

# Florida Engineers Management Corporation



# 3<sup>rd</sup> Quarter Report

## 2016-2017



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#### Third Quarter 2016-2017

#### Section VII Deliverables, B. Quarterly Reports – Requirements of Contract for Quarterly Report

- B1. The status of the performance standards adopted by Rule 61G15-37.001, Florida Administrative Code.
- B2. Compliance with priority referrals from the Contract Manager, per Section III, Contract Manager, of the Agreement, Attachment I, Scope of Work.
- B3. Information related to disciplinary actions.
  - a. A list and status of all complaints made during this agreement (open and closed, licensed and unlicensed). Status information should include:
    - The name of the complainant;
    - The name and license number of subject;
    - The date of the complaint;
    - The alleged violation;
    - The last action taken; and
    - The next appropriate action recommended.
  - b. A list of all cases FEMC closed as legally insufficient since the last report;
  - c. A list showing the status of compliance with all final orders with pending provisions;
  - d. A list of cases where the alleged violation is for unlicensed activity and the subject also holds a license with another profession within the department.
- B4. Information regarding the failure of FEMC to comply with any provisions of the contract.
- B5. A list of all legal cases where FEMC has not been the prevailing party. The list must include copies of final orders and specify cases where attorney fees have been awarded.
- B6. Corrective Plan as required by Section V(G) of the contract.
- B7. A detailed report of expenditures and cash balance.
- B8. Long Range Estimates of Revenue.

B9. Detailed information for the last quarter as required by contract:

- The number of license renewals;
- The number of license applications received;
- The number of licenses approved;
- The number of licenses denied;
- The number of licenses issued;
- The average time required to issue a license;
- The number of examinations administered;
- The number of applicants who passed the examination;
- The number of applicants who failed the examination;
- The number of complaints received;
- The number of complaints determined to be legally sufficient;
- The number of complaints dismissed;
- The number of complaints determined to have probable cause;
- The number of administrative complaints issued along with the status of the complaint; and
- The number and nature of disciplinary actions taken by the FBPE.

## **Section VII. Deliverables**

### *B1. Performance Standards*

## B1. Performance Standards

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In order to facilitate efficient and cost effective regulation by the Florida Engineers Management Corporation ("FEMC"), the following performance standards and measurable outcomes are adopted:

- (1) FEMC shall make a determination of legal sufficiency within 30 days of receipt of a complaint.

*In the Third Quarter of Fiscal Year 2016 / 2017, 41 total new complaints were received of which 32 were complaints against licensees and 9 were complaints against unlicensed individuals or entities. Of the new licensed complaints, 30 were deemed legally sufficient, 2 were deemed legally insufficient, and 0 were undetermined at the end of the Third Quarter, as they were still within the 30 days of determination and were still in the initial review process. Of the new unlicensed complaints, 6 were deemed legally sufficient, 3 were deemed legally insufficient, and 0 were undetermined at the end of the Third Quarter, due to being within the 30 days of determination and still in the initial review process.*

- (2) Within fifteen days of receiving a complaint that is determined to be legally sufficient, FEMC shall furnish to the subject or the subject's attorney a copy of the complaint or document that resulted in the initiation of the investigation.

*Of the 36 cases deemed legally sufficient, the subject (or subject's attorney) was notified and furnished a copy of the complaint, or, in the case of some unlicensed cases, furnished with a Cease and Desist Order resulting in 100% compliance with the Rule.*

- (3) FEMC shall provide status reports to the Board regarding all outstanding disciplinary cases at every other regularly scheduled meeting of the Board. The status report shall include all legally sufficient disciplinary cases until entry of a final order by the Board. Upon entry of a final order, FEMC shall notify the licensee's employer of the action taken by the Board.

*Status reports were submitted to the Board at the February 2017 FBPE meeting. As far as FEMC can reasonably ascertain the existence of an employer for Respondent, FEMC now has 100% compliance with that requirement.*

- (4) FEMC shall refer to the Board any investigation or disciplinary proceeding not before the Division of Administrative Hearings pursuant to Chapter 120, Florida Statutes, or otherwise completed by FEMC within one year after the filing of a complaint.

*Referenced in the previous quarterly report, at the end of the 2nd Quarter of the fiscal year 2016 –2017, 23 cases were identified as being more than one year old; and, of those 23 cases, 9 have been resolved. As a result of aging, there are 10 additional cases that reached year old status during the Third Quarter of Fiscal*

## B1. Performance Standards

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*Year 2016 / 2017 thus making a total of 24 cases which were more than one year old at the end of the Third Quarter for the 2016 / 2017 Fiscal Year.*

(5) FEMC shall notify the person who filed the complaint of the status of the investigation every six months, including whether probable cause has been found, when the case is on the agenda for consideration by the Board, and the status of any administrative proceeding or appeal.

*FEMC is in 100% compliance with this section.*

(8) Upon receipt of an application for a license, FEMC shall examine the application and, within 30 days after such receipt, notify the applicant of any apparent errors or omissions and request any additional information FEMC is permitted by law to require.

Type of Application	Applications Received	Applications in compliance	Applications not in compliance with 30 day notice
Engineer Intern	277	277	
Continuing Education Providers	16	16	
Continuing Education Courses	11	11	
Professional Engineer	77	77	
Endorsement	382	382	
Certificate of Authorizations	243	243	

(9) Every application for a license shall be approved or denied within 90 days after receipt of a completed application.

*FEMC is in 100% compliance with the rule.*

Applications Received and in 90 – Day Compliance	
<b>January 2017</b>	
Principles & Practice	16
Engineer Intern/Fundamentals	54
Continuing Education	7
Endorsements	180
Special Inspectors	0
<b>Total</b>	<b>257</b>
<b>February 2017</b>	
Principles & Practice	28
Engineer Intern/Fundamentals	43
Continuing Education	4
Endorsements	178
Special Inspectors	0

## B1. Performance Standards

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<b>Total</b>	<b>253</b>
<b>April 2017</b>	
Principles & Practice	33
Engineer Intern/Fundamentals	47
Continuing Education	7
Endorsements	214
Special Inspectors	1
<b>Total</b>	<b>302</b>
<b>Grand Total for quarter</b>	<b>812</b>

(10) If an applicant seeks a license for an activity that is exempt from licensure, FEMC shall notify the applicant and return any tendered application fee within 30 days after receipt of the original application.

*FEMC is in 100% compliance with this section due to the fact that it has not received any application for an exempt activity.*

(11) FEMC shall maintain the Board's web page and update the web page within 14 days of the date the updates go into effect. Administrative complaints shall be posted no later than 30 days after the recommendation by the probable cause panel. All active disciplinary cases shall be posted on the web page, including the final action taken by the Board until the terms of the final order are completed or until the licensee becomes inactive, retires, relinquishes the license or permits the license to become null and void.

