

Florida Engineers Management Corporation

# 2nd Quarter Report

## 2017-2018



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#### Second Quarter 2017-2018

#### Section VII Deliverables, B. Quarterly Reports – Requirements of Contract for Quarterly Report

- B1. The status of the performance standards adopted by Rule 61G15-37.001, Florida Administrative Code.
- B2. Compliance with priority referrals from the Contract Manager, per Section III, Contract Manager, of the Agreement, Attachment I, Scope of Work.
- B3. Information related to disciplinary actions.
  - a. A list and status of all complaints made during this agreement (open and closed, licensed and unlicensed). Status information should include:
    - The name of the complainant;
    - The name and license number of subject;
    - The date of the complaint;
    - The alleged violation;
    - The last action taken; and
    - The next appropriate action recommended.
  - b. A list of all cases FEMC closed as legally insufficient since the last report;
  - c. A list showing the status of compliance with all final orders with pending provisions;
  - d. A list of cases where the alleged violation is for unlicensed activity and the subject also holds a license with another profession within the department.
- B4. Information regarding the failure of FEMC to comply with any provisions of the contract.
- B5. A list of all legal cases where FEMC has not been the prevailing party. The list must include copies of final orders and specify cases where attorney fees have been awarded.
- B6. Corrective Plan as required by Section V(G) of the contract.
- B7. A detailed report of expenditures and cash balance.
- B8. Long Range Estimates of Revenue.

B9. Detailed information for the last quarter as required by contract:

- The number of license renewals;
- The number of license applications received;
- The number of licenses approved;
- The number of licenses denied;
- The number of licenses issued;
- The average time required to issue a license;
- The number of examinations administered;
- The number of applicants who passed the examination;
- The number of applicants who failed the examination;
- The number of complaints received;
- The number of complaints determined to be legally sufficient;
- The number of complaints dismissed;
- The number of complaints determined to have probable cause;
- The number of administrative complaints issued along with the status of the complaint; and
- The number and nature of disciplinary actions taken by the FBPE.

## **Section VII. Deliverables**

### *B1. Performance Standards*

## B1. Performance Standards

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In order to facilitate efficient and cost effective regulation by the Florida Engineers Management Corporation ("FEMC"), the following performance standards and measurable outcomes are adopted:

- (1) FEMC shall make a determination of legal sufficiency within 30 days of receipt of a complaint.

*In the Second Quarter of Fiscal Year 2017 / 2018, 145 total new complaints were received of which 136 were complaints against licensees and 9 were complaints against unlicensed individuals or entities. Of the new licensed complaints, 98 were deemed legally sufficient, 1 were deemed legally insufficient, and 37 were undetermined at the end of the Second Quarter, as they were still within the 30 days of determination and were still in the initial review process. Of the new unlicensed complaints, 10 were deemed legally sufficient, 9 were deemed legally insufficient, and 0 were undetermined at the end of the Second Quarter, due to being within the 30 days of determination and still in the initial review process.*

- (2) Within fifteen days of receiving a complaint that is determined to be legally sufficient, FEMC shall furnish to the subject or the subject's attorney a copy of the complaint or document that resulted in the initiation of the investigation.

*Of the 106 cases deemed legally sufficient, the subject (or subject's attorney) was notified and furnished a copy of the complaint, or, in the case of some unlicensed cases, furnished with a Cease and Desist Order resulting in 100% compliance with the Rule.*

- (3) FEMC shall provide status reports to the Board regarding all outstanding disciplinary cases at every other regularly scheduled meeting of the Board. The status report shall include all legally sufficient disciplinary cases until entry of a final order by the Board. Upon entry of a final order, FEMC shall notify the licensee's employer of the action taken by the Board.

*Status reports were submitted to the Board at the December 2017 FBPE meeting. As far as FEMC can reasonably ascertain the existence of an employer for Respondent, FEMC now has 100% compliance with that requirement.*

- (4) FEMC shall refer to the Board any investigation or disciplinary proceeding not before the Division of Administrative Hearings pursuant to Chapter 120, Florida Statutes, or otherwise completed by FEMC within one year after the filing of a complaint.

*Referenced in the previous quarterly report, at the end of the 1st Quarter of the fiscal year 2017 –2018, 15 cases were identified as being more than one year old; and, of those 15 cases, 5 have been resolved. As a result of aging, there are 8 additional cases that reached year old status during the Second Quarter of Fiscal*

## B1. Performance Standards

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*Year 2017 / 2018 thus making a total of 18 cases which were more than one year old at the end of the Second Quarter for the 2017 / 2018 Fiscal Year.*

(5) FEMC shall notify the person who filed the complaint of the status of the investigation every six months, including whether probable cause has been found, when the case is on the agenda for consideration by the Board, and the status of any administrative proceeding or appeal.

*FEMC is in 100% compliance with this section.*

(8) Upon receipt of an application for a license, FEMC shall examine the application and, within 30 days after such receipt, notify the applicant of any apparent errors or omissions and request any additional information FEMC is permitted by law to require.

Type of Application	Applications Received	Applications in compliance	Applications not in compliance with 30 day notice
Engineer Intern	290	290	
Continuing Education Providers	13	13	
Continuing Education Courses	4	4	
Professional Engineer	320	320	
Endorsement	363	363	
Certificate of Authorizations	180	180	

(9) Every application for a license shall be approved or denied within 90 days after receipt of a completed application.

*FEMC is in 100% compliance with the rule.*

Applications Received and in 90 – Day Compliance	
<b>October 2017</b>	
Principles & Practice	266
Engineer Intern/Fundamentals	51
Continuing Education	7
Endorsements	198
Special Inspectors	1
<b>Total</b>	<b>523</b>
<b>November 2017</b>	
Principles & Practice	10
Engineer Intern/Fundamentals	37
Continuing Education	5
Endorsements	168
Special Inspectors	3

## B1. Performance Standards

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<b>Total</b>	<b>223</b>
<b>December 2017</b>	
Principles & Practice	20
Engineer Intern/Fundamentals	47
Continuing Education	0
Endorsements	144
Special Inspectors	1
<b>Total</b>	<b>213</b>
<b>Grand Total for quarter</b>	<b>959</b>

(10) If an applicant seeks a license for an activity that is exempt from licensure, FEMC shall notify the applicant and return any tendered application fee within 30 days after receipt of the original application.

*FEMC is in 100% compliance with this section due to the fact that it has not received any application for an exempt activity.*

(11) FEMC shall maintain the Board's web page and update the web page within 14 days of the date the updates go into effect. Administrative complaints shall be posted no later than 30 days after the recommendation by the probable cause panel. All active disciplinary cases shall be posted on the web page, including the final action taken by the Board until the terms of the final order are completed or until the licensee becomes inactive, retires, relinquishes the license or permits the license to become null and void.

*FEMC is in 100% compliance with this section.*

## **Section VII. Deliverables**

### *B2. Priority Referrals Compliance*



## **B2. Priority Referrals**

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During the second quarter of 2017-2018, there were no priority referrals.

## **Section VII. Deliverables**

### *B3a. Complaint Status List*

**B3a. – COMPLAINTS STATUS LIST FOR ALL CASES WORKED ON DURING 2<sup>nd</sup> QUARTER OF 2017 / 2018 FISCAL YEAR**

No.	Case Number	Complainant Name	Name of Subject; License No. of Subject	Received Date	Alleged Violation	Last Action Taken	Next Action Recommended
1.	2015013030	McGuire, Kevin	Henry, Earl	03/20/15	Statute or Rule at Issue: Section 471.033(1)(g), F.S., & Rule 61G15-19.001(4) by engaging in negligence in the practice of engineering	Investigation complete/Referred to DOAH-Hearing was October 26, 2017	Waiting on Recommended Order from ALJ
2.	2015023764	REDACTED	REDACTED	12/21/17	Statute or Rule at Issue: Section 471.033(1)(g), F.S., & Rule 61G15-19.001(4) by engaging in negligence in the practice of engineering; Section 471.033(1) (j), Florida Statutes, (j) Affixing or permitting to be affixed his or her seal, name, or digital signature to any final drawings, specifications, plans, reports, or documents that were not prepared by him or her or under his or her responsible supervision, direction, or control	Investigation Complete	Set for January 2018 PCP
3.	2015052732	REDACTED	REDACTED	12/07/15	Statute or Rule at Issue: Section 471.033(1)(g), F.S., & Rule 61G15-19.001(4) by engaging in negligence in the practice of engineering	Investigation Complete	Set for January 2018 PCP
4.	2016000255	Cartier, Kyle	Watkins, Malcolm	12/21/15	Statute or Rule at Issue: : § 455.227(1)(c), FS: Being convicted or found guilty of, or entering a plea of guilty or nolo contendere to, regardless of adjudication, a crime in any jurisdiction which relates to the....ability to practice, a licensee's profession; §455.227(1)(t), FS: Failing to report in writing a conviction or plea of nolo contendere, a crime in any jurisdiction	CLOSED	Closed w/FO- on Appeal at 1 <sup>st</sup> DCA
5.	2016005023	REDACTED	REDACTED	02/01/16	Statute or Rule at Issue: Section 471.033(1) (j), Florida Statutes, (j) Affixing or permitting to be affixed his or her seal, name, or digital signature to any final drawings, specifications, plans, reports, or documents that were not prepared by him or her or	Closed	Closed with Letter of Guidance

**B3a. – COMPLAINTS STATUS LIST FOR ALL CASES WORKED ON DURING 2<sup>nd</sup> QUARTER OF 2017 / 2018 FISCAL YEAR**

No.	Case Number	Complainant Name	Name of Subject; License No. of Subject	Received Date	Alleged Violation	Last Action Taken	Next Action Recommended
					under his or her responsible supervision, direction, or control; Rule 61G15-23.002(4) A title block shall be used on each sheet of plans or prints and shall contain the printed name, address, and license number of the engineer who has signed, dated and sealed the plans or prints.		
6. UL	2016010099	REDACTED	REDACTED UNLICENSED	03/01/16	Unlicensed Activity	Closed- Notice to CEASE & DESIST ISSUED	Close file- Agreement- Informal
7.	2016013495	Amaral, Mark	Kastner, Stehpen	03/17/16	Statute or Rule at Issue: Section 471.033(1)(g), F.S., & Rule 61G15-19.001(4) by engaging in negligence in the practice of engineering	Administrative Complaint filed	Received response to AC/In Negotiations
8.	2016014130	REDACTED	REDACTED	03/21/16	Statute or Rule at Issue: Section 471.033(1)(g), F.S., & Rule 61G15-19.001(4) by engaging in negligence in the practice of engineering	Investigation Complete	Set for January 2018 PCP
9.	2016028352	FBPE	Gavarrete, Gilberto	06/02/16	Statute or Rule at Issue: §471.033(1) (k), FS: Violating any order of the board of department previously entered in a disciplinary hearing	Closed	Closed with Final Order
10.	2016028548	REDACTED	Kastner, Stephen	06/15/16	Statute or Rule at Issue: Section 471.033(1)(g), F.S., & Rule 61G15-19.001(4) by engaging in negligence in the practice of engineering	Investigation Complete	AC filed/waiting on EOR to be returned
11.	2016029320	FBPE	Gilberti, Joseph	06/20/16	Statute or Rule at Issue: §455.227(1)(t), FS: Failing to report in writing a conviction or plea of nolo contendere, a crime in any jurisdiction	Administrative Complaint filed	Received EOR/ Referred to DOAH- currently in Abeyance
12.	2016033151	REDACTED	REDACTED	07/14/16	Statute or Rule at Issue: Section 471.033(1)(g), F.S., & Rule 61G15-19.001(4) by engaging in negligence in the practice of engineering	Closed	Dismissed with Closing Order
13.	2016035621	REDACTED	REDACTED	07/28/16		Sent to Consultant for Opinion	Receive Opinion and proceed

**B3a. – COMPLAINTS STATUS LIST FOR ALL CASES WORKED ON DURING 2<sup>nd</sup> QUARTER OF 2017 / 2018 FISCAL YEAR**

No.	Case Number	Complainant Name	Name of Subject; License No. of Subject	Received Date	Alleged Violation	Last Action Taken	Next Action Recommended
14.	2016039268	FBPE	CHA Companies of New York	07/27/16	Statute or Rule at Issue: Section 471.033(1)(a) (violation of any provision of Chapter 471); §471.023(4), FS: ...Each business organization certified under this section must notify the board within 1 month after any change in the information contained in the application upon which the certification is based	Investigation Complete- Citation Issued	AC filed//returned EOR-taking to PCP w /request
15.	2016041979	Geller, Leon	Brady, Robert	08/30/16	Statute or Rule at Issue: Section 471.033(1)(g), F.S., & Rule 61G15-19.001(4) by engaging in negligence in the practice of engineering	Investigation Complete	Set for February 2018 Board presentation
16.	2016044376	FBPE	Mitchell, Stephen	09/13/16	Statute or Rule at Issue: Section 471.033(1)(g), F.S., & Rule 61G15-19.001(4) by engaging in negligence in the practice of engineering	Closed	Closed with Final Order
17.	2016049209	REDACTED	REDACTED	10/25/16		Under Investigation	Continue Investigation
18. UL	2016050431	FBPE	James L. Dentico Contracting, Inc. UNLICENSED	10/31/16	Unlicensed Activity	Notice to CEASE & DESIST ISSUED	Awaiting Signed Affidavit from Subject to Close file
19.	2016050775	FBPE	Langley, Christian	11/03/16	Statute or Rule at Issue: Section 471.033(1)(g), F.S., & Rule 61G15-19.001(4) by engaging in negligence in the practice of engineering	Investigation Complete	AC filed/waiting on EOR to be returned
20.	2016054298	Bostick, Melissa	Barnett, Winthrop	11/28/16	Statute or Rule at Issue: Section 471.033(1)(g), F.S., & Rule 61G15-19.001(4) by engaging in negligence in the practice of engineering	Investigation Complete	AC Filed received EOR/in negotiations
21.	2016055099	Lukowiak, Ronald	Alpha Engineering of Lee	12/02/16	Statute or Rule at Issue: Florida Statute 471.033 (1) (g) Engaging in fraud or deceit, negligence, incompetence or misconduct, in the practice of engineering	Investigation Complete	AC filed/waiting on EOR to be returned
22. UL	2016056560	REDACTED	REDACTED UNLICENSED	12/12/16	Statute or Rule at Issue: 471.033(1) (i), FS: Providing engineering services through a corporation without	Investigation Complete	Set for January 2018 PCP

