown, Jay	Sinn, George C. Jr.		Closed	Legally Insuffucuent
93.	2015002910	Curtis, John M.	New, Gary Michael		Closed	Closing Order
94.	2015041841	FBPE	Volk, Charles H.. III		Closed	No Further Action Needed
95.	2015036195	D'Alicio, Diogenes	Gavarrete, Gilberto	Possible violation of Section 471.033(1)(g), Florida Statutes, & Rule 61G15-19.001(4), Fla. Admin. Code, by engaging in negligence in the practice of engineering	CLOSED Closing Order	NO FURTHER ACTION REQUIRED
96.	2015041843	FBPE	Sauer, Eric S.	Possible violation of Section 471.033(1)(g), F.S., & Rule 61G15-19.001(4) by engaging in negligence in the practice of engineering	CLOSED WITH CLOSING ORDER	NO FURTHER ACTION REQUIRED
97.	2015051003	STOUT, DAVID	KELAHER, THOMAS M.	Possible violation of Section 471.033(1)(g), F.S., & Rule 61G15-19.001(4) by engaging in negligence in the practice of engineering; and Rule 61G15-29.001(2)& (3) certification as to services beyond those actually performed by the engineering	CLOSED WITH CLOSING ORDER	NO FURTHER ACTION REQUIRED
99.	2015052640	FBPE	MASLAN, STEPHEN	Possible violation of §471.033(1)(k), F.S.: Violating any order of the board or department previously entered in a disciplinary	CLOSED WITH CLOSING ORDER	NO FURTHER ACTION REQUIRED
100	2013009998	Gibson, Donald Hayden	Cardona, Alberto G. P.E. – 17138	Possible violation of FS 471.033(1)(g), engaging in fraud or deceit, incompetence, or misconduct in the practice of engineering	CLOSED WITH FINAL ORDER	NO FURTHER ACTION REQUIRED
101	2014023033	Guillermo, Merique	Cardona, Alberto G. P.E. – 17138		CLOSED WITH FINAL ORDER	NO FURTHER ACTION REQUIRED
102	2013029125	FEMC	Goel, Ram Autar		CLOSED WITH FINAL ORDER	NO FURTHER ACTION REQUIRED

B3a. – COMPLAINTS STATUS LIST FOR ALL CASES WORKED ON DURING 3rd QUARTER OF 2015 / 2016 FISCAL YEAR

No.	Case Number	Complainant Name	Name of Subject; License No. of Subject	Alleged Violation	Last Action Taken	Next Action Recommended
			P.E. – 47431			
103	2014031178	Santoro, Al	De Stefano, Mark A. P.E. – 61657		CLOSED WITH FINAL ORDER	NO FURTHER ACTION REQUIRED
104	2014045758	Bloom, Michael	Goel, Ram Autar P.E. – 47431		CLOSED WITH FINAL ORDER	NO FURTHER ACTION REQUIRED
105	2016002821	Anonymous	Barnett, Winthorp S.		Closed	Entry Error
106	2015019772	FBPE	Turzak, Oliver J. P.E. – 18230	Allegations that Subject violated Final Order	CLOSED with FINAL ORDER	License Revoked
107	2015013655	FBPE	Belt, Joseph		Closed with Letter of Guidance	No Further Action Needed
108	2015024416	Anonymous	KING, PAUL A.	Possible violation of FS 471.023 and Rule 61G15-19.001(3), FAC – Firm practicing without certificate of authorization	CLOSED with Letter of Guidance	NO FURTHER ACTION REQUIRED
109	2015029749	FBPE	Pilo, Michael		CLOSED WITH LETTER OF GUIDANCE	NO FURTHER ACTION REQUIRED
110	2015042259	FBPE	Pao, John		CLOSED with Letter of Guidance	NO FURTHER ACTION REQUIRED
111	201504231	FBPE	DeFazio, Stephanie Kolb		CLOSED with Letter of Guidance	NO FURTHER ACTION REQUIRED
112	2015042302	FBPE	Bainbridge, Adam		CLOSED with Letter of Guidance Closing Order	NO FURTHER ACTION REQUIRED
113	2015042617	Henderson, Joseph	Mackenzie, Shaun		CLOSED with Letter of Guidance Closing Order	NO FURTHER ACTION REQUIRED
114	2015042849	FBPE	Priest, Arwin		CLOSED with Letter of Guidance Closing Order	NO FURTHER ACTION REQUIRED
115	2015044230	FBPE	Smith, Michael		CLOSED with Letter of Guidance Closing Order	NO FURTHER ACTION REQUIRED
116	2015047286	FBPE	Camp, Jack		CLOSED with Letter of Guidance Closing Order	NO FURTHER ACTION REQUIRED
117	2015054097	Donna June Ritter	Tippens, James C., Jr. P.E. – 12217	Possible violation of FS 471.033(1)(g) and 455.227(1)(a), (m) – Fraud, deceit, negligence, incompetence or misconduct	CLOSED WITH FINAL ORDER	RELINSUIQSH IN LIEU OF FURTHER DISCIPLINE
118	2015023157	ONDULICH, WILLIAM	Tippens, James C., Jr. P.E. – 12217		CLOSED WITH FINAL ORDER	RELINSUIQSH IN LIEU OF FURTHER DISCIPLINE