*FEMC is in 100% compliance with this section.*

## **Section VII. Deliverables**

### *B2. Priority Referrals Compliance*



## **B2. Priority Referrals**

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During the third quarter of 2016-2017, FEMC received one priority referrals. The Attorney General's office referred a complaint regarding an engineering report for a condominium association. The referral was handled by FBPE's investigator.

## **Section VII. Deliverables**

### *B3a. Complaint Status List*

### B3a. – COMPLAINTS STATUS LIST FOR ALL CASES WORKED ON DURING 3rd QUARTER OF 2016 / 2017 FISCAL YEAR

No.	Case Number	Complainant Name	Name of Subject; License No. of Subject	Received Date	Alleged Violation	Last Action Taken	Next Action Recommended
1.	2014037527	FBPE	Batterson, Richard Scott  P.E. – 60853	09/09/14	Section 471.033(1) (c), Florida Statutes: Being convicted or found guilty of, or entering a plea of nolo contendere to, regardless of adjudication, a crime in any jurisdiction which directly relates to the practice of engineering or the ability to practice engineering.	Closed	Closed with Final Order
2.	2014046334	Goodere, Tyler	Stitgen, Douglas	10/21/14	Statute or Rule at Issue: Section 471.033(1)(g), F.S., & Rule 61G15-19.001(4) by engaging in negligence in the practice of engineering	ADMINISTRATIVE COMPLAINT FILE	Set for June 2017 Board Presentation
3.	2015011700	FBPE	Stitgen, Douglas	03/10/15	Statute or Rule at Issue: Section 471.033(1)(g), F.S., & Rule 61G15-19.001(4) by engaging in negligence in the practice of engineering	ADMINISTRATIVE COMPLAINT FILE	Set for June 2017 Board Presentation
4. UL	2015023010	William J. Ondulich	DWR Architecture and Engineering Inc.  UNLICENSED	04/27/15	Statute or Rule at Issue: § 471.023, FS: Offering Engineering Services without a Certificate of Authorization	CLOSED NOTICE TO CEASE & DESIST ISSUED	CLOSED—With a Final Order-Default
5.	2015033401	Basile, Joseph	Griffin, Harry John II	07/30/15	Statute or Rule at Issue: Section 471.033(1)(g), F.S., & Rule 61G15-19.001(4) by engaging in negligence in the practice of engineering	Closed	Closed with Final Order
6. UL	2015047628	FBPE	Sinkholes, LLC  UNLICENSED	10/29/15	Statute or Rule at Issue: § 471.023, FS: Offering Engineering Services without a Certificate of Authorization.	Closed  Notice to Cease & Desist Issued	Final Order
7. UL	2015050230	Munster, Candice	RHH Consulting & Engineering UNLICENSED	11/16/15	Statute or Rule at Issue: § 471.023, FS: Offering Engineering Services without a Certificate of Authorization. Unlicensed Activity	Administrative Complaint filed Notice to Cease & Desist Issued	Set for Board Presentation April 2017
8.	2015013030	REDACTED	REDACTED	03/20/15	Statute or Rule at Issue: Section 471.033(1)(g), F.S., & Rule 61G15-19.001(4) by engaging in negligence in the practice of engineering	Investigation Complete	Set for May 2017 PCP
9.	2016007254	REDACTED	REDACTED	02/12/16	Statute or Rule at Issue: Section 471.033(1)(g), F.S., & Rule 61G15-19.001(4) by engaging in negligence in the practice of engineering	Investigation Complete	Set for May 2017 PCP
10. UL	2016007629	FBPE	Sota Engineers and General Contractors LLC UNLICENSED	02/16/16	Statute or Rule at Issue: § 471.023, FS: Offering Engineering Services without a Certificate of Authorization. Unlicensed Activity	Administrative Complaint filed Notice to CEASE & DESIST ISSUED	Waiting on EOR
11.	2016012649	FBPE	Gaydosh, Stephen	03/08/16	Statute or Rule at Issue: Section 471.033(1)(g), F.S., & Rule 61G15-19.001(4) by engaging in negligence in the practice of engineering	Administrative Complaint filed	Set for Board Presentation April 2017-Default
12.	2016029320	FBPE	Gilberti, Joseph	06/20/16	Statute or Rule at Issue: §455.227(1)(t), FS: Failing to report in writing a conviction or plea of nolo contendere, a crime in any jurisdiction	Administrative Complaint filed	Received EOR with Informal Hearing request waiting on SUMF

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No.	Case Number	Complainant Name	Name of Subject; License No. of Subject	Received Date	Alleged Violation	Last Action Taken	Next Action Recommended
13.	2016033151	REDACTED	REDACTED	07/14/16	Statute or Rule at Issue: Section 471.033(1)(g), F.S., & Rule 61G15-19.001(4) by engaging in negligence in the practice of engineering	Under Investigation	Continue Investigation
14.	2016037811	FBPE	Stewart Engineering, Inc.	07/25/16	Statute or Rule at Issue: §471.033(1)(d) Having a license to practice engineering acted against by another jurisdiction; Section 471.023(5): Disciplinary action against a business organization shall be administered in the same manner and on the same grounds as disciplinary action against a licensed engineer	Closed	Dismissed with Closing Order
15.	2016023658	Franks, David	Blackmon, Joy D.	05/16/16	Statute or Rule at Issue: Section 471.033(1)(g), F.S., & Rule 61G15-19.001(4) by engaging in negligence in the practice of engineering	Closed	Dismissed with Closing Order
16.	2016037804	Younessi, Rodin	Miller, Glen Alan	08/09/16	Statute or Rule at Issue: Section 471.033(1)(g), F.S., & Rule 61G15-19.001(4) by engaging in negligence in the practice of engineering	Closed	Dismissed with Closing Order
17.	2016038696	Weekley, Robbie	Bishop, Gary	08/16/16	Statute or Rule at Issue: Section 471.033(1)(g), F.S., & Rule 61G15-19.001(4) by engaging in negligence in the practice of engineering	Closed	Dismissed with Closing Order
18.	2016046401	Deaver, Amy	Chaiban Engineering Consultants, Inc.	10/04/16	Statute or Rule at Issue: Section 471.033(1)(g), F.S., & Rule 61G15-19.001(4) by engaging in negligence in the practice of engineering	Closed	Dismissed with Closing Order
19.	2016052464	Braswell, Robert	Cooper, Mark	11/15/16	Statute or Rule at Issue: Section 471.033(1)(g), F.S., & Rule 61G15-19.001(4) by engaging in negligence in the practice of engineering	Closed	Dismissed with Closing Order
20.	2016038067	Anonymous	VanAllen, Geoffrey	08/11/16	Statute or Rule at Issue: §471.033(1) (g), FS: Engaging in fraud or deceit, negligence, incompetence, or misconduct, in the practice of engineering. §471.033(1) (i), FS: Providing engineering services through a corporation without a Certificate of Authorization	Closed	Closed with Letter of Guidance
21.	2016040890	Howard, Orvell	Aboujaoude, Rock	08/25/16	Statute or Rule at Issue: Section 471.033(1) (g), FS: Engaging in fraud or deceit, negligence, incompetence, or misconduct, in the practice of engineering; Section 471.033(1) (i), FS: Providing engineering services through a corporation without a Certificate of Authorization	Closed	Closed with Letter of Guidance
22.	2016050064	FBPE	Dertien, Joseph	10/28/16	Statute or Rule at Issue: §455.227(1)(t), FS: Failing to report in writing a conviction or plea of nolo contendere, a crime in any jurisdiction	Closed	Closed with Letter of Guidance
23.	2016054788	FBPE	Sprinkle, Donald	11/30/16	Statute or Rule at Issue: §455.227(1)(t), FS: Failing to report in writing a conviction or plea of nolo contendere, a crime in any jurisdiction	Closed	Closed with Letter of Guidance
24.	2016003446	Edwards, Terry	Chewning, Roger	01/19/16	Statute or Rule at Issue: Section 471.033(1)(g), F.S., & Rule 61G15-19.001(4) by engaging in negligence in the practice of engineering	Closed	Closed with Letter of Guidance
25.	2016050173	FBPE	Jofre, Claudio	10/31/16	Statute or Rule at Issue: Section 471.033(1)(a): Violating any ....	Closed	Closed with Letter of