**B3a. – COMPLAINTS STATUS LIST FOR ALL CASES WORKED ON DURING 2<sup>nd</sup> QUARTER OF 2017 / 2018 FISCAL YEAR**

No.	Case Number	Complainant Name	Name of Subject; License No. of Subject	Received Date	Alleged Violation	Last Action Taken	Next Action Recommended
					a Certificate of Authorization		
23.	2016056565	McCalister, Bill	Barber, Timothy A.	12/13/16	Statute or Rule at Issue: §471.033, F.S.: Engaging in fraud or deceit, negligence, incompetence or misconduct, in the practice of engineering	Investigation Complete	AC filed/waiting on EOR to be returned
24. UL	2017002512	Teply, Debra	Harris Civil Engineering, LLC UNLICENSED	01/17/17	Statute or Rule at Issue: § 471.023, FS: Offering Engineering Services without a Certificate of Authorization. § 471.031(1)(b)1., FS: Utilizing the protected title of Civil Engineer	Investigation Complete  NOTICE TO CEASE & DESIST ISSUED	AC filed/ waiting on EOR to be returned  Awaiting Response to C&D
25.	2017002568	REDACTED	REDACTED	01/17/17		Sent to Consultant for Opinion	Receive Opinion and proceed
26.	2017002576	REDACTED	REDACTED	01/17/17		Sent to Consultant for Opinion	Receive Opinion and proceed
27.	2017002868	REDACTED	REDACTED	01/18/17	Statute or Rule at Issue: Section 471.033(1)(g), F.S., & Rule 61G15-19.001(4) by engaging in negligence in the practice of engineering	Investigation Complete	Set for January 2018 PCP
28. UL	2017003147	REDACTED	REDACTED UNLICENSED	01/09/17		Under Investigation	Continue Investigation
29.	2017003149	REDACTED	REDACTED	01/09/17		Sent to Consultant for Review	Await return of opinion and proceed accordingly
30.	2017004672	REDACTED	REDACTED	01/30/17		Sent to Consultant for Opinion	Receive Opinion and proceed
31.	2017005262	REDACTED	REDACTED	02/01/17		Sent to Consultant for Opinion	Receive Opinion and proceed
32. UL	2017006001	REDACTED	REDACTED UNLICENSED	02/06/17		Under Investigation	Continue Investigation
33.	2017006475	FBPE	Williams, Allan B.	02/09/17	Statute or Rule at Issue: §471.031(1)(k), Florida Statutes: Violating any order of the board or department previously entered in a disciplinary hearing	CLOSED	Subject DECEASED

**B3a. – COMPLAINTS STATUS LIST FOR ALL CASES WORKED ON DURING 2<sup>nd</sup> QUARTER OF 2017 / 2018 FISCAL YEAR**

No.	Case Number	Complainant Name	Name of Subject; License No. of Subject	Received Date	Alleged Violation	Last Action Taken	Next Action Recommended
34. UL	2017006837	FBPE	Williams, Allan B. UNLICENSED	02/13/17	Statute or Rule at Issue: §471.023, Florida Statutes; Offering and providing engineering services without a Certificate of Authorization	CLOSED	Subject DECEASD
35.	2017007080	REDACTED	REDACTED	02/13/17		Sent to Consultant for Opinion	Receive Opinion and proceed
36.	2017008786	REDACTED	REDACTED	02/23/17		Sent to Consultant for Opinion	Receive Opinion and proceed
37.	2017008790	REDACTED	REDACTED	02/23/17	Statute or Rule at Issue: Section 471.033(1)(g), F.S., & Rule 61G15-19.001(4) by engaging in negligence in the practice of engineering	Closed	Dismissed with Closing Order
38. UL	2017009244	Anonymous	Baseline Engineering and Land Surveying, Inc. UNLICENSED	02/24/17	Statute or Rule at Issue: §471.023(1), FS: Offering engineering services through a corporation without a Certificate of Authorization	Investigation Complete Notice to CEASE & DESIST ISSUED	Set for February Board presentation-Default Awaiting Signed Affidavit from Subject to Close file
39.	2017010023	REDACTED	REDACTED	03/02/17	Statute or Rule at Issue: §471.025(1), FS: Failure to sign, seal or date final engineering documents.	Closed	Closed with Letter of Guidance
40. UL	2017010149	FBPE	Wilson, Mario UNLICENSED	02/20/17	Statute or Rule at Issue: Section 471.033(1)(a) Violating any provision of s. 455.227(1), s. 471.025, or s. 471.031, Section 471.031(1)(c), Florida Statutes: "A person may not: ....(c) Present as his or her own the license of another.	Investigation complete Notice to CEASE & DESIST ISSUED	Set for January 2018 PCP Awaiting Signed Affidavit from Subject to Close file
41.	2017010771	REDACTED	REDACTED	03/04/17		Under Investigation	Continue Investigation
42	2017011835	REDACTED	REDACTED	03/10/17	Statute or Rule at Issue: Section 471.033(1)(g), F.S., & Rule 61G15-19.001(4) by engaging in negligence in the practice of engineering	Sent to Consultant for Review	Await return of opinion and proceed accordingly
43.	2017016054	REDACTED	REDACTED	04/04/17		Under Investigation	Continue Investigation
44.	2017016715	REDACTED	REDACTED	04/06/17		Sent to Consultant for	Receive Opinion and

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No.	Case Number	Complainant Name	Name of Subject; License No. of Subject	Received Date	Alleged Violation	Last Action Taken	Next Action Recommended
						Opinion	proceed
45.	2017017109	REDACTED	REDACTED	04/05/17		Sent to Consultant for Review	Await return of opinion and proceed accordingly
46.	2017018865	REDACTED	REDACTED	04/19/17		Sent to Consultant for Review	Await return of opinion and proceed accordingly
47.	2017020571	May, Joseph	Stoppi, John	04/27/17	Statute or Rule at Issue: § 471.033(1) (a), FS: Violating any provision of §455.227(1), §471.025, or §471.031, or any other provision of this chapter or rule of the board or department: Rule 61G15-29.001(3); § 471.033 (1)(j) and Rule 61G15-19.001(6)(b); Affixing or permitting to be affixed his or her seal, name or digital signature to any final to any final drawings, specifications, plans reports or documents that were not prepared by him or her or under his or her responsible supervision, direction, or control.	Investigation Complete	Set for February 2018 Board presentation
48.	2017021023	Decarion, Timothy	Jarmel, Richard	05/02/17		Notice to CEASE & DESIST ISSUED	Awaiting Signed Affidavit from Subject to Close file
49. UL	2017021036	FBPE	Kizel, Jarmel UNLICENSED	05/02/17		Notice to CEASE & DESIST ISSUED	Awaiting Signed Affidavit from Subject to Close file
50.	2017021048	REDACTED	REDACTED	05/01/17		Under Investigation	Continue Investigation
51.	2017021082	REDACTED	REDACTED	04/28/17		Sent to Consultant for Review	Await return of opinion and proceed accordingly
52.	2017022151	REDACTED	REDACTED	05/05/17	Citation Issued	CLOSED  Citation Issued	Paid in Full
53. UL	2017022374	REDACTED	REDACTED UNLICENSED	05/08/17		Under Investigation	Continue Investigation
54.	2017022437	REDACTED	REDACTED	05/08/17		Under Investigation	Continue Investigation



**B3a. – COMPLAINTS STATUS LIST FOR ALL CASES WORKED ON DURING 2<sup>nd</sup> QUARTER OF 2017 / 2018 FISCAL YEAR**

No.	Case Number	Complainant Name	Name of Subject; License No. of Subject	Received Date	Alleged Violation	Last Action Taken	Next Action Recommended
55.	2017022609	FBPE	Wainwright Engineering & Consulting UNLICENSED	05/08/17		Notice to CEASE & DESIST ISSUED	Awaiting Signed Affidavit from Subject to Close file
56.	2017023531	REDACTED	REDACTED	05/15/17		Sent to Consultant for Review	Await return of opinion and proceed accordingly
57.	2017025010	REDACTED	REDACTED	05/24/17		Under Investigation	Continue Investigation
58.	2017025403	REDACTED	REDACTED	05/23/17		Sent to Consultant for Review	Await return of opinion and proceed accordingly
59.	2017026342	REDACTED	REDACTED	06/02/17		Sent to Consultant for Review	Await return of opinion and proceed accordingly
60.	2017026726	REDACTED	REDACTED	06/05/17		Sent to Consultant for Review	Await return of opinion and proceed accordingly
61.	2017026730	REDACTED	REDACTED	06/05/17		Sent to Consultant for Review	Await return of opinion and proceed accordingly
62.	2017026828	REDACTED	REDACTED	06/05/17	Statute or Rule at Issue: §471.033(1) (i), FS: Practicing on a revoked, suspended, inactive, or delinquent license	CLOSED	Voluntary Relinquish
63.	2017028342	REDACTED	REDACTED	06/11/17		Sent to Consultant for Review	Await return of opinion and proceed accordingly
64.	2017028343	REDACTED	REDACTED	06/12/17	Statute or Rule at Issue: Section 471.033(1)(g), F.S., & Rule 61G15-19.001(4) by engaging in negligence in the practice of engineering	Closed	Dismissed with Closing Order
65.	2017028447	REDACTED	REDACTED UNLICENSED	06/12/17		Sent to Consultant for Review	Await return of opinion and proceed accordingly
66.	2017028456	REDACTED	REDACTED	06/15/17	Statute or Rule at Issue: §471.033(1)(g), FS: Engaging in fraud or deceit, negligence, incompetence, or misconduct, in the practice of engineering; Rule	Closed	Closed with Letter of Guidance

**B3a. – COMPLAINTS STATUS LIST FOR ALL CASES WORKED ON DURING 2<sup>nd</sup> QUARTER OF 2017 / 2018 FISCAL YEAR**

No.	Case Number	Complainant Name	Name of Subject; License No. of Subject	Received Date	Alleged Violation	Last Action Taken	Next Action Recommended
					61G15-19.001(6)(f) "Becoming involved in a conflict of interest with an employer or client, without the knowledge and approval of the client or employer, but if unavoidable a professional engineer shall immediately take the following actions: 1. Disclose in writing to his employer or client the full circumstances as to a possible conflict of interest; and, 2. Assure in writing that the conflict will in no manner influence the professional engineer's judgment or the quality of his services to his employer or client; and 3. Promptly inform his client or employer in writing of any business association, interest or circumstances which may be influencing his judgment or the quality of his services to his client or employer;" & 61G15-19.001(6)(r) "Revealing facts, data or information obtained in a professional capacity without the prior consent of the professional engineer's client or employer except as authorized or required by law.		
67.	2017028609	REDACTED	REDACTED	05/23/17		Sent to Consultant for Review	Await return of opinion and proceed accordingly
68.	2017028611	REDACTED	REDACTED	06/16/17	Citation Issued	Citation Issued	Continue Investigation
69.	2017029480	REDACTED	REDACTED	06/17/17		Sent to Consultant for Review	Await return of opinion and proceed accordingly
70.	2017029746	REDACTED	REDACTED	06/08/17		Sent to Consultant for Review	Await return of opinion and proceed accordingly
71.	2017030099	REDACTED	REDACTED	06/23/17		Sent to Consultant for Review	Await return of opinion and proceed accordingly
72.	2017030995	REDACTED	REDACTED	06/2/17		Under Investigations	Continue Investigation
73	2017031256	REDACTED	REDACTED	06/29/17	Statute or Rule at Issue: Section 471.033(1)(g), F.S.,	Investigation Complete	Set for January 2018 PCP

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No.	Case Number	Complainant Name	Name of Subject; License No. of Subject	Received Date	Alleged Violation	Last Action Taken	Next Action Recommended
					& Rule 61G15-19.001(4) by engaging in negligence in the practice of engineering		
74. UL	2017031447	FBPE	American Services of Miami UNLICENSED	06/27/17		Closed- Notice to CEASE & DESIST ISSUED	Close file- Agreement- Informal
75.	2017032049	REDACTED	REDACTED	07/05/17	Statute or Rule at Issue: Section 471.033(1)(g), F.S., & Rule 61G15-19.001(4) by engaging in negligence in the practice of engineering	Closed	Dismissed with Closing Order
76.	2017033051	REDACTED	REDACTED	07/10/17	Statute or Rule at Issue: Section 471.033(1)(g), F.S., & Rule 61G15-19.001(4) by engaging in negligence in the practice of engineering	Closed	Dismissed with Closing Order
77.	2017033337	REDACTED	REDACTED	07/11/17		Closed	Paid in full
78. UL	2017033357	REDACTED	REDACTED UNLICENSED	07/07/17		Notice to CEASE & DESIST ISSUED	Awaiting Signed Affidavit from Subject to Close file
79.	2017033366	REDACTED	REDACTED	06/16/17	Statute or Rule at Issue: §471.033(1)(c) Having a license to practice engineering acted against by another jurisdiction; §471.033(1) (i), FS: Providing engineering services through a corporation without a Certificate of Authorization	Closed	Closed with Letter of Guidance
80.	2017034742	REDACTED	REDACTED	07/18/17		Sent to Consultant for Review	Await return of opinion and proceed accordingly
81. UL	2017034915	FBPE	Legrand, Janet UNLICENSED	07/18/17		Closed- Notice to CEASE & DESIST ISSUED	Close file- Agreement- Informal
82.	2017035040	REDACTED	REDACTED	07/21/17		Under Investigations	Continue Investigation
83.	2017035048	REDACTED	REDACTED	07/21/17		Under Investigations	Continue Investigation
84.	2017035180	REDACTED	REDACTED	07/06/17		Under Investigations	Continue Investigation
85.	2017035452	REDACTED	REDACTED	07/18/17	Statute or Rule at Issue: §471.033, F.S.: Engaging in	Investigation Complete	Set for January 2018 PCP