B3a. – COMPLAINTS STATUS LIST FOR ALL CASES WORKED ON DURING 3rd QUARTER OF 2015 / 2016 FISCAL YEAR

No.	Case Number	Complainant Name	Name of Subject; License No. of Subject	Alleged Violation	Last Action Taken	Next Action Recommended
119	2016002187	FBPE	Tippens, James C., Jr. P.E. – 12217		CLOSED WITH FINAL ORDER	RELINSUIQSH IN LIEU OF FURTHER DISCIPLINE
120	2016007663	Buziak, John Phillip	Hope, Joe		Closed	No Further Action Required
121	2016002306	Guzman, David	Brill, David E.		Closed	Legally Insufficient
122	2015034920	Schock, James R.	Bowen, David III		Closed with Final Order	No Further Action Required
123	2016018439	REDACTED	REDACTED		Under Investigation	Continue Investigation
124	2015042855	Lewis, Juanita	June Engineering Consultants, Inc.		Closed	Cease & Desis Agreement-Informal

Section VIII. Deliverables

B3b. Current FEMC Legally Insufficient Case List

B3b. List of all cases FEMC closed as legally insufficient since last report:

2016006277

2016012690

2016002306

Section VIII. Deliverables

B3c. Final Order Compliance Report

B3c – Final Order Compliance Report Summary For the Third Quarter of Fiscal Year 2015/2016 FEMC

Bergsten, Jr., Harold F. – Case No: 2013021397 (Final Order Filed with DBPR on 02/18/2015)

- Basic Engineering Professionalism and Ethics Course – DEADLINE: 02/18/2016
- Costs: \$1,643.00 – PAID IN FULL
- Study Guide – DEADLINE: 02/18/2016
- Project Reviews
 - 6 months – Deadline : 08/18/2015 – has submitted Projects List
 - 09/28/2015 – letter to Subject requesting documents for project and payment to Consultant
 - 11/12/2015 – follow up letter to Subject requesting documents for project and payment to Consultant
 - 18 Months – Deadline: 08/18/2016
- Probation – Conclusion: 02/18/2017
- Subject has been turned over to Investigator Wendy for Non-Compliance of Final Order

SUBJECT IS NOT IN COMPLIANCE

Bolivar, Santiago – Case No: 2014030707 (Final Order Filed with DBPR on 10/14/2015)

- Basic Engineering Professionalism and Ethics Course: Deadline: 10/14/2016.
- Fine: \$4,000.00. DUE: 10/14/2016.
- Costs: \$4,930.40. DUE: 10/14/2015.
 - The fine and costs have been combined into one total of \$8,930.40, which is to be paid in 2 equal payments of \$4,465.20 at 04/14/2016 and 10/14/2016.
- Study Guide. DUE: 11/14/2015.
- Project review 6 months due: 04/14/2016
- Project review 18 months due 04/14/2017.
- Probation: 2 years from filing: 10/14/2017

○ **SUBJECT IS IN COMPLIANCE**

Bowen, David III – Case No: 2015034920 (Final Order Filed with DBPR on 03/01/16)

- Cost: \$168.75 – DUE: 04/01/2016
- Fine: \$1,000.00 – DUE: 04/01/2016

SUBJECT IS IN COMPLIANCE

Cardona, Alberto – Case No: 2013009998 & 2014023033 (Final Order Filed with DBPR on 02/11/2016)

- Basic Engineering Professionalism and Ethics Course – DEADLINE: 02/15/2017
- Costs: \$7,346.58 – DUE: 02/15/2018
- Fine: \$6,000.00 – DUE: 02/15/2018
- Study Guide – DEADLINE: 03/15/16- Completed 02/18/2016
- Project Reviews
 - 9 months – Deadline : 11/15/2016
 - 21 Months – Deadline: 11/15/2017
- Probation – Conclusion: 05/15/2018

SUBJECT IS IN COMPLIANCE

Chewning, Roger – Case No: 2012003988 (Final Order Filed with DBPR on 11/04/2014)

- Basic Engineering Professionalism and Ethics Course – DEADLINE: 11/04/2016
- Costs: \$3,290.00 – PAID: 02/02/2015
- Fine: \$2,000.00 – PAID: 02/02/2015

B3c – Final Order Compliance Report Summary For the Third Quarter of Fiscal Year 2015/2016 FEMC

- Study Guide – DEADLINE: 11/04/2016
- Project Reviews
 - 6 months – Deadline : 05/04/2015
 - ✓ This case is before the November 17, 2015 Probable Cause Panel for direction on how to proceed regarding unfavorable projects reviews
 - 18 Months – Deadline: 05/04/2016
- Probation – Conclusion: 11/04/2016

SUBJECT IS IN COMPLIANCE

Da Silva, David – Case No: 2014042285 (Final Order Filed with DBPR on 12/18/2015)

- Basic Engineering Professionalism and Ethics Course – DEADLINE: 12/08/2016
- Costs: \$4,995.85 – PAID: 12/21/2015
- Study Guide – DEADLINE: 01/08/2016- Completed 01/07/16

SUBJECT IS IN COMPLIANCE

Denis, Faustin F. – Case No: 2012003988 (Final Order Filed with DBPR – 11/04/2014)

- Basic Engineering Professionalism and Ethics Course – DEADLINE: 11/04/2016
- Costs: \$380.25 – PAID: 05/12/2015
- Fine: \$1,000.00 – DUE: 05/04/2015 – PAID: 05/12/2015
- Study Guide – DEADLINE: 11/04/2016
- Probation – Conclusion: 11/04/2016