### B3a. – COMPLAINTS STATUS LIST FOR ALL CASES WORKED ON DURING 3rd QUARTER OF 2016 / 2017 FISCAL YEAR

No.	Case Number	Complainant Name	Name of Subject; License No. of Subject	Received Date	Alleged Violation	Last Action Taken	Next Action Recommended
					provision of ...Section 471.025 ...or any other provision of this chapter or rule of the board or department. F. S., §471.023(1), FS: All final drawings, specifications, plans, reports, or documents involving practices licensed under this chapter which are prepared or approved for the use of the business organization or for public record within the state shall be dated and shall bear the signature and seal of the licensee who prepared or approved them; 471.025(1) "All final drawings, specifications, plans, reports, or documents prepared or issued by the licensee and being filed for public record and all final documents provided to the owner or the owner's representative shall be signed by the licensee, dated, and sealed with said seal."		Guidance
26.	2015032758	Gates, Paul	Hotic, Eldin	07/24/15	Statute or Rule at Issue: Section 471.033(1)(g), F.S., & Rule 61G15-19.001(4) by engaging in negligence in the practice of engineering	Closed	Closed with Final Order
27.	2015048853	Cameron, Geraldine	Hotic, Eldin	11/06/15	Statute or Rule at Issue: Section 471.033(1)(g), F.S., & Rule 61G15-19.001(4) by engaging in negligence in the practice of engineering	Closed	Closed with Final Order
28.	2016000184	Gardiner, John A.	Landers, Edward	12/23/15	Statute or Rule at Issue: Section 471.033(1)(g), F.S., & Rule 61G15-19.001(4) by engaging in negligence in the practice of engineering	Closed	Closed with Final Order
29.	2015036055	Neipp, Gerald	Duenas, Edgar	08/17/15	Statute or Rule at Issue: Section 471.033(1)(g), F.S., & Rule 61G15-19.001(4) by engaging in negligence in the practice of engineering	Closed	Closed with Final Order
30.	2016024529	FBPE	Chewning, Roger	05/20/16	Statute or Rule at Issue: § 471.033(1) (k), FS: Violating any order of the board or department previously entered in a disciplinary hearing	CLOSED	CLOSED with FINAL ORDER-Default
31	2016028087	Hill, Samantha D.	Holt, John D.	06/13/16	Statute or Rule at Issue: §471.033(1) (i), FS: Practicing on a...suspended...license	CLOSED	LICENSED REVOKED
32.	2015023328	REDACTED	REDACTED	05/26/15		Closed	Subject Deceased
33. UL	2017010673	REDACTED	REDACTED UNLICENSED	03/07/2017		CLOSED	Legally Insufficient
34. UL	2016022664	FBPE	Ameriglass Engineering UNLICENSED	05/09/16	Unlicensed Activity	Closed-  NOTICE TO CEASE & DESIST ISSUED	Close file- Agreement- Informal
35. UL	2016049097	FBPE	All Cad LLC UNLICENSED	10/13/16	Unlicensed Activity	Closed-  NOTICE TO CEASE & DESIST	Close file- Agreement- Informal

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No.	Case Number	Complainant Name	Name of Subject; License No. of Subject	Received Date	Alleged Violation	Last Action Taken	Next Action Recommended
						ISSUED	
36. UL	2016049873	FBPE	Wetland Sciences Incorporated UNLICENSED	10/20/16	Unlicensed Activity	Closed-  NOTICE TO CEASE & DESIST ISSUED	Close file- Agreement- Informal
37. UL	2016049909	Tosoni, Robert	Tipton, Roger UNLICENSED	10/28/16	Unlicensed Activity	Closed-  NOTICE TO CEASE & DESIST ISSUED	Close file- Agreement- Informal
38. UL	2016051334	FBPE	Adams, Steven M. UNLICENSED	11/04/16	Unlicensed Activity	Closed-  NOTICE TO CEASE & DESIST ISSUED	Close file- Agreement- Informal
39. UL	2016039344	FBPE	Perera, Hugh UNLICENSED	08/19/16	Unlicensed Activity	Closed-  NOTICE TO CEASE & DESIST ISSUED	Close file- Agreement- Informal
40. UL	2017001049	Rice, Christopher	Rice, Jason UNLICENSED	01/04/17	Unlicensed Activity	Closed-  NOTICE TO CEASE & DESIST ISSUED	Close file- Agreement- Informal
41.	2017002508	Sterriker, Robert	Bell, Jennifer	01/13/17		Closed	Legally Insufficient
42.	2017006473	FBPE	Florida Building Engineering & Inspections Corp.	02/09/17		Closed	No Further Action
43.	2016053617	Patchen, John	Batista, Gregorio	11/16/16		Closed	Legally Insufficient
44.	2017004862	Shendell, William J.	Read, Peter G.	01/31/17		Closed	Legally Insufficient
45.	2016051107	FBPE	Higgins, Robert	10/28/16		Closed	Closed with Letter of Guidance
46.	2017002557	FBPE	Hasan, Afsar	01/12/17		Closed	Closed with Letter of Guidance
47. UL	2016057773	Vasquez, William	Sunstate Metal Building LLC	12/19/16		Closed	Legally Insufficient