### B3a. – COMPLAINTS STATUS LIST FOR ALL CASES WORKED ON DURING 2<sup>nd</sup> QUARTER OF 2017 / 2018 FISCAL YEAR

No.	Case Number	Complainant Name	Name of Subject; License No. of Subject	Received Date	Alleged Violation	Last Action Taken	Next Action Recommended
					fraud or deceit, negligence, incompetence or misconduct, in the practice of engineering		
86. UL	2017035483	REDACTED	REDACTED UNLICENSED	07/24/17		Under Investigations	Continue Investigation
87.	2017035636	REDACTED	REDACTD	07/25/17	Statute or Rule at Issue: §471.033, F.S.: Engaging in fraud or deceit, negligence, incompetence or misconduct, in the practice of engineering; Rule 61G15-19.001(6)(f) failure to disclose conflict of interest relating to the practice of engineering	Closed	Dismissed with Closing Order
88.	2017035640	REDACTED	REDACTED	07/25/17	Statute or Rule at Issue: §471.033, F.S.: Engaging in fraud or deceit, negligence, incompetence or misconduct, in the practice of engineering; Rule 61G15-19.001(6)(f) failure to disclose conflict of interest relating to the practice of engineering	Closed	Dismissed with Closing Order
89.	2017036438	REDACTED	REDACTED	07/27/17		Sent to Consultant for Review	Await return of opinion and proceed accordingly
90.	2017037225	FBPE	Brizeula, Alfredo	07/31/17	Statute or Rule at Issue: §471.033(1) (i), FS: Practicing on a revoked, suspended, inactive, or delinquent license	Investigation Complete	AC Filed / In Negotiations
91.	2017037229	FBPE	Al Brizuela Engineering	07/31/17	Statute or Rule at Issue: §471.033(1) (i), FS: Practicing on a revoked, suspended, inactive, or delinquent license	Investigation Complete	AC Filed / In Negotiations
92.	2017038543	REDACTED	REDACTED	08/02/17		Sent to Consultant for Review	Await return of opinion and proceed accordingly
93. UL	2017038581	FBPE	Murdoch Engineering, LLC UNLICENSED	08/02/17		Notice to CEASE & DESIST ISSUED	Awaiting Signed Affidavit from Subject to Close file
94.	2017038898	RDACTED	REDACTED	08/03/17		Under Investigations	Continue Investigation
95.	2017038902	REDACTED	REDACTED	08/03/17		Under Investigations	Continue Investigation

**B3a. – COMPLAINTS STATUS LIST FOR ALL CASES WORKED ON DURING 2<sup>nd</sup> QUARTER OF 2017 / 2018 FISCAL YEAR**

No.	Case Number	Complainant Name	Name of Subject; License No. of Subject	Received Date	Alleged Violation	Last Action Taken	Next Action Recommended
96.	2017039449	REDACTED	REDACTED	08/03/17		Sent to Consultant for Review	Await return of opinion and proceed accordingly
97. UL	2017039533	FBPE	AAA Construction and Restoration Services UNLICENSED	08/11/17		Closed- Notice to CEASE & DESIST ISSUED	Close file- Agreement- Informal
98.	2017039800	REDACTED	REDACTED	08/15/17	Citation Issued	Closed	Paid in Full
99.	2017040296	FBPE	Needham, Jason	08/16/17	Statute or Rule at Issue: §471.033(1)(c), FS: Having a license to practice engineering revoked, suspended, or otherwise acted against, including the denial of licensure...for any act that would constitute a violation of this chapter or chapter 455; 471.033(1)(d), FS: Being convicted or found guilty of, or entering a plea of nolo contendere to, regardless of adjudication, a crime in any jurisdiction which directly relates to the practice of engineering or the ability to practice engineering	Investigation Complete	AC filed/waiting on EOR
100 UL	2017041337	Aguero, Norman	Diaz-Perna, Miguel UNLICENSED	07/27/17		Notice to CEASE & DESIST ISSUED	Awaiting Signed Affidavit from Subject to Close file
101	2017041586	REDACTED	REDACTED	08/24/17		Under Investigations	Continue Investigation
102	2017042492	REDACTED	REDACTED	08/03/17		Under Investigations	Continue Investigation
103	2017042495	REDACTED	REDACTED	08/03/17		Under Investigations	Continue Investigation
104	2017043542	REDACTED	REDACTED	08/28/17		Under Investigations	Continue Investigation
105 UL	2017044148	FBPE	Emerc Engineering, LLC UNLICENSED	09/13/17		Closed- Notice to CEASE & DESIST ISSUED	Close file- Agreement- Informal
106	2017044300	FBPE	San3	09/14/17		Notice to CEASE & DESIST	Awaiting Signed Affidavit

**B3a. – COMPLAINTS STATUS LIST FOR ALL CASES WORKED ON DURING 2<sup>nd</sup> QUARTER OF 2017 / 2018 FISCAL YEAR**

No.	Case Number	Complainant Name	Name of Subject; License No. of Subject	Received Date	Alleged Violation	Last Action Taken	Next Action Recommended
UL			Engineering UNLICENSED			ISSUED	from Subject to Close file
107	2017044304	REDACTED	REDACTED	09/14/17		Under Investigations	Continue Investigation
108	2017044324	REDACTED	REDACTED	09/14/17		Sent to Consultant for Review	Await return of opinion and proceed accordingly
109	2017044718	REDACTED	REDACTED	08/28/17		Sent to Consultant for Review	Await return of opinion and proceed accordingly
110	2017044807	REDACTED	REDACTED	08/23/17		Sent to Consultant for Review	Await return of opinion and proceed accordingly
111	2017044836	REDACTED	REDACTED	09/13/17	Statute or Rule at Issue: §471.033(1) (i), FS: Providing engineering services through a corporation without a Certificate of Authorization	Closed	Closed with Letter of Guidance
112 UL	2017044871	Anonymous	Jim Diehl Designs UNLICENSED	09/14/17		Notice to CEASE & DESIST ISSUED	Awaiting Signed Affidavit from Subject to Close file
113	2017045355	REDACTED	REDACTED	08/04/17		Sent to Consultant for Review	Await return of opinion and proceed accordingly
114	2017045676	REDACTED	REDACTED	09/20/17		Sent to Consultant for Review	Await return of opinion and proceed accordingly
115	2017045689	REDACTED	REDACTED	09/20/17		Under Investigations	Continue Investigation
116	2017045690	REDACTED	REDACTED	09/20/17		Under Investigations	Continue Investigation
117	2017045795	REDACTED	REDACTED	09/21/17		Sent to Consultant for Review	Await return of opinion and proceed accordingly
118	2017046158	REDACTED	REDACTED	09/25/17		Under Investigations	Continue Investigation
119	2017046175	REDACTED	REDACTED	09/25/17		Under Investigations	Continue Investigation
120	2017046397	FBPE	Sea LTD	09/25/17		Under Investigations	Continue Investigation
121	2017046627	REDACTED	REDACTED	09/29/17		CLOSED	Complaint Withdrawn
122 UL	2017047107	FBPE	Shakhtour, Khalil UNLICENSED	09/29/17		CASE CLOSED	SUBJECT DECEASED

**B3a. – COMPLAINTS STATUS LIST FOR ALL CASES WORKED ON DURING 2<sup>nd</sup> QUARTER OF 2017 / 2018 FISCAL YEAR**

No.	Case Number	Complainant Name	Name of Subject; License No. of Subject	Received Date	Alleged Violation	Last Action Taken	Next Action Recommended
123 UL	2017047108	FBPE	Altima Engineering & Drafting Services UNLICENSED	09/29/17		CLOSED  Notice to CEASE & DESIST ISSUED	No Further Action Required
124 UL	2017047211	FBPE	Flaquer Engineering Group, LLC UNLICENSED	10/04/17		Closed- Notice to CEASE & DESIST ISSUED	Close file- Agreement- Informal
125 UL	2017047461	FBPE	Ashmore & Associates Services UNLICENSED	10/04/17		Closed- Notice to CEASE & DESIST ISSUED	Close file- Agreement- Informal
126	2017047627	Vo, Hiep	Haywood, Christopher	09/29/17		Closed- Notice to CEASE & DESIST ISSUED	Close file- Agreement- Informal
127	2017047630	REDACTED	REDACTED	10/06/17		Sent to Consultant for Review	Await return of opinion and proceed accordingly
128 UL	2017048135	FBPE	CED Technologies Incorporated UNLICENSED	10/07/17		Closed- Notice to CEASE & DESIST ISSUED	Close file- Agreement- Informal
129 UL	2017048142	FBPE	Kilgore, Mark UNLICENSED	10/07/17		Closed- Notice to CEASE & DESIST ISSUED	Close file- Agreement- Informal
130 UL	2017048194	FBPE	Baker, Thomas UNLICENSED	10/10/17		Closed	No Violation Found
131	2017048442	REDACTED	REDACTED	10/10/17		Under Investigation	Continue Investigation
132	2017048443	REDACTED	REDACTED	10/10/17		Sent to Consultant for Review	Await return of opinion and proceed accordingly

**B3a. – COMPLAINTS STATUS LIST FOR ALL CASES WORKED ON DURING 2<sup>nd</sup> QUARTER OF 2017 / 2018 FISCAL YEAR**

No.	Case Number	Complainant Name	Name of Subject; License No. of Subject	Received Date	Alleged Violation	Last Action Taken	Next Action Recommended
133	2017048509	REDACTED	REDACTED	10/05/17		Under Investigations	Continue Investigation
134	2017048908	REDACTED	REDACTED	10/10/17		Under Investigations	Continue Investigation
135 UL	2017049123	FBPE	Gailey, Christopher R. UNLICENSED	10/13/17		Notice to CEASE & DESIST ISSUED	Awaiting Signed Affidavit from Subject to Close file
136	2017049527	REDACTED	REDACTED	12/14/17	Statute or Rule at Issue: Section 471.033(1)(a) Violating any provision ....of this chapter or rule of the board or department; §471.023(4), FS: ...Each business organization certified under this section must notify the board within 1 month after any change in the information contained in the application upon which the certification is based	Investigation Complete	Set for January 2018 PCP
137	2017049529	REDACTED	REDACTED	12/14/17	Statute or Rule at Issue: §471.033(i), F.S.: by practicing engineering on a suspended license	Investigation Complete	Set for January 2018 PCP
138	2017049660	REDACTED	REDACTED	10/16/17		Under Review	Continue Investigation
139	2017049663	REDACTED	REDACTED	10/16/17		Sent to Consultant for Review	Await return of opinion and proceed accordingly
140	2017049725	REDACTED	REDACTED	10/13/17		Under Review	Continue Investigation
141	2017050308	REDACTED	REDACTED	10/20/17		Sent to Consultant for Opinion	Receive Opinion and proceed
142	2017051531	REDACTED	REDACTED	10/26/17		Under Investigation	Continue Investigation
143	2017051772	REDACTED	REDACTED	10/30/17		Sent to Consultant for Review	Await return of opinion and proceed accordingly
144	2017051833	REDACTED	REDACTED	10/27/17		Closed	Legally Insufficient
145 UL	2017052756	REDACTED	REDACTED UNLICENSED	10/18/17		Under Review	Continue Investigation
146 UL	2017052760	REDACTED	REDACTED UNLICENSED	10/18/17		Under Review	Continue Investigation
147	2017053140	REDACTED	REDACTED	11/07/17		Under Investigations	Continue Investigation



**B3a. – COMPLAINTS STATUS LIST FOR ALL CASES WORKED ON DURING 2<sup>nd</sup> QUARTER OF 2017 / 2018 FISCAL YEAR**

No.	Case Number	Complainant Name	Name of Subject; License No. of Subject	Received Date	Alleged Violation	Last Action Taken	Next Action Recommended
148	2017053150	REDACTED	REDACTED	11/06/17		Under Investigations	Continue Investigation
149	2017053838	REDACTED	REDACTED	11/13/17		Under Investigations	Continue Investigation
150	2017053845	REDACTED	REDACTED	11/13/17		Under Investigations	Continue Investigation
151	2017053847	REDACTED	REDACTED	11/13/17		Under Investigations	Continue Investigation
152	2017053849	REDACTED	REDACTED	11/13/17		Under Investigations	Continue Investigation
153 UL	2017053878	FBPE	Tinl, Nicholas UNLICENSED	11/09/17		Closed- Notice to CEASE & DESIST ISSUED	Close file- Agreement- Informal
154	2017053908	REDACTED	REDACTED	11/109/17	Statute or Rule at Issue: Section 471.031(1)(b), Florida Statutes, (use of term PE without holding an active license); 471.023(5), (“Disciplinary action against a business organization shall be administered in the same manner and on the same grounds as disciplinary action against a licensed engineer”)	Investigation Complete	Set for January 2018 PCP
155	2017054898	REDACTED	REDACTED	11/13/17		Under Investigation	Continue Investigation
156	2017055429	REDACTED	REDACTED	11/17/17		Under Investigation	Continue Investigation
157	2017057023	REDACTED	REDACTED	12/04/17		Under Investigation	Continue Investigation
158	2017057111	REDACTED	REDACTED	11/29/17		Under Investigation	Continue Investigation
159 UL	2017057121	FBPE	INP North America, Inc. UNLICENSED	11/08/17		Notice to CEASE & DESIST ISSUED	Awaiting Signed Affidavit from Subject to Close file
160	2017057651	REDACTED	REDACTED	12/06/17		Under Investigation	Continue Investigation
161	2017057672	REDACTED	REDACTED	12/04/17		Initial Review	Continue Investigation
162 UL	2017058154	REDACTED	REDACTED UNLICENSED	12/07/17		Initial Review	Continue Investigation
163	2017058199	REDACTED	REDACTED	12/0/717		Initial Review	Continue Investigation
164	2017058693	REDACTED	REDACTED	12/12/17		Initial Review	Continue Investigation
165	2017058696	REDACTED	REDACTED	12/12/17		Under Investigation	Continue Investigation
166	2017058723	REDACTED	REDACTED	12/12/17		Initial Review	Continue Investigation