SUBJECT IS IN COMPLIANCE

DeStefano, Mark A. – Case No: (Final Order Filed with DBPR on 02/11/2016)

- Basic Engineering Professionalism and Ethics Course – DEADLINE: 02/01/2017
- Costs: \$891.80 – PAID: 02/15/2017
- Fine: \$1,000.00 – PAID: 02/15/2017
- Study Guide – DEADLINE: 03/15/2016 Completed 03/04/16Project Reviews

SUBJECT IS IN COMPLIANCE

Ferdelman, Joseph S. – Case No: 2014039501 (Final Order Filed with DBPR on 10/14/2015)

- Basic Engineering Professionalism and Ethics Course – DEADLINE: 10/04/2016
- Costs: \$1,694.35 – PAID: 10/27/2015
- Study Guide – DEADLINE: 11/14/2015 Completed 11/03/15

SUBJECT IS IN COMPLIANCE

Ford, Kim B. – Case No: 2014002866 (Final Order Filed with DBPR on 12/10/2014)

- Basic Engineering Professionalism and Ethics Course – COMPLETED: 05/30/2015
- Costs: \$1,600.00 – PAID: 12/10/2014
- Fine: \$500.00 – PAID: 12/10/2014
- Study Guide – COMPLETED: 01/10/2015
- Project Review 6 months due 06/10/2015
 - Subject's 6 month projects reviews both received an unfavorable opinion
 - September 15, 2015 Probable Cause Panel directed that Subject must comply with the 18 month Project Review

- Project Review 18 Months due 06/10/2016

○ **SUBJECT IS IN COMPLIANCE**

B3c – Final Order Compliance Report Summary For the Third Quarter of Fiscal Year 2015/2016 FEMC

Gaydosh, Stephen – Case No: 2012013872 (Final Order Filed with DBPR on 06/18/2014)

- Basic Engineering Professionalism & Ethics Course – COMPLETED: 06/16/2015
- Costs - \$8,072.97 – PAID: 07/21/2014
- Fine - \$4,000.00 – PAID: 07/21/2014
- Study Guide – COMPLETED: 07/21/2014
- Probation – Two Years, until: 06/18/2016
- Project Reviews: for 4 different disciplines – Civil, Structural, Electrical/Mechanical/Plumbing
 - 6 months
 - ✓ Structural: Favorable Reports on both projects
 - ✓ Civil: reports not completed
 - ✓ Electrical/Mechanical/Plumbing – documents and payment requested
 - 18 months – DEADLINE: 06/18/2015
 - Subject has been turned over to Investigator Wendy for Non-Compliance of Final Order

SUBJECT IS NOT IN COMPLIANCE

Gavarrete, Gilberto – Case No: 2013033149 (Final Order Filed with DBPR on 10/14/2015)

- Basic Engineering Professionalism & Ethics Course. DUE: 10/14/2016.
- Costs \$4,477.00. DUE: 10/14/2016.
- Fine: \$1,000.00. DUE: 10/14/2016.
 - The fine and costs have been combined to a total of \$5,477.00 which is payable in 4 equal quarterly payments of \$1,369.25.
- Study Guide. DUE: 11/14/2015.- Sent reminder 02/03/16
- 6 month project review set for 04/14/2016.
- 18 month project review set for 04/4/2017
- Probation ends 10/14/2017.

SUBJECT IS IN COMPLIANCE

Giangrande, Leo – Case No: 2014006723 (Final Order Filed with DBPR on 06/23/2015)

- Basic Engineering Professionalism & Ethics Course – DUE: 06/23/2016
- Costs - \$1,981.00 – PAID: 07/17/2015
- Fine - \$1,000.000 – PAID: 07/17/2015
- Study Guide – COMPLETED: 07/20/2015

SUBJECT IS IN COMPLIANCE

Goel, Ram – Case No's: 2013029125 & 2014045758(Final Order Filed with DBPR on 02/11/2016)

- Basic Engineering Professionalism and Ethics Course – DEADLINE: 08/15/2016
- Costs: \$16,988.40 – DUE: 04/15/2016
- Study Guide – DEADLINE: 03/15/2016- Completed 02/18/2016
- Project Reviews
 - 6 months – Deadline : 08/15/2016
 - 18 Months – Deadline: 08/15/2017
- Restricted from Geotechnical/sinkhole engineering until he passes the NCEES Geotechnical Examination. He will then have 6 & 18 month reviews.

SUBJECT IS IN COMPLIANCE

Hakken, Joshua – Case No: 2014049993 (Final Order Filed with DBPR on 02/11/2016)

B3c – Final Order Compliance Report Summary For the Third Quarter of Fiscal Year 2015/2016 FEMC

- Costs: \$121.87 – DUE: 11/17/2029
- Fine: \$1,000.00 – DUE: 11/17/2029

SUBJECT IS IN COMPLIANCE

Hakken, Sharon P. – Case No: 2014050002 (Final Order Filed with DBPR on 12/08/2015)

- Costs: \$112.12 – DUE: 01/08/2016
- Fine: \$1,000.00 – DUE: 01/08/2016
- Currently incarcerated and will pay costs & fines when she is released.

SUBJECT IS IN COMPLIANCE

James, Jacqueline. – Case No: 2014039414(Final Order Filed with DBPR on 06/23/15)

- Costs: \$395.00 – PAID: 06/23/15
- Probation ends 06/23/2017
- Restricted from practicing structural engineering until she passes the NCEES 16 hour Structural Examination and then comply with project review obligation.