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No.	Case Number	Complainant Name	Name of Subject; License No. of Subject	Received Date	Alleged Violation	Last Action Taken	Next Action Recommended
			UNLICENSED				
48. UL	2017008795	Cristiano, Maria	Garofalo, Blaise P. UNLICENSED	03/23/17		Closed	Legally Insufficient
49.	2016000255	Cartier, Kyle	Watkins, Malcolm	12/21/15	Statute or Rule at Issue: : § 455.227(1)(c), FS: Being convicted or found guilty of, or entering a plea of guilty or nolo contendere to, regardless of adjudication, a crime in any jurisdiction which relates to the....ability to practice, a licensee’s profession; §455.227(1)(t), FS: Failing to report in writing a conviction or plea of nolo contendere, a crime in any jurisdiction	Received EOR/answer to AC	Referred to DOAH/ Awaiting ALJ ruling
50.	2016005023	REDACTED	REDACTED	02/01/16		Sent to Consultant for Opinion	Receive Opinion and proceed
51	2016013495	Amaral, Mark	Kastner, Stehpen	03/17/16	Statute or Rule at Issue: Section 471.033(1)(g), F.S., & Rule 61G15-19.001(4) by engaging in negligence in the practice of engineering	Administrative Complaint filed	Received response to AC/In Negotiations
52.	2016026528	Rosales Montero, Ruth	Martinez, Fermin	05/31/16	Statute or Rule at Issue: Section 471.033(1)(g), F.S., & Rule 61G15-19.001(4) by engaging in negligence in the practice of engineering	Administrative Complaint filed	Waiting on EOR
53.	2015045726	Rios, Zobeyda	Martinez. Fermin	10/16/15	Statute or Rule at Issue: Section 471.033(1)(g), F.S., & Rule 61G15-19.001(4) by engaging in negligence in the practice of engineering	Administrative Complaint filed	Waiting on EOR
54.	2015045622	Lewis, Ed	Mahoney, John	10/08/15	Statute or Rule at Issue: Section 471.033(1)(g), F.S., & Rule 61G15-19.001(4) by engaging in negligence in the practice of engineering	Administrative Complaint filed	Waiting on EOR
55.	2016014037	FBPE	Cool, Carl	03/21/16	Statute or Rule at Issue: Section 471.033(1)(g), F.S., & Rule 61G15-19.001(4) by engaging in negligence in the practice of engineering	Administrative Complaint filed	Set for June 2017 Board Presentation
56. UL	2016035647	Sagul, Timothy	Meehan, James UNLICENSED	07/29/16	Statute or Rule at Issue: §471.025(2), FS: It is unlawful for any person to seal or digitally sign any document with a seal or digital signature after his or her license has expired... §471.033(1), FS: Practicing on a revoked, suspended, inactive or delinquent license. §471.033(k), FS: Violating any order of the board or department previously entered in a disciplinary hearing	Investigation Complete Notice to CEASE & DESIST ISSUED Administrative Complaint file	Waiting for EOR form/Trying to Serve AC via Sheriffs Dept
57. UL	2016037330	FBPE	Meehan, James UNLICENSED	08/09/16	Statute or Rule at Issue: §471.025(2), FS: It is unlawful for any person to seal or digitally sign any document with a seal or digital signature after his or her license has expired... §471.033(1), FS: Practicing on a revoked, suspended, inactive or delinquent license. §471.033(k), FS: Violating any order of the board or department previously entered in a disciplinary hearing	Administrative Complaint Issued Citation Issued	Waiting for EOR form/Trying to Serve AC via Sheriffs Dept
58. UL	2016045018	FBPE	Meehan, James UNLICENSED	09/23/16	Statute or Rule at Issue: §471.025(2), FS: It is unlawful for any person to seal or digitally sign any document with a seal or digital signature after his or her license has expired... §471.033(1), FS:	Administrative Complaint Issued	Waiting for EOR form/Trying to Serve AC via Sheriffs Dept

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No.	Case Number	Complainant Name	Name of Subject; License No. of Subject	Received Date	Alleged Violation	Last Action Taken	Next Action Recommended
					Practicing on a revoked, suspended, inactive or delinquent license. §471.033(k), FS: Violating any order of the board or department previously entered in a disciplinary hearing		
59.	2016044376	FBPE	Mitchell, Stephen	09/13/16	Statute or Rule at Issue: Section 471.033(1)(g), F.S., & Rule 61G15-19.001(4) by engaging in negligence in the practice of engineering	Investigation Complete	AC Filed waiting on EOR
60.	2016048989	FBPE	Cardona, Alberto	10/24/16	Statute or Rule at Issue: §471.033(1)(k), F.S.: Violating any order of the board or department previously entered in a disciplinary hearing	Administrative Complaint filed	Received EOR/ Requesting Informal Hearing-Set for June Board meeting
61.	2016050175	FBPE	AA Masters Mechanical Air Moving and Engineering Systems Corp.	10/31/16	Statute or Rule at Issue: Section 471.033(1)(a): Violating any ... any other provision of this chapter or rule of the board or department. F. S., §471.023(1), FS: All final drawings, specifications, plans, reports, or documents involving practices licensed under this chapter which are prepared or approved for the use of the business organization or for public record within the state shall be dated and shall bear the signature and seal of the licensee who prepared or approved them	Investigation Complete	AC Filed waiting on EOR
62.	2016054024	FBPE	Bell, Robin	11/10/16	Statute or Rule at Issue: §471.033(1)(c), FS: Having a license to practice engineering revoked, suspended, or otherwise acted against, including the denial of licensure....for any act that would constitute a violation of this chapter or chapter 455; § 455.227(1)(c), FS: Being convicted or found guilty of, or entering a plea of guilty or nolo contendere to, regardless of adjudication, a crime in any jurisdiction which relates to the....ability to practice, a licensee's profession; §455.227(1)(t), FS: Failing to report in writing a conviction or plea of nolo contendere, a crime in any jurisdiction	Investigation Complete	AC Filed waiting on EOR
63.	2016054298	Bostick, Melissa	Barnett, Winthrop	11/28/16	Statute or Rule at Issue: Section 471.033(1)(g), F.S., & Rule 61G15-19.001(4) by engaging in negligence in the practice of engineering	Investigation Complete	AC Filed waiting on EOR
64.	2015045620	REDACTED	REDACTED	10/28/15	Statute or Rule at Issue: Section 471.033(1)(g), F.S., & Rule 61G15-19.001(4) by engaging in negligence in the practice of engineering	Investigation Complete	Set for May 2017 PCP
65.	2016041979	REDACTED	REDACTED	08/30/16	Statute or Rule at Issue: Section 471.033(1)(g), F.S., & Rule 61G15-19.001(4) by engaging in negligence in the practice of engineering	Investigation Complete	Set for May 2017 PCP
66.	2016041986	REDACTED	REDACTED	08/30/16	Statute or Rule at Issue: §471.023, FS: Offering and Providing Engineering Services on a delinquent Certificate of Authorization.; §471.033 (1)(g) Engaging in fraud or deceit, negligence, incompetence, or misconduct, in the practice of engineering	Investigation Complete	Set for May 2017 PCP