**B3a. – COMPLAINTS STATUS LIST FOR ALL CASES WORKED ON DURING 2<sup>nd</sup> QUARTER OF 2017 / 2018 FISCAL YEAR**

No.	Case Number	Complainant Name	Name of Subject; License No. of Subject	Received Date	Alleged Violation	Last Action Taken	Next Action Recommended
167	2017058725	REDACTED	REDACTED	12/12/17		Under Investigation	Continue Investigation
168	2017058726	REDACTED	REDACTED	12/12/17		Initial Review	Continue Investigation
169	2017058735	REDACTED	REDACTED	12/12/17		Under Investigation	Continue Investigation
170	2017058759	REDACTED	REDACTED	12/12/17		Initial Review	Continue Investigation
171	2017058763	REDACTED	REDACTED	12/12/17		Initial Review	Continue Investigation
172	2017058765	REDACTED	REDACTED	12/12/17		Initial Review	Continue Investigation
173	2017058767	REDACTED	REDACTED	12/12/17		Initial Review	Continue Investigation
174	2017058768	REDACTED	REDACTED	12/12/17		Initial Review	Continue Investigation
175	2017058772	REDACTED	REDACTED	12/12/17		Under Investigation	Continue Investigation
176	2017058774	REDACTED	REDACTED	12/12/17		Under Investigation	Continue Investigation
177	2017058777	REDACTED	REDACTED	12/12/17		Under Investigation	Continue Investigation
178	2017058778	REDACTED	REDACTED	12/12/17		Initial Review	Continue Investigation
179	2017058787	REDACTED	REDACTED	12/12/17		Under Investigation	Continue Investigation
180	2017058792	REDACTED	REDACTED	12/12/17		Initial Review	Continue Investigation
181	2017058795	REDACTED	REDACTED	12/12/17		Under Investigation	Continue Investigation
182	2017058798	REDACTED	REDACTED	12/12/17		Initial Review	Continue Investigation
183	2017058804	REDACTED	REDACTED	12/12/17		Under Investigation	Continue Investigation
184	2017058808	REDACTED	REDACTED	12/12/17		Initial Review	Continue Investigation
185	2017058811	REDACTED	REDACTED	12/12/17		Under Investigation	Continue Investigation
186	2017058814	REDACTED	REDACTED	12/12/17		Initial Review	Continue Investigation
187	2017058817	REDACTED	REDACTED	12/12/17		Under Investigation	Continue Investigation
188	2017058818	REDACTED	REDACTED	12/12/17		Initial Review	Continue Investigation
189	2017058889	REDACTED	REDACTED	12/12/17		Under Investigation	Continue Investigation
190	2017058890	REDACTED	REDACTED	12/12/17		Initial Review	Continue Investigation
191	2017058892	REDACTED	REDACTED	12/12/17		Under Investigation	Continue Investigation
192	2017058894	REDACTED	REDACTED	12/12/17		Initial Review	Continue Investigation
193	2017058895	REDACTED	REDACTED	12/12/17		Under Investigation	Continue Investigation
194	2017058896	REDACTED	REDACTED	12/12/17		Under Investigation	Continue Investigation
195	2017058899	REDACTED	REDACTED	12/12/17		Initial Review	Continue Investigation

**B3a. – COMPLAINTS STATUS LIST FOR ALL CASES WORKED ON DURING 2<sup>nd</sup> QUARTER OF 2017 / 2018 FISCAL YEAR**

No.	Case Number	Complainant Name	Name of Subject; License No. of Subject	Received Date	Alleged Violation	Last Action Taken	Next Action Recommended
196	2017058901	REDACTED	REDACTED	12/12/17		Initial Review	Continue Investigation
197	2017058902	REDACTED	REDACTED	12/12/17		Initial Review	Continue Investigation
198	2017058904	REDACTED	REDACTED	12/12/17		Under Investigation	Continue Investigation
199	2017058905	REDACTED	REDACTED	12/12/17		Initial Review	Continue Investigation
200	2017058907	REDACTED	REDACTED	12/12/17		Under Investigation	Continue Investigation
201	2017058908	REDACTED	REDACTED	12/12/17		Initial Review	Continue Investigation
202	2017058912	REDACTED	REDACTED	12/12/17		Under Investigation	Continue Investigation
203	2017058915	REDACTED	REDACTED	12/12/17		Initial Review	Continue Investigation
204	2017058916	REDACTED	REDACTED	12/12/17		Initial Review	Continue Investigation
205	2017058917	REDACTED	REDACTED	12/12/17		Initial Review	Continue Investigation
206	2017058920	REDACTED	REDACTED	12/12/17		Initial Review	Continue Investigation
207	2017058921	REDACTED	REDACTED	12/12/17		Under Investigation	Continue Investigation
208	2017058923	REDACTED	REDACTED	12/12/17		Under Investigation	Continue Investigation
209	2017058924	REDACTED	REDACTED	12/12/17		Under Investigation	Continue Investigation
210	2017058926	REDACTED	REDACTED	12/12/17		Under Investigation	Continue Investigation
211	2017058929	REDACTED	REDACTED	12/12/17		Under Investigation	Continue Investigation
212	2017058930	REDACTED	REDACTED	12/12/17		Initial Review	Continue Investigation
213	2017058931	REDACTED	REDACTED	12/12/17		Initial Review	Continue Investigation
214	2017058934	REDACTED	REDACTED	12/12/17		Initial Review	Continue Investigation
215	2017058942	REDACTED	REDACTED	12/12/17		Initial Review	Continue Investigation
216	2017058945	REDACTED	REDACTED	12/12/17		Initial Review	Continue Investigation
217	2017058947	REDACTED	REDACTED	12/12/17		Initial Review	Continue Investigation
218	2017058950	REDACTED	REDACTED	12/12/17		Initial Review	Continue Investigation
219	2017058960	REDACTED	REDACTED	12/12/17		Initial Review	Continue Investigation
220	2017058962	REDACTED	REDACTED	12/12/17		CLOSED	Entry Error
221	2017058963	REDACTED	REDACTED	12/12/17		Initial Review	Continue Investigation
222	2017058966	REDACTED	REDACTED	12/12/17		Initial Review	Continue Investigation
223	2017058968	REDACTED	REDACTED	12/12/17		Initial Review	Continue Investigation
224	2017058970	REDACTED	REDACTED	12/12/17		Initial Review	Continue Investigation

**B3a. – COMPLAINTS STATUS LIST FOR ALL CASES WORKED ON DURING 2<sup>nd</sup> QUARTER OF 2017 / 2018 FISCAL YEAR**

No.	Case Number	Complainant Name	Name of Subject; License No. of Subject	Received Date	Alleged Violation	Last Action Taken	Next Action Recommended
225	2017058971	REDACTED	REDACTED	12/12/17		Initial Review	Continue Investigation
226	2017058972	REDACTED	REDACTED	12/12/17		Initial Review	Continue Investigation
227	2017058974	REDACTED	REDACTED	12/12/17		Initial Review	Continue Investigation
228	2017058976	REDACTED	REDACTED	12/12/17		Initial Review	Continue Investigation
229	2017058977	REDACTED	REDACTED	12/12/17		Initial Review	Continue Investigation
230	2017058979	REDACTED	REDACTED	12/12/17		Initial Review	Continue Investigation
231	2017058980	REDACTED	REDACTED	12/12/17		Initial Review	Continue Investigation
232	2017058983	REDACTED	REDACTED	12/12/17		Under Investigation	Continue Investigation
233	2017058987	REDACTED	REDACTED	12/12/17		Initial Review	Continue Investigation
234	2017058989	REDACTED	REDACTED	12/12/17		Under Investigation	Continue Investigation
235	2017058992	REDACTED	REDACTED	12/12/17		Initial Review	Continue Investigation
236	2017058993	REDACTED	REDACTED	12/12/17		Under Investigation	Continue Investigation
237	2017058997	REDACTED	REDACTED	12/12/17		Initial Review	Continue Investigation
238	2017059000	REDACTED	REDACTED	12/12/17		Under Investigation	Continue Investigation
239	2017059003	REDACTED	REDACTED	12/12/17		Initial Review	Continue Investigation
240	2017059006	REDACTED	REDACTED	12/12/17		Under Investigation	Continue Investigation
241	2017059009	REDACTED	REDACTED	12/12/17		Initial Review	Continue Investigation
242	2017059011	REDACTED	REDACTED	12/12/17		Under Investigation	Continue Investigation
243	2017059013	REDACTED	REDACTED	12/12/17		Initial Review	Continue Investigation
244	2017059015	REDACTED	REDACTED	12/12/17		Under Investigation	Continue Investigation
245	2017059019	REDACTED	REDACTED	12/12/17		Under Investigation	Continue Investigation
246	2017059022	REDACTED	REDACTED	12/12/17		Under Investigation	Continue Investigation
247	2017059025	REDACTED	REDACTED	12/12/17		Under Investigation	Continue Investigation
248	2017059028	REDACTED	REDACTED	12/12/17		Under Investigation	Continue Investigation
249	2017059029	REDACTED	REDACTED	12/12/17		Under Investigation	Continue Investigation
250	2017059031	REDACTED	REDACTED	12/12/17		Under Investigation	Continue Investigation
251	2017059033	REDACTED	REDACTED	12/12/17		Under Investigation	Continue Investigation
252	2017059034	REDACTED	REDACTED	12/12/17		Under Investigation	Continue Investigation
253	2017059036	REDACTED	REDACTED	12/12/17		Under Investigation	Continue Investigation

**B3a. – COMPLAINTS STATUS LIST FOR ALL CASES WORKED ON DURING 2<sup>nd</sup> QUARTER OF 2017 / 2018 FISCAL YEAR**

No.	Case Number	Complainant Name	Name of Subject; License No. of Subject	Received Date	Alleged Violation	Last Action Taken	Next Action Recommended
254	2017059038	REDACTED	REDACTED	12/12/17		Under Investigation	Continue Investigation
255	2017059042	REDACTED	REDACTED	12/12/17		Under Investigation	Continue Investigation
256	2017059045	REDACTED	REDACTED	12/12/17		Under Investigation	Continue Investigation
257	2017059047	REDACTED	REDACTED	12/12/17		Under Investigation	Continue Investigation
258	2017059049	REDACTED	REDACTED	12/12/17		Under Investigation	Continue Investigation
259	2017059050	REDACTED	REDACTED	12/12/17		Initial Review	Continue Investigation
260	2017059053	REDACTED	REDACTED	12/12/17		Under Investigation	Continue Investigation
261	2017059058	REDACTED	REDACTED	12/12/17		Initial Review	Continue Investigation
262	2017059061	REDACTED	REDACTED	12/12/17		Under Investigation	Continue Investigation
263	2017059066	REDACTED	REDACTED	12/12/17		Initial Review	Continue Investigation
264	2017059070	REDACTED	REDACTED	12/12/17		Initial Review	Continue Investigation
265	2017059072	REDACTED	REDACTED	12/12/17		Under Investigation	Continue Investigation
266	2017059074	REDACTED	REDACTED	12/12/17		Under Investigation	Continue Investigation
267	2017059078	REDACTED	REDACTED	12/12/17		Initial Review	Continue Investigation
268	2017059081	REDACTED	REDACTED	12/12/17		Under Investigation	Continue Investigation
269	2017059599	REDACTED	REDACTED	12/12/17		Initial Review	Continue Investigation
270	2017059696	REDACTED	REDACTED	12/15/17		Under Investigation	Continue Investigation
271	2017059937	REDACTED	REDACTED	12/18/17		Under Investigation	Continue Investigation
272	2017061241	REDACTED	REDACTED	12/18/17		Initial Review	Continue Investigation

## **Section VII. Deliverables**

### *B3b. Current FEMC Legally Insufficient Case List*

**B3b. List of all cases FEMC closed as legally insufficient since last report:**

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2017051833

## **Section VII. Deliverables**

### *B3c. Final Order Compliance Report*



## **B3c – Final Order Compliance Report Summary For the Second Quarter of Fiscal Year 2017/2018 FEMC**

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### List of meanings:

1. Turned over to FEMC Investigator for non-compliance: the file has been turned over to the FEMC Investigator for review and possible further action.
2. Red writing: All obligations have been met and the case has been closed.
3. Sent to Collections (date): the file has been sent to DBPR for collections. FEMC has no further responsibility in case.
4. Project Reviews—Once a list is received from the Subject, projects are chosen, documents are requested and then given to the Consultant for the review this process can sometimes take a couple of months. Once the Opinion is received it is forwarded to the Subject and possibly taken to PCP.

AA Masters Mechanical Air Moving and Engineering System Corp. – Case No: 2016050175 (Final Order filed with DBPR on 08/17/2017)

- Basic Engineering Professionalism and Ethics Course – DUE: 08/18/2018
- Costs: \$66.30 – DUE 09/18/2017
- Fine: \$1,000.00 – DUE 09/18/2017
- Study Guide – DEADLINE: 09/18/2017
  - 09/26/2017-emailed past due reminder for study guide, cost and fine.

**SUBJECT IS NOT IN COMPLIANCE**

Banks, Julio C. – Case Nos: 2015032603 & 2015036080 (Final Order filed with DBPR on 12/09/2016)

- Advanced Engineering Professionalism and Ethics Course: Deadline: 01/08/2018.
- Costs: \$2513.70 DUE: 01/08/2018.
- Study Guide. COMPLETED: 12/14/2016.
- Suspended: 2 years from filing Final Order – 12/09/2018
  - 10/11/2017-emailed reminder for costs and P&E course.
  - 11/02/2017-received a written request from Subject for a year extension for Cost. Subject's request to "Modify the terms of the Final Order is going before the Board in December Board 2017 meeting.
  - 12/07/2017--Board gave Mr. Banks a 30 day extension on his P&E course and his cost. The new due date is 01/08/2018. I sent Mr. Banks an email informing him of the Board decision.

**SUBJECT IS IN COMPLIANCE**

Batterson, R. Scott – Case No: 2014037527 (Final Order filed with DBPR on 02/09/2017)

- Basic Engineering Professionalism and Ethics Course – DUE: 02/09/2018
  - 01/08/18-emailed reminder of P&E course to Mr. Craig Batterson for Respondent.
  - 01/10/18-received an email regarding the P&E course. I responded. Mr. Craig Batterson is going to look into the possibility of Respondent being able to have computer to take the P&E course. He also asked about the P&E course and I referred him to the settlement stipulation and explained that CE courses do not satisfy the requirement.
- Costs: \$378.49 – DUE 03/09/2017-Paid-03/13/2017

## **B3c – Final Order Compliance Report Summary For the Second Quarter of Fiscal Year 2017/2018 FEMC**

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- Study Guide – DEADLINE: 03/09/2017\*\*\*with special circumstances
  - emailed paper form of study guide to subject
  - Completed 03/31/2017
- Suspended – while incarcerated: 02/09/2019

### **SUBJECT IS IN COMPLIANCE**

Bolivar, Santiago – Case No: 2014030707 (Final Order filed with DBPR on 10/14/2015)

- Basic Engineering Professionalism and Ethics Course: Completed: 12/12/2016.
- Fine: \$4,000.00. PAID:
- Costs: \$4,930.40. PAID:
  - Paid \$4,465.20 -- 04/14/2016
  - Paid \$4,465.20 -- 10/06/2016
  - The fine and costs have been combined into one total of \$8,930.40, which is to be paid in 2 equal payments of \$4,465.20 at 04/14/2016 and 10/14/2016.
- Study Guide. COMPLETED: 12/31/2015.
- Project review 6 months due: 04/14/2016
- Requested an extension-due date now 10/14/2016-received list 10/17/2016
  - Received an unfavorable MEP opinion for the 6 month review 01/20/17 will go before the PCP for bad project review. Currently waiting on the STR opinion.
  - 04/26/17-sent reminder of outstanding balance that he owes Dr. Ooten for the 6 month project review.
  - 05/10/2017--received payment of \$2,645.00 in money orders for Dr. Ooten's unpaid invoice and forwarded them to Dr. Ooten
  - 05/31/17-Received money order for overpayment for the 6 month project review made payable to Subject from Dr. Ooten. Forwarded the money along with a letter of explanation to Subject.
- Project review 18 months due 04/14/2017.
  - received list 04/14/2017
  - 04/24/2017- sent email requesting more information on the list and received updated list.
  - 04/25/2017-forwarded list to FEMC consultant Dr. Ooten.
  - 05/18/2017- Received picks from Dr. Ooten, sent email request for documents for chosen projects.
  - 05/23/2017- Received an email regarding the project review from Mr. Bolivar. Forwarded that email to J. Rimes and W. Anderson. W. Anderson responded to the email.
  - 06/05/2017- He has an extension until 06/20/17 to send in his consultant fee and project documents for the 18 month project review.
  - 06/21/17--received project documents and consultant fee for 18 month project review.
  - 06/21/17- forwarded consultant fee to Dr. Ooten and sent to Blueprint Shop for copies.(18 month project review)
  - 06/22/2017-received digital copy of plans back from Blueprint Shop and forwarded the 18 month project documents to Dr. Ooten.