SUBJECT IS IN COMPLIANCE

Kosinski, Joseph C. – Case No: 2014026742 (Final Order Filed with DBPR on 04/13/2015)

- Advanced Engineering Professionalism and Ethics Course: Deadline: 04/13/2016.
- Fine: \$2,000.00. PAID: 05/08/2015.
- Costs: \$4,361.25. PAID: 05/08/2015.
- Study Guide. COMPLETED: 04/30/2015.
- Project review 6 & 18 Months due 10/13/2015:10/13/2016.
- Probation: 2 years from filing Final Order – 04/13/2017

○ **SUBJECT IS IN COMPLIANCE**

Lewis, Matthew D. – Case No: 2014018435 (Final Order Filed with DBPR on 04/13/2015)

- Basic Engineering Professionalism and Ethics Course: Deadline: 04/13/2016.
- Fine: \$1,000.00. PAID: 02/16/2015
- Costs: \$3,237.60. PAID: 02/16/2015.
- Study Guide. COMPLETED: 05/11/2015.
- Project review 6 Months due 10/13/2015
 - Timely submitted and project documents have been requested
- Project review 18 Months due: 10/13/2016.
- Probation: 2 years from filing Final Order – 04/13/2017

○ **SUBJECT IS IN COMPLIANCE**

Maslan, Stephen – Case No.: 2013026181 (Final Order Filed with DBPR on 04/29/2014)

- Basic Engineering Professionalism and Ethics Course: COMPLETED: 06/18/2014.
- Costs: \$1,126.50. PAID: 12/18/2013 via internet
- Study Guide: COMPLETED 05/01/2014.
- Project Reviews:
 - 6 months: DUE: 10/29/2014 – the list was received timely, and sent to the FEMC Consultant for review and choosing of 2 projects on November 6, 2014. The consultant continues to work on this case.
 - Unfavorable review to original projects review and to all responses sent

B3c – Final Order Compliance Report Summary For the Third Quarter of Fiscal Year 2015/2016 FEMC

- 18 months: DUE: 10/29/2014
- Subject's License is restricted from practicing any Fire Protection engineering in the state of Florida unless he completes, passes, and submits proof of passing the NCEES Fire Protection Exam.
- This case is set to go before the November 17, 2015 Probable Cause Panel for direction on the unfavorable projects reviews.
- This case is set to go before PCP May 2016

SUBJECT IS IN COMPLIANCE

Messulam, Aldo Jack – Case No: 2014017182 (Final Order filed with DBPR: 10/14/2015)

- Basic Engineering Professionalism and Ethics Course: DUE: 10/14/2016.
- Costs: \$1,411.75. PAID: 11/12/2015.
- Fine: \$500.00. PAID: 11/12/2015.
- Study Guide: DUE: 11/14/2015.-
- ---sent reminder of due dates 02/09/16
- ----gave file to Investigator due to non-compliance
- ---Hard copy of study guide mailed to attorney for subject on 03/29/16
- Project Reviews:
 - 6 months: DUE: 04/14/2016.
 - 18 months: DUE: 04/14/2017
- Probation ends: 10/14/2017

SUBJECT IS IN COMPLIANCE

Robinson, Michael Alan – Case Nos: 20130258827 and 2014004347 (Final Order – combining both cases – Filed with DBPR on 10/14/2015)

- Intermediate Engineering Professionalism & Ethics Course. DUE: 10/14/2016.
- Costs \$3,443.25. PAID: 10/28/2015.
- Fine: \$2,000.00. PAID: 10/28/2015.
- Study Guide. DUE: 11/14/2015.
- Webinar: "ASCE Quality Management in the Design Organization" – DUE: 04/14/2016

SUBJECT IS IN COMPLIANCE

Mitchell, Stephen – Case No: 2013026181 – Final Order Date to reinstate licensure 3/7/2014

- Fine of \$2,000.00 – PAID IN FULL
- STUDY GUIDE COMPLETED: 09/24/2013
- Project Reviews – two required – Subject has not completed any Florida Projects so Subject's PE License will remain on probation until such time as Subject completes Florida Projects and submits them for review by the Consultant. This is a very old Final Order (1995)
- Engineering Professionalism & Ethics Course – COMPLETED: 01/20/2015
- Probation Ends (if discipline is adhered to and successfully completed): 03/07/2016

SUBJECT IS IN COMPLIANCE

Seckinger, Peter – Case No: 2014004225(Final Order Filed with DBPR on 10/15/2014)

- Costs: \$473.98 – PAID: 11/15/2014
- FINE: \$1,000.00 – PAID: 11/15/2014
- Probation – Completion date: 11/10/2016

SUBJECT IS IN COMPLIANCE

B3c – Final Order Compliance Report Summary For the Third Quarter of Fiscal Year 2015/2016 FEMC

Stitgen, Douglas – Case No: 2009050310 (Final Order Filed with DBPR on 02/29/2012)

- Basic Engineering Professionalism & Ethics Course. COMPLETED: 04/03/2013.
- Costs \$1,073.00. PAID: 03/18/2012.
- Fine: \$1,000.00. PAID: 03/28/2012.
- Study Guide. COMPLETED: 03/21/2013.
- 6 month project review set for 7/29/2012.
 - 10/04/2012 – Sent Consultant plans/check.
 - 03/22/2013 – Sent additional information to Consultant.
 - Provided entire Projects Review documents to another FEMC Consultant for review of what was already reviewed by the previous Consultant.
- Probation ends 02/29/2014.