### B3a. – COMPLAINTS STATUS LIST FOR ALL CASES WORKED ON DURING 3rd QUARTER OF 2016 / 2017 FISCAL YEAR

No.	Case Number	Complainant Name	Name of Subject; License No. of Subject	Received Date	Alleged Violation	Last Action Taken	Next Action Recommended
					Citation Issued		
67.	2016050531	REDACTED	REDACTED	10/31/16	Statute or Rule at Issue: Section 471.033(1)(g), F.S., & Rule 61G15-19.001(4) by engaging in negligence in the practice of engineering	Investigation Complete	Set for May 2017 PCP
68.	2016056204	REDACTED	REDACTED	12/01/16	Statute or Rule at Issue: Section 471.033(1)(g), F.S., & Rule 61G15-19.001(4) by engaging in negligence in the practice of engineering; Section 471.025(1), Failure to Seal, Sign and Date final work product	Investigation Complete	Set for May 2017 PCP
69. UL	2015042782	Lavrich, Daniel	Uz, Jose F. Unlicensed	09/25/15	Unlicensed Activity	Under Investigation  NOTICE TO CEASE & DESIST ISSUED	Awaiting fully executed Affidavit from Subject
70. UL	2017006001	REDACTED	REDACTED UNLICENSED	02/06/17		Under Investigation	Continue Investigation
71. UL	2017003147	REDACTED	REDACTED UNLICENSED	01/09/17		Under Investigation	Continue Investigation
72. UL	2017002512	REDACTED	REDACTED UNLICENSED	01/17/17		Under Investigation	Continue Investigation
73.	2017005754	REDACTED	REDACTED	02/02/17		Under Investigation	Continue Investigation
74.	2017003607	REDACTED	REDACTED	01/23/17		Under Investigation	Continue Investigation
75.	2017005756	REDACTED	REDACTED	02/02/17		Under Investigation	Continue Investigation
76.	2017007080	REDACTED	REDACTED	02/13/17		Sent to Consultant for Opinion	Receive Opinion and proceed
77.	2017006351	REDACTED	REDACTED	02/09/17		Under Investigation	Continue Investigation
78.	2017005262	REDACTED	REDACTED	02/01/17		Under Investigation	Continue Investigation
79.	2017010771	REDACTED	REDACTED	03/04/17		Under Investigation	Continue Investigation
80.	2016023777	REDACTED	REDACTED	05/09/16		Sent to Consultant for Opinion	Receive Opinion and proceed
81. UL	2016056560	REDACTED	REDACTED UNLICENSED	12/12/16	Unlicensed Activity	Under Investigation	Continue Investigation
82. UL	2016043917	FBPE	Estevan-Spear, Michael UNLICENSED	09/07/16	Unlicensed Activity	Notice to CEASE & DESIST ISSUED	Awaiting Signed Affidavit from Subject to Close file
83.	2016056561	REDACTED	REDACTED	12/12/16		Under Investigation	Continue Investigation
84.	2016056565	REDACTED	REDACTED	12/13/16		Under Investigation	Continue Investigation
85. UL	2016010099	REDACTED	REDACTED UNLICENSED	03/01/16	Unlicensed Activity	Under Investigation	Continue Investigation

### B3a. – COMPLAINTS STATUS LIST FOR ALL CASES WORKED ON DURING 3rd QUARTER OF 2016 / 2017 FISCAL YEAR

No.	Case Number	Complainant Name	Name of Subject; License No. of Subject	Received Date	Alleged Violation	Last Action Taken	Next Action Recommended
86. UL	2016050431	FBPE	James L. Dentico Contracting, Inc. UNLICENSED	10/31/16	Unlicensed Activity	Notice to CEASE & DESIST ISSUED	Awaiting Signed Affidavit from Subject to Close file
87.	2016049209	REDACTED	REDACTED	10/25/16		Under Investigation	Continue Investigation
88.	2016055099	REDACTED	REDACTED	12/02/16		Under Investigation	Continue Investigation
89.	2017006475	REDACTED	REDACTED	02/09/17		Under Investigation	Continue Investigation
90.	2017002872	REDACTED	REDACTED	01/19/19		Under Investigation	Continue Investigation
91. UL	2017006837	REDACTED	REDACTED UNLICENSED	02/13/17		Under Investigation	Continue Investigation
92.	2017007963	REDACTED	REDACTED	02/20/17		Under Investigation	Continue Investigation
93.	2016028352	REDACTED	REDACTED	06/02/16		Under Investigation	Continue Investigation
94. UL	2016014089	REDACTED	REDACTED UNLICENSED	03/16/16	Unlicensed activity	Under Investigation	Continue Investigation
95.	2016014130	REDACTED	REDACTED	03/21/16		Under Investigation	Continue Investigation
96.	2016039268	REDACTED	REDACTED	07/27/16		Under Investigation	Continue Investigation
97. UL	2016028672	REDACTED	REDACTED UNLICENSED	06/09/16	Unlicensed Activity	Under Investigation	Continue Investigation
98. UL	2016050090	FBPE	Green Development Services, Inc. UNLICENSED	10/11/16		Notice to CEASE & DESIST ISSUED	Awaiting Signed Affidavit from Subject to Close file
99.	2017003149	REDACTED	REDACTED	01/09/17		Under Investigation	Continue Investigation
100	2017011069	REDACTED	REDACTED	03/02/17		Under Investigation	Continue Investigation
101	2017008786	REDACTED	REDACTED	02/23/17		Under Investigation	Continue Investigation
102	2017008790	REDACTED	REDACTED	02/23/17		Under Investigation	Continue Investigation
103	2017008793	REDACTED	REDACTED	02/23/17		Under Investigation	Continue Investigation
104	2017009065	REDACTED	REDACTED	02/23/14		Under Investigation	Continue Investigation
105	2017009313	REDACTED	REDACTED	02/27/17		Under Investigation	Continue Investigation
106	2017009322	REDACTED	REDACTED	02/27/17		Under Investigation	Continue Investigation
107	2017010023	REDACTED	REDACTED	03/02/17		Under Investigation	Continue Investigation
108	2017011835	REDACTED	REDACTED	03/10/17		Under Investigation	Continue Investigation
109	2017013575	REDACTED	REDACTED	03/10/17		Under Investigation	Continue Investigation
110	2017014123	REDACTED	REDACTED	03/21/17		Under Investigation	Continue Investigation
111	2017014339	REDACTED	REDACTED	03/13/17		Initial Review	Continue Investigation