## **B3c – Final Order Compliance Report Summary For the Second Quarter of Fiscal Year 2017/2018 FEMC**

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- 07/24/2017-Received and email from Dr. Ooten with a request for information. Sent an email to Subject requesting the information.
- 07/28/2017-Received additional information from Subject for 18 month project review.
- 07/31/2017-Forwarded additional information to Dr. Ooten for 18 month project review.
- 12/19/2017-received an email from Dr. Ooten that the project documents he receive only had electrical in them. I did a little digging and notice that he also never sent any for the structural review. Sent an email request for a list with all project and reminded him of his settlement obligations.
- 12/27/2017-received signed sealed opinion for 18 month project review from Dr. Ooten for the electrical review. The projects only had electrical in them.
- 01/08/18-mailed copy of the opinion and invoice to Respondent along with a reminder of the email regarding the project review obligations.
- Probation: 2 years from filing: 10/14/2017

### **SUBJECT IS IN COMPLIANCE**

Bowen, David III – Case No: 2015034920 (Final Order filed with DBPR on 03/01/16)

- Cost: \$168.75 – DUE: 04/01/2016
- Fine: \$1,000.00 – DUE: 04/01/2016
  - Subject has been turned over to Collections for non-payment on 06/09/2016

### **SUBJECT IS NOT IN COMPLIANCE**

Cadavid, Rodrigo – Case No: 2015003839(Final Order filed with DBPR on 02/03/2016)

- Costs: \$267.55 – DUE: 06/03/2016
- FINE: \$5,000.00 – DUE: 06/03/2016
  - Subject has been turned over to Collections for non-payment on 07/13/2016

### **SUBJECT IS NOT IN COMPLIANCE**

Cardona, Alberto – Case No: 2013009998 & 2014023033 (Final Order filed with DBPR on 02/11/2016)

- Basic Engineering Professionalism and Ethics Course – DEADLINE: 02/15/2017-out of compliance. Sent past due reminder of P&E course 02/20/2017. Gave file to FEMC Investigator for non-compliance of Final Order- 06/05/2017
  - 08/28/17-received certificate of completed for P&E course
  - 06/06/2017-forwarded to FEMC Investigator for non-compliance of Final Order- P&E Course
- Costs: \$7,346.58 – DUE: 02/15/2018
- Costs( \$382.60 Complaint #2016048989 Due:07/18/2019
  - 10/12/2017-received check for \$672.85 towards fine and costs.
- Fine: \$6,000.00 – DUE: 02/15/2018
  - Paid quarterly payment of \$1,668.32 for Fines & Costs.
  - Paid quarterly payment of \$1,688.32 for Fines & Costs-08/19/2016

## **B3c – Final Order Compliance Report Summary For the Second Quarter of Fiscal Year 2017/2018 FEMC**

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- Paid quarterly payment of \$1,648.32 for Fines & Costs-11/21/2016
- Paid quarterly payment of \$1,668.32 for Fines & Costs-02/16/2017
- Paid quarterly payment of \$1,668.32 for Fines & Costs-05/15/2017
- Paid quarterly payment of \$1668.32 for Fines & Costs -08/18/2017
- Paid quarterly payment of \$1668.32 for Fines & Costs -11/17/2017
- Fine (\$5,000.00 Complaint #2016048989 Due:07/18/2019
  - 10/12/2017-received check for \$672.85 towards fine and costs.
- Study Guide – DEADLINE: 03/15/2016- Completed 02/18/2016
- Advance P&E course(Complaint #2016048989 Due: 07/18/2019
- Project Reviews
  - 9 months – Deadline : 11/15/2016 gave extension new deadline-05/15/2017
    - Sent a reminder of the deadline 12/2016
    - Gave extension. New deadline is 05/15/2017
    - Received list for project review- 03/02/17
    - 03/21/2017-sent FEMC consultant project documents for project review.
    - 05/26/2017-received 9 month project review opinion and an invoice for overages from Dr. Ooten. Forwarded to Subject.
    - 06/08/2017-received response from Cardona regarding Ooten opinion and invoice. Responded to his email and forwarded his response to the opinion to Dr. Ooten.
    - 06/12/2017-received response from Dr. Ooten. Dr. Ootens opinion on the 9 month project review remains unchanged.
    - 06/13/2017-going before PCP for unfavorable review on 9 month project review.
    - 07/13/2017- emailed reminder of outstanding balance owed to Dr. Ooten for project review
    - 07/18/2017-Subject sent check for Dr. Ooten for half (\$1,400.00) of invoice # 1703.8 for the overage on the nine month project review. I forwarded the check to Dr. Ooten.
    - 08/18/17--Subject sent check for Dr. Ooten for the remainder (\$1,400.00) of invoice # 1703.8 for the overage on the nine month project review. I forwarded the check to Dr. Ooten.
  - 21 Months – Deadline: 11/15/2017
- Probation – Conclusion: 05/15/2018
- Complaint # 2016048989 was opened due to the Subject violated restrictions set in the Final Order from case number's 2013009998 & 2014023033. AC was issued and filed with DBPR 01/20/2017. The signed EOR was received with the request for an Informal hearing. As of 04/04/2017- the Informal hearing process is still ongoing in Case # 2016048989.
- 07/18/2017-Final Order filed in Complaint # 2016048989--(\$5,000.00 Fine, \$382.60 Cost and Advanced Engineering P&E Course due in 2 years 07/18/2019.)

**SUBJECT IS IN COMPLIANCE**

## **B3c – Final Order Compliance Report Summary For the Second Quarter of Fiscal Year 2017/2018 FEMC**

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- Basic Engineering Professionalism and Ethics Course – DEADLINE: 11/04/2016
- Emailed reminder 06/23/2017
- Costs: \$3,290.00 – PAID: 02/02/2015
- Fine: \$2,000.00 – PAID: 02/02/2015
- Study Guide – DEADLINE: 11/04/2016
  - Emailed reminder 06/23/2017
- Project Reviews
  - 6 months – Deadline : 05/04/2015
    - ✓ This case is before the November 17, 2015 Probable Cause Panel for direction on how to proceed regarding unfavorable projects reviews.
  - 18 Months – Deadline: 05/04/2016
    - Sent 1<sup>st</sup> reminder of deadline 04/06/2016-did not hear back.
    - Sent 2<sup>nd</sup> reminder of deadline 05/11/2016-gave him a date of 05/19/16 or it will be turned or to FEMC Investigator for non-compliance.
- Probation – Conclusion: 11/04/2016
  - Went to July 2016 PCP and AC for non-compliance of Final Order authorized.
- Went before the Board on December 2016(Complaint # 2016024529), an AC was issued and Final Order filed with DBPR 01/04/17. His license has been suspended until he completes the 18 month project review and he has costs: \$132.60 – DUE: 02/04/2017
  - Sent reminder that he is past due 03/09/2017
  - 06/05/2017-Gave file for Complaint # 2016024529 to FEMC Investigator for non-compliance of Final Order.
  - 07/28/2017-mailed Voluntarily Relinquish papers and cover letter.
  - 07/31/2017-emailed Voluntarily Relinquish papers and cover letter.
  - 09/08/2017-Received signed Voluntary Relinquish form. Set for October 2017 Board review.
  - 09/19/17-went before PCP and an AC was authorized in Complaint # 2017026828(to be held until after October Board review of Voluntary Relinquish)
  - 10/12/2017-CASE CLOSED-Subject Voluntary Relinquished Licensed

### **SUBJECT IS IN COMPLIANCE**

Claiborne, G.R. – Case No: 2015018063 (Final Order filed with DBPR on 08/24/2016)

- Basic Engineering Professionalism and Ethics Course – COMPLETED: 11/03/2016
- Costs: \$2,344.05 – PAID 09/03/2016
- Fine: \$3,000.00 – PAID: 09/03/2016
- Study Guide – DEADLINE: 09/24/2016- Completed 08/26/2016
- Project Reviews
  - 6 months – Deadline : 02/24/2017
    - Received list 02/08/17
    - 05/26/2017-received 6 month project review opinion and an invoice for overages from Dr. Ooten. Forwarded to Subject.
    - 06/07/2017--Received a check for the invoice and forwarded it to Dr. Ooten.

## **B3c – Final Order Compliance Report Summary For the Second Quarter of Fiscal Year 2017/2018 FEMC**

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- 18 Months – Deadline: 02/24/2018
- Probation – Conclusion: 08/24/2018
- **10/18/2017-CASE CLOSED-Final Order Granting Request for Early Termination**  
**SUBJECT IS IN COMPLIANCE**

Duenas, Edgar – Case No: 2015036055 (Final Order filed with DBPR on 02/09/2017)

- Basic Engineering Professionalism and Ethics Course – DUE: 02/09/2018
  - 01/08/2018-emailed reminder of P&E course.
- Costs: \$1,227.75 – DUE 03/09/2017- Paid 02/17/2017
- Fine: \$1,000.00 – DUE: 03/09/2017 –Paid – 02/17/2017
- Study Guide – DEADLINE: 03/09/2017—Completed 02/18/2017
- Probation – Conclusion: 02/09/2019

**SUBJECT IS IN COMPLIANCE**

DWR Architecture and Engineering, Inc. – Case No: 2016023010 (Final Order filed with DBPR on 01/04/2017)

- Fines: \$5,000.00 – Due 02/04/2017
- Costs: \$278.85 – DUE: 02/04/2017
- Will go to collection for non-payment 05/04/2017.
  - Subject has been turned over to collections for non-payment on 05/10/2017

**SUBJECT IS NOT IN COMPLIANCE**

Forbes, Carlton – Case No: 2016003962 (Final Order filed with DBPR on 08/17/2017)

- Basic Engineering Professionalism and Ethics Course – DUE: 08/18/2018
- Costs: \$6,202.10 – DUE 08/18/2018
- Fine: \$500.00 – DUE: 08/18/2018
  - 10/25/2017-emailed reminder of 1st quarterly payment for costs and fine and study guide.
- Study Guide – DEADLINE: 11/18/2017
  - 09/26/2017-email reminder of due date.
  - 01/08/2018- emailed a reminder that the study guide is past due.
- Project Reviews
  - 6 months – Deadline : 02/18/2018
    - 01/08/2018-emailed a reminder.
  - 18 Months – Deadline: 02/18/2019
- Probation – Conclusion: 08/18/2019
- 11/09/2017-received an email informing FBPE that he has registered for the Auburn Univ. P&E course and will be sending in a check for the payment due at this time.

**SUBJECT IS IN COMPLIANCE**

Gaydosh, Stephen – Case No: 2012013872 (Final Order filed with DBPR on 06/18/2014)

- Basic Engineering Professionalism & Ethics Course – COMPLETED: 06/16/2015
- Costs - \$8,072.97 – PAID: 07/21/2014

## **B3c – Final Order Compliance Report Summary For the Second Quarter of Fiscal Year 2017/2018 FEMC**

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- Fine - \$4,000.00 – PAID: 07/21/2014
- Study Guide – COMPLETED: 07/21/2014
- Probation – Two Years, until: 06/18/2016
- Project Reviews: for 4 different disciplines – Civil, Structural, Electrical/Mechanical/Plumbing
  - ✓ 6 months –Structural: favorable reports on both projects
  - ✓ Civil: reports not completed
  - ✓ Electrical/Mechanical/Plumbing – documents and payment requested
- 18 months – DEADLINE: 06/18/2015- has not completed an 18 month review.
- 03/08/16- PCP reviewed and recommended a new complaint for negligence be opened based upon the project review. Gave to FEMC Investigator as directed by the Panel.
- New complaint opened.(Complaint # 2016012649)
  - **05/22/2017-received the Filed Final Order for Complaint # 2016012649 and he has been suspended until he appears before the Board. Mailed Final Order to Subject.**

### **SUBJECT IS NOT IN COMPLIANCE**

Gavarrete, Gilberto – Case No: 2013033149 (Final Order filed with DBPR on 10/14/2015)

Case No: 2016028352(Final Order Filed with DBPR on 12/15/2017)

- Basic Engineering Professionalism & Ethics Course. DUE: 10/14/2016.-Sent email showing enrollment 12/2016. Out of compliance for P&E course. Sent a reminder 02/13/17 and gave him until 03/01/2017 to show proof of passing. Gave file to FEMC Investigator for non-compliance of Final Order.
- P&E (Complaint No: 2016028352)due 01/14/2018
  - Went before PCP May 2017. AC filed for non-compliance in complaint # 2016028352 on May 22, 2017
- Costs \$4,477.00. PAID IN FULL: 10/28/2016.
- Costs \$146.25 (Complaint No: 2016028352) Due 1/14/2018
- Fine: \$1,000.00. PAID IN FULL: 10/28/2016.
  - The fine and costs have been combined to a total of \$5,477.00 which is payable in 4 equal quarterly payments of \$1,369.25.
  - Paid quarterly payment of \$1,369.25 for Fines & Costs-03/03/2016
  - Paid quarterly payment of \$1,369.25 for Fines & Costs-04/05/2016
  - Paid quarterly payment of \$1,369.25 for Fines & Costs-08/29/2016
  - Paid quarterly payment of \$1,369.25 for Fines & Costs-10/28/2016
- Study Guide. DUE: Completed 04/05/2016
- 6 month project review set for 04/14/2016.
  - Received project information but no fee for consultant or project list. Sent reminder on 05/05/2016 requesting project list and fee of \$1500 for the Consultant.
  - 06/02/2016-gave file to FEMC Investigator for non-compliance of Final Order.
  - Sent in project list for 6 month project review 11/04/2016