SUBJECT IS IN COMPLIANCE

Tajmir-Davis & Associates, Inc. – Case No: 2013049674 (Final Order Filed with DBPR on 10/15/2014)

- Costs: \$370.50 – PAID: 03/03/2015
- Fine: \$5,000.00 – PAID: 03/03/2015
- Probation – Completion date: 10/15/2016

SUBJECT IS IN COMPLIANCE

Williams, Allan, P.E. – Case No: 2013019830 (Final Order Filed with DBPR on 11/04/2014)
and

ABW Engineering – Case No: 2013019832 (Final Order Filed with DBPR on 11/04/2014)

These two cases were combined and treated as one.

- Cost: \$1,292.15 – DUE: 11/04/2016
- Fine: \$5,000.00 – DUE: 11/04/2016

SUBJECT IS IN COMPLIANCE

Wilson, Lawrence – Case No.: 2013011573 (Final Order Filed with DBPR on 02/18/2014)

- Basic Engineering Professionalism and Ethics Course – DUE: 02/18/2015.
- Costs \$1,387.00. PAID: 02/13/2014.
- Fine \$1,000.00. PAID: 02/13/2014.
- Study Guide COMPLETED 03/12/2014.
- 6 Month and 18 Month Project Review
 - 07/05/2014 – extension of time granted for 6 month project review
 - No projects have been completed by Subject, therefore, subject's license is inactive.
- Subject did not renew his PE license in February 2015 – it appears that Subject may allow his PE to go null & void – monitoring until February 2017 in this regard
- Subject is currently on Inactive Status.
- Turned over to Investigator Wendy for Non-Compliance- NO P&E course.

THIS SUBJECT IS IN COMPLIANCE

Section VIII. Deliverables

*B3d. Unlicensed Activity Case List for
Multiple DBPR License Owners*

B3d. Unlicensed Cases from January 1, 2016 through March 31, 2016 in which subjects hold other DBPR licenses

During the quarter from 1/1/16 – 3/3/116, FEMC received 11 legally sufficient cases alleging unlicensed activity. Of those 11 cases, 4 held another DBPR licenses and 1 was unable to be determined due to the common name of the Subject.

Complaint #2016007065 – Subject holds CGC1522476;

Complaint #2016007629 – Subject holds CGC1510386;

Complaint #2016007058 – Subject holds CGC1522476;

Complaint #2016005434 – Subject potentially holds CGC058264, EC13006418, CGC1515769, and FOR4695; and

Complaint #2016007064 – Unable to determine.

Section VIII. Deliverables

B4. Information Regarding the Failure of FEMC to Comply with Provisions of the Contract

B4. Information regarding the failure of FEMC to comply with any provision of the Contract.

As far as FEMC is able to reasonably able to ascertain, it is in compliance with the current contract.

Section VIII. Deliverables

B5. Non-Prevailing Party Case List

B5. A list of all legal cases where FEMC has not been the prevailing party. The list must include copies of final orders and specific cases where attorney fees have been awarded.

There have been no cases in the Third Quarter of fiscal year 2015 / 2016 in which FEMC has not been the prevailing party; as such, no attorney fees have been awarded.

Section VIII. Deliverables

B6. Corrective Action Plan

B6. Corrective plans for any deficiencies noted in the Quarterly Report.

There were no deficiencies in the third quarter.

Section VIII. Deliverables

B7. Expenditures and Cash Balance Report

FLORIDA ENGINEERS MANAGEMENT CORP
March 31, 2016

The carrying amount of FEMC's cash deposits were \$404,140.42 and the bank balance was \$413,958.77