### B3a. – COMPLAINTS STATUS LIST FOR ALL CASES WORKED ON DURING 3rd QUARTER OF 2016 / 2017 FISCAL YEAR

No.	Case Number	Complainant Name	Name of Subject; License No. of Subject	Received Date	Alleged Violation	Last Action Taken	Next Action Recommended
112 UL	2017009244	Anonymous	Baseline Engineering and Land Surveying, Inc. Unlicensed	02/24/17		Notice to CEASE & DESIST ISSUED	Awaiting Signed Affidavit from Subject to Close file
113 UL	2017010149	REDACTED	REDACTED UNLICENSED	02/20/17		Notice to CEASE & DESIST ISSUED	Awaiting Signed Affidavit from Subject to Close file
114 UL	2017014337	Anonymous	Kus, Charles UNLICENSED	03/13/17		Notice to CEASE & DESIST ISSUED	Awaiting Signed Affidavit from Subject to Close file
115	2015023764	REDACTED	REDACTED	05/27/15		Sent to Consultant for Opinion	Receive Opinion and proceed
116	2016057765	REDACTED	REDACTED	12/20/16		Sent to Consultant for Opinion	Receive Opinion and proceed
117	2016050775	REDACTED	REDACTED	11/03/16		Sent to Consultant for Opinion	Receive Opinion and proceed
118	2016051722	REDACTED	REDACTED	11/08/16		Sent to Consultant for Opinion	Receive Opinion and proceed
119	2016055932	REDACTED	REDACTED	12/08/16		Sent to Consultant for Opinion	Receive Opinion and proceed
120	2016049863	REDACTED	REDACTED	10/28/16		Sent to Consultant for Opinion	Receive Opinion and proceed
121	2016054726	REDACTED	REDACTED	11/30/16		Sent to Consultant for Opinion	Receive Opinion and proceed
122	2017002568	REDACTED	REDACTED	01/17/17		Sent to Consultant for Opinion	Receive Opinion and proceed
123	2017002576	REDACTED	REDACTED	01/17/17		Sent to Consultant for Opinion	Receive Opinion and proceed
124	2017002868	REDACTED	REDACTED	01/18/17		Sent to Consultant for Opinion	Receive Opinion and proceed
125	2017004672	REDACTED	REDACTED	01/30/17		Sent to Consultant for Opinion	Receive Opinion and proceed
126	2015042786	REDACTED	REDACTED	09/25/15		Sent to Consultant for Opinion	Receive Opinion and proceed
127	2015045621	REDACTED	REDACTED	10/08/15		Sent to Consultant for Opinion	Receive Opinion and proceed
128	2015047351	REDACTED	REDACTED	10/28/15		Sent to Consultant for Opinion	Receive Opinion and proceed
129	2015052732	REDACTED	REDACTED	12/07/15		Sent to Consultant for Opinion	Receive Opinion and proceed
130	2016018432	REDACTED	REDACTED	04/05/16		Sent to Consultant for Opinion	Receive Opinion and proceed
131	2016028548	REDACTED	REDACTED	06/15/16		Sent to Consultant for Opinion	Receive Opinion and proceed
132	2016035614	REDACTED	REDACTED	07/28/16		Sent to Consultant for Opinion	Receive Opinion and proceed
133	2016035621	REDACTED	REDACTED	07/28/16		Sent to Consultant for Opinion	Receive Opinion and proceed
134	2016003962	REDACTED	REDACTED	01/25/16		Sent to Consultant for Opinion	Receive Opinion and proceed

## **Section VII. Deliverables**

### *B3b. Current FEMC Legally Insufficient Case List*

**B3b. List of all cases FEMC closed as legally insufficient since last report:**

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2016053617

2017002508

2017004862

2016057773

2017008795

2017010673

## **Section VII. Deliverables**

### *B3c. Final Order Compliance Report*

## **B3c – Final Order Compliance Report Summary For the Third Quarter of Fiscal Year 2016/2017 FEMC**

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### List of meanings:

1. Turned over to FEMC Investigator for non-compliance: the file has been turned over to the FEMC Investigator for review and possible further action.
2. Red writing: All obligations have been met and the case has been closed.
3. Sent to Collections (date): the file has been sent to DBPR for collections. FEMC has no further responsibility in case.
4. Project Reviews—Once a list is received from the Subject, projects are chosen, documents are requested and then given to the Consultant for the review this process can sometimes take a couple of months. Once the Opinion is received it is forwarded to the Subject and possibly taken to PCP.

Alexander, Charles T. – Case No: 2015021498 (Final Order Filed with DBPR on 04/26/2016)

- Basic Engineering Professionalism and Ethics Course: Deadline: 04/26/2017.
- Fine: \$5,000.00. PAID- 05/16/2016
- Costs: \$255.45.PAID: 05/16/2016.
- Study Guide. Completed: 05/26/2016.
- Suspended: 1 year from filing Final Order – 04/26/2017

**SUBJECT IS IN COMPLIANCE**

Banks, Julio C. – Case Nos: 2015032603 & 2015036080 (Final Order Filed with DBPR on 12/09/2016)

- Advanced Engineering Professionalism and Ethics Course: Deadline: 12/09/2017.
- Costs: \$2513.70 DUE: 12/09/2017.
- Study Guide. COMPLETED: 12/14/2016.
- Suspended: 2 years from filing Final Order – 12/09/2018

**SUBJECT IS IN COMPLIANCE**

Batterson, R. Scott – Case No: 2014037527 (Final Order Filed with DBPR on 02/09/2017)

- Basic Engineering Professionalism and Ethics Course – DUE: 02/09/2018
- Costs: \$378.49 – DUE 03/09/2017-Paid-03/13/2017
- Study Guide – DEADLINE: 03/09/2017\*\*\*with special circumstances
  - emailed paper form of study guide to subject
  - Completed 03/31/2017
- Suspension – while incarcerate: 02/09/2019

**SUBJECT IS IN COMPLIANCE**

Bergsten, Jr., Harold F. – Case No: 2013021397 (Final Order Filed with DBPR on 02/18/2015)

- Basic Engineering Professionalism and Ethics Course – Completed: 01/25/2016
- Costs: \$1,643.00 – PAID IN FULL
- Study Guide – DEADLINE: Completed 05/03/2016
- Project Reviews
  - 6 months – Deadline : 08/18/2015 – has submitted Projects List
    - 09/28/2015 – letter to Subject requesting documents for project and payment to Consultant
    - 11/12/2015 – follow up letter to Subject requesting documents for project and payment to Consultant

## **B3c – Final Order Compliance Report Summary For the Third Quarter of Fiscal Year 2016/2017 FEMC**

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- 18 Months – Deadline: 08/18/2016-received project list 08/18/2016-received plans 08/29/2016-Completed-10/22/2016- received unfavorable report. File to PCP
- Probation – Conclusion: 02/18/2017
- **All obligations have been met and the case has been closed.**

### **SUBJECT IS IN COMPLIANCE**

Bolivar, Santiago – Case No: 2014030707 (Final Order Filed with DBPR on 10/14/2015)