## **B3c – Final Order Compliance Report Summary For the Second Quarter of Fiscal Year 2017/2018 FEMC**

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- Received the opinion from the Consultant. Opinion not favorable. File to March 2017 PCP. PCP instructed to open a complaint.
  - 04/26/2017-emailed reminder of unpaid invoice for Dr. Ooten for the 6 month review
  - 05/08/2017--received payment of \$2062.50 in money orders for Dr. Ooten's unpaid invoice and forwarded them to Dr. Ooten
- 18 month project review set for 04/4/2017
  - 04/26/2017-emailed reminder of 18 month project review
  - 05/08/2017-received unsigned/sealed plans from Mr. Gavarrete
  - 05/09/2017-emailed another reminder to Subject that he needs to send a list of the projects for his 18 month project review
  - 06/08/2017-received list for 18 month project review.
  - 06/09/2017-received an email from Dr. Ooten with the two projects chosen for 18 month project review (New Const SF Atlantic Tech and Prop. new residence to United Home)
  - 06/09/2017-sent email request for documents for projects chosen and consultant fee to Subject
  - 07/17/2017-received an email from Dr. Ooten. We have not received anything for the project review from Subject since the email 06/09/2017.
  - 07/24/2017-Sent Subject a reminder email.
  - 08/01/2017-recived plans and check for consultant fee for 18 month project review. Forwarded check to Dr. Ooten and sent plans to Blueprint shop.
  - 08/02/2017-Received plans back from the Blueprint shop. Forwarded the Plans and documents to Dr. Ooten for review.
- Probation ends 10/14/2017
- Has been set to Inactive status per Final Order. Must request in writing change of status.11/2016
- Sent in list for project review and went before PCP and came out of Inactive status
- May 09, 2017-Went to PCP on Complaint # 2016028352 for non-compliance of final order and an AC was authorized and filed.
- Set for Board presentation for December 2017 for Default( he did not respond to the AC)
- 12/07/2017- Respondent appeared at the December 2017 Board meeting and the Settlement Stipulation that he signed as accepted. He was given 30 before to pay the Costs and complete the P&E course before his license goes into Suspension.
- 12/07/2017-Received Dr. Ooten opinion and an invoice for overage for the 18 month project review.
- 12/14/2017-forwarded Dr. Ooten invoice and opinion Respondent. The opinion was no a favorable opinion. Set to go before January 2018 PCP for unfavorable project review.

**SUBJECT IS NOT IN COMPLIANCE**

Goel, Ram – Case No's: 2013029125 & 2014045758(Final Order filed with DBPR on 02/15/2016)

- Basic Engineering Professionalism and Ethics Course – COMPLETED: 11/21/2016



## **B3c – Final Order Compliance Report Summary For the Second Quarter of Fiscal Year 2017/2018 FEMC**

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- Costs: \$16,988.40 – DUE: 04/15/2016- Paid 04/08/2016
- Study Guide – DEADLINE: 03/15/2016- Completed 02/18/2016
- Project Reviews
  - 6 months – Deadline : 08/15/2016 - requested an extension- new date 02/15/2017
    - 03/32/2017-received list of project and sent the list to consultant 03/23/2017
    - 04/10/2017-received plans for project review. Forwarded to FEMC Consultant R. Jeffery, P.E. for review.
    - 05/13/2017-received 6 month project review opinion from R. Jeffery. The 6 month project review opinion was a favorable one.
    - 05/15/2017-forward opinion to Subject.
  - 18 Months – Deadline: 02/15/2018
- Restricted from geotechnical/sinkhole engineering until he passes the NCEES Geotechnical Examination. He will then have 6 & 18 month reviews.

### **SUBJECT IS IN COMPLIANCE**

Griffin, H. John – Case No: 2015033401 (Final Order filed with DBPR on 02/09/2017)

- Basic Engineering Professionalism and Ethics Course – DUE: 02/09/2018
  - 01/08/18-emailed reminder of P&E course and 6 month project review.
- Costs: \$4,000.00 – DUE 02/09/2019-Paid in full 04/21/2017
- Fine: \$1,000.00 – DUE: 02/09/2019- Paid in full 04/21/2017
  - 04/18/2017- Emailed reminder
  - Paid fine and costs in full 04/21/2017
- Study Guide – DEADLINE: 03/09/2017-Completed 03/03/2017
- Project Reviews
  - 6 months – Deadline: 08/09/2017-extension given new due date-02/09/2018
  - 07/11/2017-mailed reminder letter for 6 month project review
  - 09/05/2017-sending emails back and forth with subject regarding the 6 month project review and he has been given an extension on the project reviews.
    - 01/08/18-emailed reminder of P&E course and 6 month project review.
  - 18 Months – Deadline: 08/09/2018-extension given new due date-02/09/2019

### **SUBJECT IS IN COMPLIANCE**

Hakken, Joshua – Case No: 2014049993 (Final Order filed with DBPR on 02/11/2016)

- Costs: \$121.87 – DUE: 11/17/2029
- Fine: \$1,000.00 – DUE: 11/17/2029

### **SUBJECT IS IN COMPLIANCE**

Hakken, Sharon P. – Case No: 2014050002 (Final Order filed with DBPR on 12/08/2015)

- Costs: \$112.12 – DUE: 01/08/2016
- Fine: \$1,000.00 – DUE: 01/08/2016
- Currently incarcerated and will pay costs & fines when she is released.

### **SUBJECT IS IN COMPLIANCE**

## **B3c – Final Order Compliance Report Summary For the Second Quarter of Fiscal Year 2017/2018 FEMC**

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Hotic, Eldin – Case No: 2015032758 & 201548853 (Final Order filed with DBPR on 02/09/2017)

- Basic Engineering Professionalism and Ethics Course – DUE: 02/09/2018-Completed: 10/16/2017
- Costs: \$2,338.40 – DUE 03/09/2017-Paid 02/28/2017
- Fine: \$1,000.00 – PAID: 03/09/2017 –Paid 02/28/2017
- Study Guide – DEADLINE: 03/09/2017-Completed 02/28/2017
- Probation – Conclusion: 02/09/2018

**SUBJECT IS IN COMPLIANCE**

Ina, Paul – Case No: 2015045621 (Final Order filed with DBPR on 08/17/2017)

- Basic Engineering Professionalism and Ethics Course – DUE: 08/18/2018
- Costs: \$4,300.00 – DUE 08/18/2019
  - 1<sup>st</sup> quarterly payment of \$537.50 due:11/18/2017
    - 10/25/2017-emailed reminder of 1st quarterly payment for costs.
    - 11/13/2017-received 1<sup>st</sup> payment of \$537.50
- Study Guide – DEADLINE: 09/18/2017-Completed 09/18/2017
- RESTRICTED from producing No-Rise Certification until Respondent submits a request to the Board to Amend the Final Order to allow him to produce No-Rise Certifications. Then he will have 1year Probation with conditions.

**SUBJECT IS IN COMPLIANCE**

James, Jacqueline. – Case No: 2014039414(Final Order filed with DBPR on 06/23/2015)

- Costs: \$395.00 – PAID: 06/23/2015
- P&E course due: 06/23/2017
  - Sent reminder 06/22/2017
  - 01/08/2018- Signed up for Intermediate P&E course.
- Probation ends 06/23/2017
- Restricted from practicing structural engineering until she passes the NCEES 16 hour Structural Examination and then complies with project review obligation.
  - 09/25/2017-turned over to FEMC Investigator for non-compliance of Final Order. The Subject has not completed the P&E course and has not responded to the reminder sent.

**SUBJECT IS NOT IN COMPLIANCE**

Landers, Edward – Case No: 2016000184 (Final Order filed with DBPR on 02/09/2017)

- Advanced Engineering Professionalism and Ethics Course – DUE: 02/09/2018
  - 01/08/18-emailed reminder for P&E course
- Costs: \$4,566.67 – DUE 02/09/2019-first payment due 05/09/2017
  - 04/18/2017- emailed reminder
  - 05/09/2017-paid first installment of costs.
  - 08/07/2017-emailed reminder
  - 08/08/2017-paid second installment of costs.
- Fine: \$1,000.00 – DUE: 03/09/2017 –Paid 03/08/2017

## **B3c – Final Order Compliance Report Summary For the Second Quarter of Fiscal Year 2017/2018 FEMC**

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- Study Guide – DEADLINE: 03/09/2017
- Project Reviews
  - 6 months – Deadline : 08/09/2017
    - 07/11/2017-mailed reminder letter for 6 month project review
    - 08/09/2017-received list for 6 month project review and forwarded list to FEMC consultants Roger Jeffery and Dr. Ooten.
    - 08/11/2017-received emails from FEMC Consultants Roger Jeffery, P.E. and Dr. Ooten, P.E. with two projects picked for review.
    - 08/14/2017-sent email to Subject requesting all documentation for projects chosen for review and \$1500 check for each consultant.
    - 09/05/2017-emailed 2nd request to Subject requesting all documentation for projects chosen for review and \$1500 check for each consultant.
    - 09/13/2017-Received consultant fee for both FEMC Consultants Dr. Ooten and R. Jeffery and documents for 6 month project review. Forwarded money orders to the FEMC consultants and the plans to the Blueprint shop.
    - 09/21/2017-received plans back from Blueprint shop forwarded plans and documents to Dr. Ooten and Mr. Jeffery for 6 month project review.
    - 11/10/2017-Received favorable opinion for 6 month project review from R. Jeffery. Forwarded the opinion to Subject.
  - 18 Months – Deadline: 08/09/2018
- Probation – Conclusion: 02/09/2019

### **SUBJECT IS IN COMPLIANCE**

Mahoney, John – Case No: 2015045622 (Final Order filed with DBPR on 08/17/2017)

- Basic Engineering Professionalism and Ethics Course – DUE: 08/18/2018
- Costs: \$4,218.15 – DUE 08/18/2018
  - 1<sup>st</sup> quarterly payment of \$1,054.75 due- 11/18/2017-PAID 09/29/2017
    - 09/29/17-received 1st quarterly payment of \$1,054.75.
    - 11/17/2017--received 2nd quarterly payment of \$1,054.75
- Study Guide – DEADLINE: 09/18/2017-Completed:09/18/2017
- RESTRICTED from producing No-Rise Certification until Respondent submits a request to the Board to Amend the Final Order to allow him to produce No-Rise Certifications. Then he will have 1year Probation with conditions.

### **SUBJECT IS IN COMPLIANCE**

Martinez, Fermin – Case No: 2015045726 & 2016026528 (Final Order filed with DBPR on 08/17/2017)

- Basic Engineering Professionalism and Ethics Course – DUE: 08/18/2018
- Costs: \$4,712.25 – DUE 08/18/2018
- Fine: \$2000.00 – DUE: 08/18/2018
  - 11/02/2017- Received 1<sup>st</sup> payment of \$1,678.00 for fine and costs
- Study Guide – DEADLINE: 09/18/2017
  - 09/26/2017-emailed past due reminder for study guide.

## **B3c – Final Order Compliance Report Summary For the Second Quarter of Fiscal Year 2017/2018 FEMC**

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- Project Reviews
  - 6 months – Deadline : 02/18/2018
  - 18 Months – Deadline: 02/18/2019
- Probation – Conclusion: 08/18/2019
  - 10/20/2017-emailed a reminder for the study guide the 1st installment of \$1678.25 for the fine and cost.

### **SUBJECT IS NOT IN COMPLIANCE**

James Meehan – Case Nos: 2016035647, 2016037330 & 2016045018 (Final Order filed with DBPR on 07/18/2017)

- Costs: \$260.15. DUE: 08/18/2017.
- Fine: \$7,400.00. DUE: 08/18/2017.
  - 09/27/2017-emailed reminder of past due fine and costs.

### **SUBJECT IS IN COMPLIANCE**

Messulam, Aldo Jack – Case No: 2014017182 (Final Order filed with DBPR: 10/14/2015)

- Basic Engineering Professionalism and Ethics Course: DUE: 10/14/2016.—
  - 07/11/2017- received an email from Subject’s attorney informing FBPE that Subject is in the process of completing the P&E course. The email stated that the course should be completed by 09/17/2017
- Costs: \$1,411.75. PAID: 11/12/2015.
- Fine: \$500.00. PAID: 11/12/2015.
- Study Guide: DUE: Completed 04/06/2016
- sent reminder of due dates 02/09/2016
- gave file to FEMC Investigator due to non-compliance
- Hard copy of study guide mailed to attorney for subject on 03/29/2016
- Project Reviews:
  - 6 months: DUE: 04/14/2016.
  - Requested a 6 month extension- Due date now 10/14/2016
    - Received list 03/22/2017
    - 03/24/2017-sent request for documents for project review
    - 03/29/2017-received email that Subject was out of the country.
    - 05/12/2017-received the plans and check for consultant fee for the 6 month review. Sent plans to Blueprint Shop. Forwarded check to Consultant R. Jeffery.
    - 05/15/2017- sent 6 months project documents to R. Jeffery for review.
    - 06/10/2017-received R. Jeffery opinion. Forwarded opinion to Subject.
  - 18 months: DUE: 04/14/2017
- Probation ends: 10/14/2017
- Has been set to Inactive status per Final Order. Must request in writing change of status. 01/2017
- Received written request to be brought out of Inactive status. Went before the Board 04/13/2017 and the Board Approved his request to come out of Inactive status.

## **B3c – Final Order Compliance Report Summary For the Second Quarter of Fiscal Year 2017/2018 FEMC**

---

- 04/13/2017-- Sent email to Zana to change his status to Active and to Subject Attorney notifying him that the request has been approved.
- 04/14/17-License has been set back to Active status
- Gave file to FEMC Investigator due to non-compliance. Subject is out of the country and has not completed the P&E course.-04/04/2017
- 01/08/2018- Attorney will be requesting retirement status.

### **SUBJECT IS NOT IN COMPLIANCE**

Mitchell, Charles – Case No: 2014031694 – Final Order date to reinstate licensure 08/24/2016

- Fine of \$1,000.00 – Due:08/24/2017
- Cost of \$ 5,957.95- Due 08/24/2017
  - 06/28/2017-emailed reminder for Fine, Cost and P&E course
  - 11/27/2017-received a check for \$2,000.00 for fines and cost. Still owes \$4,957.95.
- STUDY GUIDE COMPLETED: 09/12/2016
- Project Reviews – two required –
- (structural) 6 month- 02/24/2017
  - Received list 02/28/17
  - 11/13/2017-Received project documents and consultant fee for 6 month project review. Forwarded check to consultant and sent documents to Blue Print shop.
  - 11/15/2017-Received plans back from the Blue Print shop and forwarded them to the FEMC consultant for the 6 month review.
  - 11/24/2017-received R. Jeffery opinion. Forwarded opinion to Subject.
- (structural) 18 month:02/24/2018
  - 01/08/2018-emailed reminder for 18 month project review list of projects.
- Subject will have 6 & 18 project month reviews for electrical and mechanical once he passes the NCEES Electrical and Mechanical exams.
- Engineering Professionalism & Ethics Course – DUE: 08/24/2017
  - 06/28/2017-emailed reminder for fine, cost and P&E course
  - 09/25/2017-turned over to FEMC Investigator for non-compliance of Final Order. The Subject has not completed the P&E course, Fine and Costs and has not responded to the reminder sent.
  - 09/27/2017-sent reminder of project docs and consultant fee.