FLORIDA ENGINEERS MANAGEMENT CORP
 CUMULATIVE DISBURSEMENTS
 As of March 31, 2016

Accrual Basis

Unaudited

DISBURSEMENTS FBPE Grants and Aid	Approved Budget FY 2015-2016	Preliminary Report of Expenses July-15	Preliminary Report of Expenses August-15	Preliminary Report of Expenses September-15	Preliminary Report of Expenses October-15	Preliminary Report of Expenses November-15	Preliminary Report of Expenses December-15	Preliminary Report of Expenses January-16	Preliminary Report of Expenses February-16	Preliminary Report of Expenses March-16	Preliminary Report of Expenses YTD Total
Salary & Expenses	\$ 1,308,595	\$ 57,282.19	\$ 72,440.77	\$ 98,787.85	\$ 107,887.17	\$ 73,342.73	\$ 116,273.76	\$ 81,886.23	\$ 72,683.72	\$ 84,115.70	\$ 764,700.12
Other Personnel Services(OPSS)	\$ 5,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Consultants											
Prosecution/Enforcement	\$ 167,500	\$ 13,531.25	\$ 10,001.80	\$ 8,375.00	\$ 11,665.40	\$ 8,562.50	\$ 12,500.00	\$ 15,638.59	\$ 4,935.00	\$ 8,626.44	\$ 93,835.98
Court Reporters	\$ 5,000	\$ 240.00	\$ 475.00	\$ 160.00	\$ 260.00	\$ 268.25	\$ 320.00	\$ 90.00	\$ 1,640.75	\$ 160.00	\$ 3,614.00
Computer Consultants	\$ 90,000	\$ 28,740.33	\$ 3,082.90	\$ 7,333.00	\$ 3,137.47	\$ 4,607.88	\$ 12,383.00	\$ 9,758.00	\$ 9,067.62	\$ 4,383.00	\$ 82,493.20
Human Resource Consultants	\$ 2,400	\$ 200.00	\$ 200.00	\$ 200.00	\$ 200.00	\$ 200.00	\$ 200.00	\$ 200.00	\$ 200.00	\$ 200.00	\$ 1,800.00
Accounting Services	\$ 35,000	\$ 14,530.00	\$ 7,170.00	\$ -	\$ 402.00	\$ 260.00	\$ 1,222.00	\$ 494.00	\$ -	\$ -	\$ 24,078.00
FEMC General Counsel	\$ 10,000	\$ -	\$ -	\$ -	\$ -	\$ 50.00	\$ -	\$ -	\$ -	\$ 5,160.00	\$ 5,210.00
Contract Monitor	\$ 19,200	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Employment/Training	\$ 12,000	\$ 975.00	\$ 293.00	\$ 851.94	\$ 1,920.00	\$ 655.00	\$ 1,006.25	\$ 175.00	\$ 149.00	\$ 75.00	\$ 6,100.19
Publications	\$ 6,500	\$ 1,265.01	\$ 737.11	\$ 745.05	\$ 3,352.61	\$ 890.59	\$ 500.59	\$ 656.67	\$ 1,872.11	\$ 2,149.90	\$ 12,169.64
Insurance											
Directors & Officers	\$ 6,500	\$ 5,999.58	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 5,999.58
Commercial Liability	\$ 5,300	\$ 4,616.46	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 123.00	\$ -	\$ 4,739.46
Professional Liability	\$ 9,100	\$ 8,994.50	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 8,994.50
Fidelity	\$ 222	\$ 109.50	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 109.50	\$ -	\$ -	\$ 219.00
Workers Compensation	\$ 2,500	\$ 1,239.50	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 1,270.00	\$ -	\$ (742.00)	\$ 1,767.50
Public Information	\$ 15,000	\$ 43.20	\$ 160.00	\$ 200.00	\$ 60.00	\$ 35.70	\$ 3,135.41	\$ 160.00	\$ 324.95	\$ 9,281.33	\$ 13,400.59
Board Member Honorarium	\$ 10,500	\$ 900.00	\$ 1,750.00	\$ 550.00	\$ 1,300.00	\$ 250.00	\$ 1,150.00	\$ 500.00	\$ 1,050.00	\$ 250.00	\$ 7,700.00
Furniture and Equipment *	\$ 35,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Computers and Software *	\$ 55,000	\$ -	\$ -	\$ -	\$ -	\$ 2,555.64	\$ -	\$ -	\$ 12,264.34	\$ -	\$ 14,819.98
Study Guide	\$ 1,000	\$ -	\$ -	\$ -	\$ 600.00	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 600.00
Copying & Printing	\$ 15,000	\$ 1,772.83	\$ 1,764.85	\$ 1,726.85	\$ 1,185.46	\$ 1,588.49	\$ 1,564.38	\$ 977.73	\$ 844.64	\$ 1,278.04	\$ 12,703.27
Office Supplies	\$ 25,000	\$ 11,539.92	\$ 596.58	\$ 516.44	\$ 1,288.84	\$ 923.17	\$ 359.00	\$ 1,376.43	\$ 2,772.28	\$ 450.29	\$ 19,822.95
Leased Equipment & Repair	\$ 29,000	\$ 2,834.99	\$ 1,544.00	\$ 1,544.00	\$ 2,663.00	\$ 1,544.00	\$ 2,413.04	\$ 2,905.06	\$ 1,324.00	\$ 1,324.00	\$ 18,096.09
Postage	\$ 12,000	\$ 400.00	\$ 2,000.00	\$ 2,021.59	\$ 23.99	\$ 1,000.00	\$ (23.99)	\$ 2,085.03	\$ -	\$ 1,034.20	\$ 8,540.82
Office Space											
Lease Payment	\$ 123,670	\$ 10,084.55	\$ 10,084.55	\$ 10,084.59	\$ 10,379.59	\$ 10,379.59	\$ 10,379.59	\$ 10,379.59	\$ 10,379.59	\$ 10,379.59	\$ 92,531.23
Utilities	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Janitorial	\$ 1,500	\$ 112.00	\$ 55.00	\$ 50.00	\$ 50.00	\$ 843.40	\$ 54.00	\$ 53.50	\$ 53.25	\$ 53.25	\$ 1,324.40
Pest Control	\$ 450	\$ -	\$ 75.00	\$ -	\$ -	\$ 75.00	\$ -	\$ -	\$ 75.00	\$ -	\$ 225.00
Security Monitoring	\$ 5,350	\$ 414.43	\$ 413.54	\$ 413.54	\$ 413.54	\$ 413.54	\$ 413.54	\$ 413.54	\$ 413.54	\$ 413.54	\$ 3,722.75
Moving Expenses	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 475.00	\$ -	\$ -	\$ -	\$ 475.00
Leasehold Improvements *	\$ 8,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 2,104.00	\$ -	\$ -	\$ -	\$ 2,104.00
Telephone											
Local Line Charge	\$ 8,400	\$ 706.00	\$ 704.34	\$ 704.34	\$ 703.99	\$ 704.25	\$ 704.25	\$ 705.65	\$ 705.23	\$ 705.23	\$ 6,343.28
Long Distance	\$ 2,500	\$ 207.64	\$ 177.15	\$ 100.92	\$ 143.42	\$ 147.82	\$ 116.31	\$ 114.57	\$ 155.89	\$ 137.92	\$ 1,301.64
Internet Access Charges	\$ 2,600	\$ 246.63	\$ 278.70	\$ 278.70	\$ 278.48	\$ 278.48	\$ 278.48	\$ 280.48	\$ 280.48	\$ 280.94	\$ 2,481.37
Conference Calls	\$ 1,250	\$ 58.57	\$ 59.72	\$ 49.25	\$ 52.23	\$ 39.60	\$ 41.77	\$ 25.00	\$ 57.70	\$ 25.00	\$ 408.84
Training - Board Members	\$ 750	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Travel											
Board/Committee Meetings	\$ 70,000	\$ 3,458.36	\$ 11,835.72	\$ 3,354.25	\$ 12,860.33	\$ 3,196.62	\$ 6,483.02	\$ 3,580.95	\$ 14,789.49	\$ 2,192.75	\$ 61,751.49
Investigation/Prosecution	\$ 7,500	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 561.53	\$ -	\$ -	\$ 561.53
NCEES Travel	\$ 12,000	\$ (178.49)	\$ 9,746.31	\$ -	\$ -	\$ -	\$ -	\$ 826.40	\$ 2,370.00	\$ -	\$ 12,764.22
General Travel	\$ 1,088	\$ -	\$ -	\$ 83.10	\$ (74.57)	\$ (7.20)	\$ -	\$ -	\$ 11.13	\$ -	\$ 12.46
Employee Training Travel	\$ 12,000	\$ 1,609.64	\$ (67.20)	\$ 1,492.40	\$ 3,089.71	\$ 616.70	\$ 16.00	\$ 1,111.54	\$ -	\$ -	\$ 7,868.79
Public Information Travel	\$ 10,000	\$ 34.20	\$ 1,565.48	\$ 21.07	\$ 19.56	\$ -	\$ 35.60	\$ 441.18	\$ 1,482.16	\$ 421.86	\$ 4,021.11
NCEES Dues	\$ 6,500	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 6,500.00	\$ -	\$ -	\$ 6,500.00
Memberships/Registrations	\$ 15,000	\$ 1,915.00	\$ -	\$ -	\$ 140.00	\$ 515.00	\$ 490.00	\$ 725.00	\$ 205.00	\$ 345.00	\$ 4,335.00
Renewal Activities											
Printing Renewal Notices	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Mailing Renewal Notices	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Mailing Licenses/ID Cards	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Renewal OPS	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Renewal Supplies	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Legal Settlements	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
FEMC Budget Total	\$ 2,170,875	\$ 173,882.79	\$ 137,144.32	\$ 139,643.88	\$ 164,002.22	\$ 113,936.75	\$ 174,595.00	\$ 144,001.17	\$ 140,229.87	\$ 132,700.98	\$ 1,320,136.98