- Basic Engineering Professionalism and Ethics Course: Completed: 12/12/2016.
- Fine: \$4,000.00. PAID:
- Costs: \$4,930.40. PAID:
  - Paid \$4,465.20 -- 04/14/2016
  - Paid \$4,465.20 -- 10/06/2016
  - The fine and costs have been combined into one total of \$8,930.40, which is to be paid in 2 equal payments of \$4,465.20 at 04/14/2016 and 10/14/2016.
- Study Guide. COMPLETED: 12/31/2015.
- Project review 6 months due: 04/14/2016
- Requested an extension-due date now 10/14/2016-received list 10/17/2016
  - Received an unfavorable MEP opinion for the 6 month review 01/20/17 will go before the PCP for bad project review. Currently waiting on the STR opinion.
- Project review 18 months due 04/14/2017.
- Probation: 2 years from filing: 10/14/2017

### **SUBJECT IS IN COMPLIANCE**

Bowen, David III – Case No: 2015034920 (Final Order Filed with DBPR on 03/01/16)

- Cost: \$168.75 – DUE: 04/01/2016
- Fine: \$1,000.00 – DUE: 04/01/2016
  - Subject has been turned over to Collections for non-payment on 06/09/2016

### **SUBJECT IS NOT IN COMPLIANCE**

Cadavid, Rodrigo – Case No: 2015003839(Final Order Filed with DBPR on 02/03/2016)

- Costs: \$267.55 – DUE: 06/03/2016
- FINE: \$5,000.00 – DUE: 06/03/2016
  - Subject has been turned over to Collections for non-payment on 07/13/2016

### **SUBJECT IS NOT IN COMPLIANCE**

Cardona, Alberto – Case No: 2013009998 & 2014023033 (Final Order Filed with DBPR on 02/11/2016)

- Basic Engineering Professionalism and Ethics Course – DEADLINE: 02/15/2017-out of compliance. Sent past due reminder of P&E course 02/20/2017. Gave file to FEMC Investigator for Non-Compliance of Final Order- 04/04/2017
- Costs: \$7,346.58 – DUE: 02/15/2018
- Fine: \$6,000.00 – DUE: 02/15/2018
  - Paid quarterly payment of \$1,668.32 for Fines & Costs.
  - Paid quarterly payment of \$1,688.32 for Fines & Costs-08/19/2016
  - Paid quarterly payment of \$1,648.32 for Fines & Costs-11/21/2016
  - Paid quarterly payment of \$1,668.32 for Fines & Costs-02/16/2017
  -
- Study Guide – DEADLINE: 03/15/2016- Completed 02/18/2016



## **B3c – Final Order Compliance Report Summary For the Third Quarter of Fiscal Year 2016/2017 FEMC**

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- Project Reviews
  - 9 months – Deadline : 11/15/2016 gave extension new deadline-05/15/2017
    - Sent a reminder of the deadline 12/2016
    - Gave extension. New deadline is 05/15/2017
    - Received list for project review- 03/02/17
  - 21 Months – Deadline: 11/15/2017
- Probation – Conclusion: 05/15/2018
- Complaint # 2016048989 was opened due to the Subject violated Restrictions set in the final Order from case number's 2013009998 & 2014023033. AC was issued and Filed with DBPR 01/20/2017. The signed EOR was received with the request for an Informal hearing. As of 04/04/2017- the Informal Hearing process is still ongoing in Case # 2016048989

### **SUBJECT IS NOT IN COMPLIANCE**

Claiborne, G.R. – Case No: 2015018063 (Final Order Filed with DBPR on 08/24/2016)

- Basic Engineering Professionalism and Ethics Course – COMPLETED: 11/03/2016
- Costs: \$2,344.05 – PAID 09/03/2016
- Fine: \$3,000.00 – PAID: 09/03/2016
- Study Guide – DEADLINE: 09/24/2016- Completed 08/26/2016
- Project Reviews
  - 6 months – Deadline : 02/24/2017
    - Received list 02/08/17
  - 18 Months – Deadline: 02/24/2018
- Probation – Conclusion: 08/24/2018

### **SUBJECT IS IN COMPLIANCE**

Chewning, Roger – Case No: 2013027115 (Final Order Filed with DBPR on 11/04/2014)

- Basic Engineering Professionalism and Ethics Course – DEADLINE: 11/04/2016
- Costs: \$3,290.00 – PAID: 02/02/2015
- Fine: \$2,000.00 – PAID: 02/02/2015
- Study Guide – DEADLINE: 11/04/2016
- Project Reviews
  - 6 months – Deadline : 05/04/2015
    - ✓ This case is before the November 17, 2015 Probable Cause Panel for direction on how to proceed regarding unfavorable projects reviews
  - 18 Months – Deadline: 05/04/2016
    - Sent 1<sup>st</sup> reminder of deadline 04/06/2016-did not hear back
    - Sent 2<sup>nd</sup> reminder of deadline 05/11/2016-gave him a date of 05/19/16 or it will be turned or to FEMC Investigator for non-compliance.
- Probation – Conclusion: 11/04/2016
  - Went to July 2016 PCP and AC for non-compliance of Final Order authorized
- Went before the Board December 2016(Complaint # 2016024529) an AC was issued and Final Order Filed with DBPR 01/04/17. His license has been Suspended until he completes the 18 month project review and he has Costs: \$132.60 – DUE: 02/04/2017
  - Sent reminder that he is past due 03/09/2017

### **SUBJECT IS NOT IN COMPLIANCE**

DeStefano, Mark A. – Case No: 2014031178 (Final Order Filed with DBPR on 02/15/2016)

## **B3c – Final Order Compliance Report Summary For the Third Quarter of Fiscal Year 2016/2017 FEMC**

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- Basic Engineering Professionalism and Ethics Course – DEADLINE: 02/15/2017-Reminder sent 03/28/2017. Gave him until 04/15/2017 before I will send it to the FEMC Investigator for non-compliance.
- Costs: \$891.80 – PAID: 03/07/2016
- Fine: \$1,000.00 – PAID: 03/07/2016
- Study Guide – DEADLINE: 03/15/2016 Completed 03/04/2016

**SUBJECT IS IN COMPLIANCE**

Duenas, Edgar – Case No: 2015036055 (Final Order Filed with DBPR on 02/09/2017)

- Basic Engineering Professionalism and Ethics Course – DUE: 02/09/2018
- Costs: \$1,227.75 – DUE 03/09/2017- Paid 02/17/2017
- Fine: \$1,000.00 – DUE: 03/09/2017 –Paid – 02/17/2017
- Study Guide – DEADLINE: 03/09/2017—Completed 02/18/2017
- Probation – Conclusion: 02/09/2019

**SUBJECT IS IN COMPLIANCE**

DWR Architecture and Engineering, Inc.– Case No: 2016023010 (Final Order Filed with DBPR on 01/04/2017)

- Fines: \$5,000.00 – Due 02/04/2017
- Costs: \$278.85 – DUE: 02/04/2017
- Will go to Collection for non-payment 05/04/2017.