### **SUBJECT IS NOT IN COMPLIANCE**

Mitchell, Stephen – Case No: 2013026181 – Final Order Date to reinstate licensure 3/7/2014

Case No: 2016044376- (Final Order Filed with DBPR on 12/15/2017)

- Fine of \$2,000.00 – PAID IN FULL
- STUDY GUIDE COMPLETED: 09/24/2013
- STUDY GUIDE DUE DATE( Case No: 2016044376): 01/15/2018-Completed : 01/04/2018
- Project Reviews – two required – Subject has not completed any Florida projects so Subject's PE License will remain on probation until such time as Subject completes Florida projects and submits them for review by the Consultant. This is a very old Final Order (1995)

## **B3c – Final Order Compliance Report Summary For the Second Quarter of Fiscal Year 2017/2018 FEMC**

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- Engineering Professionalism & Ethics Course – COMPLETED: 01/20/2015
- P&E course for case No: 2016044376 DUE DATE 12/15/2018
- **RESTRICTED from Structural Engineering until he passes the 8 hour NCEES STR Vertical examination**
- Probation Ends (if discipline is adhered to and successfully completed): 03/07/2016
  - Out of compliance due to the project reviews. Subjects file has been turned over to FEMC Investigator due to not being in compliance.
  - Sent for 1<sup>st</sup> project review 06/02/2016
  - 04/13/2017-sent reminder for next project review(email)

### **SUBJECT IS IN COMPLIANCE**

RHH Consulting & Engineering, LLC. – Case No: 2015050230(Final Order Filed with DBPR on 05/22/2017)

- Fines: \$5,000.00 – Due 05/22/2018

### **SUBJECT IS IN COMPLIANCE**

Sinkholes, LLC.– Case No: 2015047628 (Final Order filed with DBPR on 02/21/2017)

- Fines: \$5,000.00 – Due 02/21/2018
- Costs: \$107.25 – DUE: 02/21/2018

### **SUBJECT IS IN COMPLIANCE**

Sneddon, Gary – Case No: 2015045621 (Final Order filed with DBPR on 08/17/2017)

- Basic Engineering Professionalism and Ethics Course – DUE: 08/18/2018
- Costs: \$4,300.00 – DUE 08/18/2019
  - 1<sup>st</sup> quarterly payment of \$537.50 due -11/18/17
    - 10/25/2017-emailed reminder of 1st quarterly payment for costs.
    - 11/13/2017-received 1<sup>st</sup> payment of \$537.50
- Study Guide – DEADLINE: 09/18/2017-Completed:09/18/2017
- RESTRICTED from producing No-Rise Certification until Respondent submits a request to the Board to Amend the Final Order to allow him to produce No-Rise Certifications. Then he will have 1year Probation with conditions.

### **SUBJECT IS IN COMPLIANCE**

Sota Engineers & General Contractors, LLC – Case No: 2016007629 (Final Order filed with DBPR on 06/26/17)

- Cost: \$585.90 – DUE: 07/26/2017
  - 08/08/2017-mailed reminder of costs.
  - 09/26/2017-emailed reminder of past due deadline.
  - 11/17/2017-To FEMC Investigator for non-compliance of Final Order. Note. I did receive a call from Diana after 10/13/2017 stating that since we haven't received the first check that they would be sending another one. As of today nothing has been received.

## **B3c – Final Order Compliance Report Summary For the Second Quarter of Fiscal Year 2017/2018 FEMC**

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- Subject has been turned over to Collections for non-payment on 11/29/2017

**SUBJECT IS NOT IN COMPLIANCE**

Standrod, Marcus – Case No: 2015030471(Final Order filed with DBPR on 07/05/2016)

- Costs: \$210.60 – DUE: 08/04/2016
- FINE: \$5,000.00 – DUE: 08/04/2016
  - Subject has been turned over to collections for non-payment on 09/27//2016

**SUBJECT IS NOT IN COMPLIANCE**

Stitgen, Douglas. – Case No: 2014046334 & 2015011700 (Final Order filed with DBPR on 06/26/17)

- Costs: \$1,876.75. DUE: 07/26/17.- Paid in Full 10/18/2017
  - 08/02/17-received partial payment of \$1000.00 for the costs.
  - 08/22/17- received a check for \$292.25 for partial payment of costs.(Subject now owes \$584.50). I will send a reminder for the balance in September.
  - 10/03/2017-received a check for \$292.25.(owes one more payment of \$292.25)
  - 10/18/2017- received a check for \$292.25.
- Study Guide. DUE: 11/26/17.-Completed 10/24/2017
  - 08/24/2017-Subject attorney requested a 60 day extension due to illness. Extension was granted and new due dated for the study guide is 11/26/2017.
  - Completed 20/24/2017
- Project review 9 Months due 03/26/18
  - 09/26/2017-emailed reminder of past due cost and upcoming study guide due dates.

**SUBJECT IS NOT IN COMPLIANCE**

Teimouri & Associates, Inc. – Case No: 2017039800(Citation issued by FEMC Investigator on 008/18/2017)

- Citation: \$5,000.00
  - 1<sup>st</sup> Quarterly payment due: 11/12/2017-PAID 10/30/2017
  - 2<sup>nd</sup> Quarterly payment due:02/12/2018
  - 3<sup>rd</sup> Quarterly payment due:05/12/2018
  - 4<sup>th</sup> Quarterly payment due:08/12/2018
  - 5<sup>th</sup> Quarterly payment due: 11/12/2018

**SUBJECT IS IN COMPLIANCE**

Williams, Allan, P.E. – Case No: 2013019830 (Final Order filed with DBPR on 11/04/2014) and

ABW Engineering – Case No: 2013019832 (Final Order filed with DBPR on 11/04/2014)

These two cases were combined and treated as one.

- Cost: \$1,292.15 – DUE: 11/04/2016
- Fine: \$5,000.00 – DUE: 11/04/2016
  - Gave to FEMC Investigator 02/10/17 for non-compliance of Final Order

## **B3c – Final Order Compliance Report Summary For the Second Quarter of Fiscal Year 2017/2018 FEMC**

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- 09/19/2017-Went before the PCP for Complaint # 2017006475 for noncompliance of a final order and an AC was authorized.
- 10/03/2017-received information that the Subject is now deceased. Received the death certificate. The two new AC's and the probation file are set to go before the Board to be closed.
- **Case Closed as of 10/12/2017. Subject is deceased.**

**SUBJECT IS IN COMPLIANCE**

Zaleski, James – Case No.: 2013000391 (Final Order filed with DBPR on 04/15/2014)

- Advanced Engineering Professionalism and Engineering Course – COMPLETED: 04/15/2015.
- Costs \$159.00. PAID: 05/05/2014.
- Fine \$1,000.00. PAID: 05/05/2014.
- Study Guide. COMPLETED 04/17/2014.
- Probation to extend to 04/15/2016
- If Subject commits a crime prior to 04/15/2019, his PE License will be suspended.

**THIS SUBJECT IS IN COMPLIANCE**



## **Section VII. Deliverables**

*B3d. Unlicensed Activity Case List for  
Multiple DBPR License Owners*

### **B3d. Unlicensed Cases from October 1, 2017 through December 31, 2017 in which subjects hold other DBPR licenses**

---

During the quarter from 10/1/17 – 12/31/17, FEMC received 9 legally sufficient cases alleging unlicensed activity. Of those 9 cases, 1 held another DBPR license:

Complaint #2017048142 holds Current/Inactive License #CBC1252269

## **Section VII. Deliverables**

### *B4. Information Regarding the Failure of FEMC to Comply with Provisions of the Contract*

**B4. Information regarding the failure of FEMC to comply with any provision of the Contract.**

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As far as FEMC is able to reasonably able to ascertain, it is in compliance with the current contract.

## **Section VII. Deliverables**

### *B5. Non-Prevailing Party Case List*

**B5. A list of all legal cases where FEMC has not been the prevailing party. The list must include copies of final orders and specific cases where attorney fees have been awarded.**

---

There have been no cases in the Second Quarter of fiscal year 2017 / 2018 in which FEMC has not been the prevailing party; as such, no attorney fees have been awarded.

## **Section VII. Deliverables**

### *B6. Corrective Action Plan*

**B6. Corrective plans for any deficiencies noted in the Quarterly Report.**

---

There were no deficiencies in the second quarter.



## **Section VII. Deliverables**

### *B7. Expenditures and Cash Balance Report*

# FLORIDA ENGINEERS MANAGEMENT CORP

December 31, 2017

The carrying amount of FEMC's cash deposits were \$291,253.32 and the bank balance was \$328,581.84.

FLORIDA ENGINEERS MANAGEMENT CORP  
 CUMULATIVE DISBURSEMENTS  
 As of December 31, 2017

FL Engineer Management Corporation

Accrual Basis		Preliminary	Preliminary	Preliminary	Preliminary	Preliminary	Preliminary	Preliminary	Preliminary	Preliminary	Preliminary	Preliminary	Preliminary	Preliminary	Preliminary
DISBURSEMENTS	Expense Budget 2017-2018	Report of Expenses July-17	Report of Expenses August-17	Report of Expenses September-17	Report of Expenses October-17	Report of Expenses November-17	Report of Expenses December-17	Report of Expenses January-18	Report of Expenses February-18	Report of Expenses March-18	Report of Expenses April-18	Report of Expenses May-18	Report of Expenses June-18	Report of Expenses YTD Total	
Salary & Expenses	\$ 1,227,532	\$ 50,635.60	\$ 79,418.76	\$ 119,833.68	\$ 86,318.47	\$ 85,692.59	\$ 87,772.20							\$ 509,671.30	
Other Personnel Services(OPS)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -							\$ -	
Consultants															
Prosecution/Enforcement	\$ 249,600	\$ 21,800.00	\$ 14,904.60	\$ 7,829.15	\$ 17,123.11	\$ 22,500.00	\$ 24,065.32							\$ 108,222.18	
Court Reporters	\$ 6,484	\$ 180.00	\$ 425.00	\$ 270.00	\$ -	\$ 1,316.25	\$ 446.25							\$ 2,637.50	
Computer Consultants	\$ 100,000	\$ 6,725.05	\$ 6,428.80	\$ 7,531.00	\$ 6,228.80	\$ 6,246.80	\$ 6,906.02							\$ 40,066.47	
Human Resource Consultants	\$ 500	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -							\$ -	
Accounting Services	\$ 35,300	\$ 13,276.00	\$ 10,613.00	\$ -	\$ -	\$ -	\$ -							\$ 23,889.00	
FEMC General Counsel	\$ 10,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -							\$ -	
Contract Monitor	\$ 19,200	\$ 1,600.00	\$ 1,600.00	\$ 1,600.00	\$ 1,600.00	\$ 1,600.00	\$ 1,600.00							\$ 9,600.00	
Employment/Training	\$ 19,692	\$ 695.00	\$ 1,169.00	\$ 9,650.00	\$ 800.00	\$ -	\$ -							\$ 12,314.00	
Publications	\$ 14,802	\$ 1,096.46	\$ 702.21	\$ 672.51	\$ 2,830.80	\$ 1,425.23	\$ 1,523.01							\$ 8,250.22	
Insurance															
Directors & Officers	\$ 6,137	\$ -	\$ 555.68	\$ 277.84	\$ 277.84	\$ 277.84	\$ 277.84							\$ 1,667.04	
Commercial Liability	\$ 6,782	\$ 584.07	\$ 584.07	\$ 584.07	\$ 584.07	\$ 584.07	\$ 584.07							\$ 3,504.42	
Professional Liability	\$ 8,200	\$ 490.08	\$ 490.08	\$ 490.08	\$ 490.08	\$ 490.08	\$ 490.08							\$ 2,940.48	
Cyber Liability	\$ 2,381	\$ 204.00	\$ 204.00	\$ 204.00	\$ 204.00	\$ 204.00	\$ 204.00							\$ 1,224.00	
Workers Compensation	\$ 3,590	\$ 196.58	\$ 196.58	\$ 196.58	\$ 196.58	\$ 196.58	\$ 196.58							\$ 1,179.48	
Public Information	\$ 15,000	\$ 362.00	\$ 3,423.32	\$ 362.77	\$ 347.69	\$ 6,511.60	\$ 338.00							\$ 11,345.38	
Board Member Honorarium	\$ 11,800	\$ 100.00	\$ 1,400.00	\$ 1,200.00	\$ 900.00	\$ 250.00	\$ 750.00							\$ 4,600.00	
Furniture and Equipment *	\$ 10,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -							\$ -	
Computers and Software *	\$ 20,000	\$ -	\$ -	\$ 3,756.25	\$ -	\$ -	\$ -							\$ 3,756.25	
Copying & Printing	\$ 18,409	\$ 2,067.59	\$ 1,055.63	\$ 1,060.10	\$ 1,766.57	\$ 530.53	\$ 1,467.03							\$ 7,947.45	
Office Supplies	\$ 35,252	\$ 2,204.95	\$ 2,371.81	\$ 1,552.89	\$ 2,879.56	\$ 2,076.18	\$ 3,214.99							\$ 14,300.38	
Leased Equipment & Repair	\$ 22,014	\$ 2,444.90	\$ 1,324.00	\$ 2,724.00	\$ 1,324.00	\$ 1,324.00	\$ 3,863.47							\$ 13,004.37	
Postage	\$ 12,200	\$ 2,051.39	\$ 14.35	\$ -	\$ 2,000.00	\$ 1,000.00	\$ 11.30							\$ 5,077.04	
Office Space														\$ -	
Lease Payment	\$ 128,019	\$ 10,433.47	\$ 10,433.47	\$ 10,433.47	\$ 10,746.47	\$ 10,746.47	\$ 10,746.47							\$ 63,539.82	
Utilities	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -							\$ -	
Janitorial	\$ 870	\$ 72.20	\$ 65.34	\$ 38.90	\$ 65.95	\$ 133.10	\$ -							\$ 375.49	
Pest Control	\$ 300	\$ -	\$ 75.00	\$ -	\$ -	\$ 75.00	\$ -							\$ 150.00	
Security Monitoring	\$ 5,454	\$ 190.11	\$ 190.11	\$ 354.11	\$ 190.11	\$ 190.11	\$ 190.11							\$ 1,304.66	
Moving Expenses	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -							\$ -	
Leasehold Improvements *	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -							\$ -	
Telephone															
Local Line Charge	\$ 9,864	\$ 885.11	\$ 956.6	\$ 955.93	\$ 964.58	\$ 885.95	\$ 892.62							\$ 5,540.79	
Long Distance	\$ 2,340	\$ 104.35	\$ 116.67	\$ 116.67	\$ 117.78	\$ 196.29	\$ 196.29							\$ 848.05	
Internet Access Charges	\$ 5,227	\$ 308.93	\$ 309.15	\$ 308.93	\$ 308.93	\$ 308.93	\$ 308.93							\$ 1,853.80	
Conference Calls	\$ 1,200	\$ 44.64	\$ 209.09	\$ -	\$ -	\$ -	\$ -							\$ 253.73	
Training - Board Members	\$ 800	\$ -	\$ -	\$ -	\$ 800.00	\$ -	\$ -							\$ 800.00	
Travel															
Board/Committee Meetings	\$ 109,691	\$ 1,441.73	\$ 13,348.79	\$ 836.51	\$ 13,432.16	\$ 1,394.51	\$ 7,090.58							\$ 37,544.28	
Investigation/Prosecution	\$ 1,200	\$ -	\$ 594.78	\$ -	\$ -	\$ -	\$ -							\$ 594.78	
NCEES Travel	\$ 21,200	\$ -	\$ 4,756.24	\$ 1,118.52	\$ 377.08	\$ 352.95	\$ -							\$ 6,604.79	
General Travel	\$ 120	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -							\$ -	
Employee Training Travel	\$ 12,905	\$ 2,167.02	\$ 3,887.59	\$ 870.40	\$ 512.95	\$ -	\$ -							\$ 7,437.96	
Public Information Travel	\$ 5,000	\$ -	\$ 1,409.52	\$ 270.12	\$ -	\$ -	\$ -							\$ 1,679.64	
NCEES Dues	\$ 6,500	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -							\$ -	
Memberships/Registrations	\$ 5,310	\$ -	\$ 15.00	\$ -	\$ 910.00	\$ 790.00	\$ 225.00							\$ 1,940.00	
Renewal Activites															
Printing Renewal Notices	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -							\$ -	
Mailing Renewal Notices	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -							\$ -	
Printing Licenses/ID Cards	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -							\$ -	
Mailing Licenses/ID Cards	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -							\$ -	
Renewal OPS	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -							\$ -	
Renewal Supplies	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -							\$ -	
Legal Settlements	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -							\$ -	
FEMC Budget Total	\$ 2,170,875	\$ 122,361.23	\$ 163,248.24	\$ 175,098.48	\$ 154,297.58	\$ 147,299.06	\$ 153,360.16	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 915,664.75	