Section VIII. Deliverables

B8. Long Range Estimates of Revenue

B8. Long Range Estimates of Revenue

This was provided in the 2015-2016 1st Quarter Report as required by the contract.

Section VIII. Deliverables

*B9. Quarterly Licensure, Examination,
Complaint & Disciplinary Activity Report*

B9. Quarterly Licensure, Examination, Complaint & Disciplinary Activity Report:

Total Renewals to date: (from 7/1/15) 321 Professional Engineers
137 Certificate of Authorizations

Applications:

Received:	1074
Approved:	870
Denied:	47

Licenses Issued:	692 PE 189 CA
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Average time (days) to Process Applications:	56.85
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Scheduled Candidates for April Exam

Principles and Practice:	
Scheduled:	450
Passed:	
Failed:	
No Show:	

Fundamentals of Engineering**:

Passed:	223
Failed:	127

** In January 2014, NCEES moved to Computer Based Testing for the Fundamentals Examination. NCEES has contracted with a third party vendor to administer the exam. FEMC now approves the applicant but FEMC has no way of knowing when the applicant schedules to take the exam. The applicant has 12 months to take the exam anywhere in the US. All FEMC is made aware of is who passes and who fails the examination. These results may contain candidates who have not applied with FEMC and who have been approved for licensure. The exam windows are for only two months at a time with one month off (January and February were exam windows and March they were closed).

¹ For an explanation regarding legal sufficiency and legal insufficiency, please see (1) of the document entitled Quarterly Report in Compliance with Rule 61G15-37.001, F.A.C.