## **Section VII. Deliverables**

### *B8. Long Range Estimates of Revenue*

## **B8. Long Range Estimates of Revenue**

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This was provided in the 2017-2018 1<sup>st</sup> Quarter Report as required by the contract.

## **Section VII. Deliverables**

*B9. Quarterly Licensure, Examination,  
Complaint & Disciplinary Activity Report*

## B9. Quarterly Licensure, Examination, Complaint & Disciplinary Activity Report:

---

Total Renewals to date: (from 7/1/17) 427 Professional Engineers  
155 Certificate of Authorizations

### Applications:

Received: 1377  
Approved: 518  
Denied: 33

Licenses Issued: 582 PE  
138 CA

Average time (days) to Process Applications: 37.03

### Scheduled Candidates for October Exam

Principles and Practice:  
Scheduled: 604  
Passed: 275  
Failed: 180  
No Show: 26

### Fundamentals of Engineering\*\*:

Passed: 316  
Failed: 215

\*\* In January 2014, NCEES moved to Computer Based Testing for the Fundamentals Examination. NCEES has contracted with a third party vendor to administer the exam. FEMC now approves the applicant but FEMC has no way of knowing when the applicant schedules to take the exam. The applicant has 12 months to take the exam anywhere in the US. All FEMC is made aware of is who passes and who fails the examination. These results may contain candidates who have not applied with FEMC and who have been approved for licensure. The exam windows are for only two months at a time with one month off (January and February were exam windows and March they were closed).

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<sup>1</sup> For an explanation regarding legal sufficiency and legal insufficiency, please see (1) of the document entitled Quarterly Report in Compliance with Rule 61G15-37.001, F.A.C.

## B9. Quarterly Licensure, Examination, Complaint & Disciplinary Activity Report:

---

**Number of Complaints Received in 2nd Quarter of 2017 / 2018:**..... 145

**Activity on Licensed Complaints received in 2nd Quarter of 2017 / 2018:**

Number of Licensed Complaints ..... 136  
 Number of Complaints Deemed Legally Sufficient:..... 98  
 Number of Complaints Deemed Legally Insufficient:..... 1  
 Number of Complaints Still within 30 days of determination ..... 37

**Activity on Unlicensed Complaints received in 2nd Quarter of 2017 / 2018:**

Number of Unlicensed Complaints: ..... 9  
 Number of Legally Sufficient Activity Cases: ..... 9  
 Number of Legally Insufficient Activity Cases:..... 0  
 Number of Complaints Still within 30 days of determination ..... 0

**Activity on all Licensed Complaints worked on in the 2nd Quarter of 2017 / 2018:**

Number of all Licensed Complaints..... 217  
 Number Dismissed by Probable Cause:..... 12  
 Number Determined to Have Probable Cause and Administrative Complaint filed:..... 6

**Disciplinary Actions Taken on all pending Licensed cases by FBPE during 2nd Quarter of 2017 / 2018:**

Number of Final Orders Filed per Settlement Stipulation:..... 1  
 Number of Early Termination Orders due to completion of compliance obligations..... 1  
 Number of Reinstatement of PE License due to completion of compliance obligations..... 0  
 Certificates of Authorization Citations Issued: ..... 0  
 Number of Cases taken to DOAH ..... 1  
 Number of Recommended Orders Entered: ..... 0  
 Number of Final Orders adopting Recommended Order..... 0  
 Informal Hearings: ..... 0  
 Defaults: ..... 0  
 Attorney Fees: ..... 0

**Disciplinary Actions Taken on all pending Unlicensed cases by FEMC during 2nd Quarter of 2017 / 2018:**

Number of all Unlicensed Cases worked on..... 19  
 Notice to Cease and Desist Issued ..... 5  
 Cease & Desist Agreements reached and resolved:..... 11  
 Number referred to another agency ..... 0  
 Number still under investigation ..... 16  
 Number within thirty day of determining legal sufficiency..... 0  
 Certificates of Authorization Citations Issued: ..... 0  
 Certificates of Authorization Citations Paid: ..... 1  
 Administrative Complaints after Cease & Desist:..... 1  
 Final Orders Issued: ..... 0

Section VIII, Deliverables, Section B:

FEMC shall provide a quarterly report to the Department along with the report required in Section XI, Funding, of this Agreement, which shall include the following:

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<sup>1</sup> For an explanation regarding legal sufficiency and legal insufficiency, please see (1) of the document entitled Quarterly Report in Compliance with Rule 61G15-37.001, F.A.C.



## **B9. Quarterly Licensure, Examination, Complaint & Disciplinary Activity Report:**

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A list and status of all complaints made during this agreement (open and closed, licensed and unlicensed). Please See Tab "B3."

A list and status of all complaints made during this agreement, a/k/a this First Quarter of 2017-2018 (open and closed, licensed and unlicensed). Please See Tab "B3."

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<sup>1</sup> For an explanation regarding legal sufficiency and legal insufficiency, please see (1) of the document entitled Quarterly Report in Compliance with Rule 61G15-37.001, F.A.C.

## Disciplinary Process

### Licensed & Unlicensed Activity:

<b><u>Number of Complaints Received: 145</u></b>						
2017047630	2017053838	2017058759	2017058892	2017058930	2017058987	2017059038
2017048135	2017053845	2017058763	2017058894	2017058931	2017058989	2017059042
2017048142	2017053847	2017058765	2017058895	2017058934	2017058992	2017059045
2017048194	2017053849	2017058767	2017058896	2017058942	2017058993	2017059047
2017048442	2017053878	2017058768	2017058899	2017058945	2017058997	2017059049
2017048443	2017053908	2017058772	2017058901	2017058947	2017059000	2017059050
2017048509	2017054898	2017058774	2017058902	2017058950	2017059003	2017059053
2017048908	2017055429	2017058777	2017058904	2017058960	2017059006	2017059058
2017049123	2017057023	2017058778	2017058905	2017058962	2017059009	2017059061
2017049527	2017057111	2017058787	2017058907	2017058963	2017059011	2017059066
2017049529	2017057121	2017058792	2017058908	2017058966	2017059013	2017059070
2017049660	2017057651	2017058795	2017058912	2017058968	2017059015	2017059072
2017049663	2017057672	2017058798	2017058915	2017058970	2017059019	2017059074
2017049725	2017058154	2017058804	2017058916	2017058971	2017059022	2017059078
2017051531	2017058199	2017058808	2017058917	2017058972	2017059025	2017059081
2017051772	2017058693	2017058811	2017058920	2017058974	2017059028	2017059599
2017051833	2017058696	2017058814	2017058921	2017058976	2017059029	2017059696
2017052756	2017058723	2017058817	2017058923	2017058977	2017059031	2017059937
2017052760	2017058725	2017058818	2017058924	2017058979	2017059033	2017061241
2017053140	2017058726	2017058889	2017058926	2017058980	2017059034	
2017053150	2017058735	2017058890	2017058929	2017058983	2017059036	

### Licensed Activity

Number of Licensed Complaints Received: <u>136</u>	Number of Licensed Complaints Deemed Legally Sufficient: <u>98</u>	Number of Licensed Complaints Deemed Legally Insufficient: <u>1</u>	Number of Licensed Complaints still within 30 day determination time frame: <u>37</u>
2017047630	2017047630	2017051833	2017057672
2017048442	2017048442		2017058726
2017048443	2017048443		2017058767
2017048509	2017048509		2017058894
2017048908	2017048908		2017058899
2017049527	2017049527		2017058902
2017049529	2017049529		2017058905
2017049660	2017049660		2017058908
2017049663	2017049663		2017058915
2017049725	2017049725		2017058916
2017051531	2017051531		2017058917
2017051772	2017051772		2017058920
2017051833	2017053140		2017058931
2017053140	2017053838		2017058934
2017053838	2017053845		2017058942
2017053845	2017053847		2017058947
2017053847	2017053849		2017058950
2017053849	2017053908		2017058960
2017053908	2017054898		2017058963
2017054898	2017055429		2017058968
2017055429	2017057023		2017058971

## Disciplinary Process

2017057023	2017057111		2017058974
2017057111	2017057651		2017058977
2017057651	2017058693		2017058980
2017057672	2017058696		2017058987
2017058199	2017058723		2017058992
2017058693	2017058725		2017058997
2017058696	2017058735		2017059003
2017058723	2017058759		2017059009
2017058725	2017058763		2017059013
2017058726	2017058765		2017059050
2017058735	2017058768		2017059058
2017058759	2017058772		2017059066
2017058763	2017058774		2017059070
2017058765	2017058777		2017059078
2017058767	2017058778		2017059599
2017058768	2017058787		2017061241
2017058772	2017058792		
2017058774	2017058795		
2017058777	2017058798		
2017058778	2017058804		
2017058787	2017058808		
2017058792	2017058811		
2017058795	2017058814		
2017058798	2017058817		
2017058804	2017058818		
2017058808	2017058889		
2017058811	2017058890		
2017058814	2017058892		
2017058817	2017058895		
2017058818	2017058896		
2017058889	2017058901		
2017058890	2017058904		
2017058892	2017058907		
2017058907	2017058907-Entry Error		
2017058894	2017058912		
2017058895	2017058921		
2017058896	2017058923		
2017058899	2017058924		
2017058901	2017058926		
2017058902	2017058929		
2017058904	2017058930		
2017058905	2017058945		
2017058908	2017058966		
2017058912	2017058970		
2017058915	2017058972		
2017058916	2017058976		

## Disciplinary Process

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2017058917	2017058979		
2017058920	2017058983		
2017058921	2017058989		
2017058923	2017058993		
2017058924	2017059000		
2017058926	2017059006		
2017058929	2017059011		
2017058930	2017059015		
2017058931	2017059019		
2017058934	2017059022		
2017058942	2017059025		
2017058945	2017059028		
2017058947	2017059029		
2017058950	2017059031		
2017058960	2017059033		
2017058962	2017059034		
2017058963	2017059036		
2017058966	2017059038		
2017058968	2017059042		
2017058970	2017059045		
2017058971	2017059047		
2017058972	2017059049		
2017058974	2017059053		
2017058976	2017059061		
2017058977	2017059072		
2017058979	2017059074		
2017058980	2017059081		
2017058983	2017059696		
2017058987	2017059937		
2017058989	2017058199		
2017058992	2017053150		
2017058993			
2017058997			
2017059000			
2017059003			
2017059006			
2017059009			
2017059011			
2017059013			
2017059015			
2017059019			
2017059022			
2017059025			
2017059028			

## Disciplinary Process

2017059029			
2017059031			
2017059033			
2017059034			
2017059036			
2017059038			
2017059042			
2017059045			
2017059047			
2017059049			
2017059050			
2017059053			
2017059058			
2017059061			
2017059066			
2017059070			
2017059072			
2017059074			
2017059078			
2017059081			
2017059599			
2017059696			
2017059937			
2017061241			
2017053150			

**Unlicensed Activity:**

Number of Unlicensed Complaints Received: <u>9</u>	Number of Unlicensed Complaints Deemed Legally Sufficient: <u>9</u>	Number of Unlicensed Complaints Deemed Legally Insufficient: <u>0</u>	Number of Unlicensed Complaints still within 30 day determination time frame: <u>0</u>
2017048135	2017048135		
2017048142	2017048142		
2017048194	2017048194		
2017049123	2017049123		
2017052756	2017052756		
2017052760	2017052760		
2017053878	2017053878		
2017057121	2017057121		
2017058154	2017058154		

**For an explanation regarding legal sufficiency and legal insufficiency, please see (1) of the document entitled Quarterly Report in Compliance with Rule 61G15-37.001, Florida Administrative Code.**