B9. Quarterly Licensure, Examination, Complaint & Disciplinary Activity Report:

Number of Complaints Received in 3rd Quarter of 2015 / 2016:	<u>41</u>
Activity on Licensed Complaints received in 3rd Quarter of 2015 / 2016:	
Number of Licensed Complaints	<u>27</u>
Number of Complaints Deemed Legally Sufficient:.....	<u>16</u>
Number of Complaints Deemed Legally Insufficient:.....	<u>2</u>
Number of Complaints Still within 30 days of determination	<u>29</u>
Activity on Unlicensed Complaints received in 3rd Quarter of 2015 / 2016:	
Number of Unlicensed Complaints:	<u>14</u>
Number of Legally Sufficient Activity Cases:	<u>10</u>
Number of Legally Insufficient Activity Cases:.....	<u>2</u>
Number of Complaints Still within 30 days of determination	<u>2</u>
Activity on all Licensed Complaints worked on in the 3rd Quarter of 2015 / 2016:	
Number of all Licensed Complaints	<u>96</u>
Number Dismissed by Probable Cause:.....	<u>16</u>
Number Determined to Have Probable Cause and Administrative Complaint filed:.....	<u>5</u>
Disciplinary Actions Taken on all pending Licensed cases by FBPE during 3rd Quarter of 2015 / 2016:	
Number of Final Orders Filed per Settlement Stipulation:.....	<u>4</u>
Number of Early Termination Orders due to completion of compliance obligations.....	<u>0</u>
Number of Reinstatement of PE License due to completion of compliance obligations.....	<u>0</u>
Certificates of Authorization Citations Issued:	<u>0</u>
Number of Cases taken to DOAH	<u>1</u>
Number of Recommended Orders Entered:	<u>1</u>
Number of Final Orders adopting Recommended Order.....	<u>0</u>
Informal Hearings:	<u>0</u>
Defaults:	<u>2</u>
Attorney Fees:	<u>0</u>
Disciplinary Actions Taken on all pending Unlicensed cases by FEMC during 1st Quarter of 2015 / 2016:	
Number of all Unlicensed Cases worked on.....	<u>28</u>
Notice to Cease and Desist Issued	<u>12</u>
Cease & Desist Agreements reached and resolved:.....	<u>4</u>
Cease & Desist resolved due to violation being corrected:.....	<u>4</u>
Number referred to another agency	<u>0</u>
Number still under investigation	<u>0</u>
Number within thirty day of determining legal sufficiency.....	<u>3</u>
Certificates of Authorization Citations Issued:	<u>0</u>
Certificates of Authorization Citations Paid:	<u>0</u>
Cease & Desist after Administrative Complaints:.....	<u>1</u>
Final Orders Issued:	<u>1</u>

Section VIII, Deliverables, Section B:

FEMC shall provide a quarterly report to the Department along with the report required in Section XI, Funding, of this Agreement, which shall include the following:

¹ For an explanation regarding legal sufficiency and legal insufficiency, please see (1) of the document entitled Quarterly Report in Compliance with Rule 61G15-37.001, F.A.C.

B9. Quarterly Licensure, Examination, Complaint & Disciplinary Activity Report:

A list and status of all complaints made during this agreement (open and closed, licensed and unlicensed). Please See Tab "B3."

A list and status of all complaints made during this agreement, a/k/a this First Quarter of 2015-2016 (open and closed, licensed and unlicensed). Please See Tab "B3."

¹ For an explanation regarding legal sufficiency and legal insufficiency, please see (1) of the document entitled Quarterly Report in Compliance with Rule 61G15-37.001, F.A.C.

Disciplinary Process

Licensed & Unlicensed Activity:

<u>Number of Complaints Received: 41</u>						
2016012695	2016013494	2016014037	2016014089	2016014130	2016014681	2016014695
2016015254	2016015767	2016016228	2016016233	2016003962	2016005434	2016005643
2016005645	2016005859	2016007058	2016007065	2016007254	2016007306	2016007629
2016008447	2016010099	2016010240	2016012649	2016013495	2016003254	2016003446
2016005023	2016007123	2016007163	2016009237	2016001081	2016006277	2016012690
2016002187	2016007663	2016002306	2016018439	2016007064	2016007210	

Licensed Activity

Number of Licensed Complaints Received: <u>26</u>	Number of Licensed Complaints Deemed Legally Sufficient: <u>15</u>	Number of Licensed Complaints Deemed Legally Insufficient: <u>2</u>	Number of Licensed Complaints still within 30 day determination time frame: <u>9</u>
2016001081	2016001081	2016006277	2016012695
2016002187	2016002187	2016012690	2016013494
2016003962	2016003962		2016014037
2016005643	2016005643		2016014130
2016005645	2016005645		2016014681
2016007254	2016007254		2016015254
2016008447	2016008447		2016015767
2016012649	2016012649		2016016228
2016013495	2016013495		2016016233
2016003254	2016003254		
2016003446	2016003446		
2016005023	2016005023		
2016007123	2016007123		
2016007163	2016007163		
2016009237	2016009237		
2016006277			
2016012690			
2016012695			
2016013494			
2016014037			
2016014130			
2016014681			
2016015254			
2016015767			
2016016228			
2016016233			

Disciplinary Process

Unlicensed Activity:

Number of Unlicensed Complaints Received: <u>15</u>	Number of Unlicensed Complaints Deemed Legally Sufficient: <u>11</u>	Number of Unlicensed Complaints Deemed Legally Insufficient: <u>2</u>	Number of Unlicensed Complaints still within 30 day determination time frame: <u>2</u>
2016018439	2016018439	2016002306	2016014089
2016007064	2016007064	2016007663	2016014695
2016007210	2016007210		
2016002306	2016005434		
2016007663	2016005859		
2016014089	2016007058		
2016014695	2016007306		
2016005434	2016007629		
2016005859	2016010099		
2016007058	2016010240		
2016007306	2016007065		
2016007629			
2016010099			
2016010240			
2016007065			

For an explanation regarding legal sufficiency and legal insufficiency, please see (1) of the document entitled Quarterly Report in Compliance with Rule 61G15-37.001, Florida Administrative Code.