**SUBJECT IS NOT IN COMPLIANCE**

Gaydosh, Stephen – Case No: 2012013872 (Final Order Filed with DBPR on 06/18/2014)

- Basic Engineering Professionalism & Ethics Course – COMPLETED: 06/16/2015
- Costs - \$8,072.97 – PAID: 07/21/2014
- Fine - \$4,000.00 – PAID: 07/21/2014
- Study Guide – COMPLETED: 07/21/2014
- Probation – Two Years, until: 06/18/2016
- Project Reviews: for 4 different disciplines – Civil, Structural, Electrical/Mechanical/Plumbing
  - ✓ 6 months –Structural: Favorable Reports on both projects
  - ✓ Civil: reports not completed
  - ✓ Electrical/Mechanical/Plumbing – documents and payment requested
- 18 months – DEADLINE: 06/18/2015- has not completed an 18 month review.
- 03/08/16- PCP reviewed and recommended a new complaint for negligence be opened based upon the project review. Gave to Investigator Wendy as directed by the Panel.
- New complaint opened.(Complaint # 2016012649)

**SUBJECT IS NOT IN COMPLIANCE**

Gavarrete, Gilberto – Case No: 2013033149 (Final Order Filed with DBPR on 10/14/2015)

- Basic Engineering Professionalism & Ethics Course. DUE: 10/14/2016.-sent email showing enrollment 12/2016-Out of compliance for P&E course. Sent a reminder 02/13/17 and gave him until 03/01/2017 to show proof of passing.-Gave file to FEMC Investigator for NON-Compliance of Final Order
- Costs \$4,477.00. PAID IN FULL: 10/28/2016.
- Fine: \$1,000.00. PAID IN FULL: 10/28/2016.

## **B3c – Final Order Compliance Report Summary For the Third Quarter of Fiscal Year 2016/2017 FEMC**

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- The fine and costs have been combined to a total of \$5,477.00 which is payable in 4 equal quarterly payments of \$1,369.25.
- Paid quarterly payment of \$1,369.25 for Fines & Costs-03/03/2016
- Paid quarterly payment of \$1,369.25 for Fines & Costs-04/05/2016
- Paid quarterly payment of \$1,369.25 for Fines & Costs-08/29/2016
- Paid quarterly payment of \$1,369.25 for Fines & Costs-10/28/2016
- Study Guide. DUE: Completed 04/05/2016
- 6 month project review set for 04/14/2016.
  - Received project information but no fee for consultant or project list. Sent reminder on 05/05/2016 requesting project list and fee of \$1500 for the Consultant.
  - 06/02/2016-Gave file to FEMC Investigator for Non-Compliance of Final Order.
  - Sent in project list for 6 month project review 11/04/2016
  - Received the opinion from the Consultant. Opinion not favorable. File to PCP.
- 18 month project review set for 04/4/2017
- Probation ends 10/14/2017
- Has been set to Inactive status per Final Order. Must request in writing change of status.11/2016
- Sent in list for project review and went before PCP and came out of Inactive status

### **SUBJECT IS NOT IN COMPLIANCE**

Goel, Ram – Case No’s: 2013029125 & 2014045758(Final Order Filed with DBPR on 02/15/2016)

- Basic Engineering Professionalism and Ethics Course – COMPLETED: 11/21/2016
- Costs: \$16,988.40 – DUE: 04/15/2016- Paid 04/08/2016
- Study Guide – DEADLINE: 03/15/2016- Completed 02/18/2016
- Project Reviews
  - 6 months – Deadline : 08/15/2016 - requested an extension- new date 02/15/2017
    - 03/32/17-received list of project and sent the list to consultant 03/23/2017
  - 18 Months – Deadline: 08/15/2017
- Restricted from Geotechnical/sinkhole engineering until he passes the NCEES Geotechnical Examination. He will then have 6 & 18 month reviews.

### **SUBJECT IS IN COMPLIANCE**

Griffin, H. John – Case No: 2015033401 (Final Order Filed with DBPR on 02/09/2017)

- Basic Engineering Professionalism and Ethics Course – DUE: 02/09/2018
- Costs: \$4,000.00 – DUE 02/09/2019
- Fine: \$1,000.00 – DUE: 02/09/2019
  - First payment of \$625.00 due: 05/09/2017
- Study Guide – DEADLINE: 03/09/2017-Completed 03/03/2017
- Project Reviews
  - 6 months – Deadline : 08/09/2017
  - 18 Months – Deadline: 08/09/2018

### **SUBJECT IS IN COMPLIANCE**

Hakken, Joshua – Case No: 2014049993 (Final Order Filed with DBPR on 02/11/2016)

- Costs: \$121.87 – DUE: 11/17/2029
- Fine: \$1,000.00 – DUE: 11/17/2029

### **SUBJECT IS IN COMPLIANCE**

## **B3c – Final Order Compliance Report Summary For the Third Quarter of Fiscal Year 2016/2017 FEMC**

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Hakken, Sharon P. – Case No: 2014050002 (Final Order Filed with DBPR on 12/08/2015)

- Costs: \$112.12 – DUE: 01/08/2016
- Fine: \$1,000.00 – DUE: 01/08/2016
- Currently incarcerated and will pay costs & fines when she is released.

**SUBJECT IS IN COMPLIANCE**

Holt, John D. – Case No: 201405099 (Final Order Filed with DBPR on 05/03/2016)

- Advance Engineering Professionalism and Ethics Course – DEADLINE: 05/03/2017
- Costs: \$14,533.89 – DUE: 05/03/2017
- Fine: \$10,000.00 – DUE: 05/03/2017
- Study Guide – DEADLINE: 05/03/2017
- Project Reviews
- Probation – Conclusion: To Be Determined
- License has been **REVOKED**

**SUBJECT IS IN COMPLIANCE**

Holt, John D. – Case No: 2016028087 (Final Order Filed with DBPR on 01/04/2017)

- Costs: \$960.60 – DUE: 02/04/2017
- License has been **REVOKED**

**SUBJECT IS IN COMPLIANCE**

Hotic, Eldin – Case No: 2015032758 & 201548853 (Final Order Filed with DBPR on 02/09/2017)

- Basic Engineering Professionalism and Ethics Course – DUE: 02/09/2018
- Costs: \$2,338.40 – DUE 03/09/2017-Paid 02/28/2017
- Fine: \$1,000.00 – PAID: 03/09/2017 –Paid 02/28/2017
- Study Guide – DEADLINE: 03/09/2017-Completed 02/28/2017
- Probation – Conclusion: 02/09/2018

**SUBJECT IS IN COMPLIANCE**

James, Jacqueline. – Case No: 2014039414(Final Order Filed with DBPR on 06/23/2015)

- Costs: \$395.00 – PAID: 06/23/2015
- Probation ends 06/23/2017
- Restricted from practicing structural engineering until she passes the NCEES 16 hour Structural Examination and then comply with project review obligation.

**SUBJECT IS IN COMPLIANCE**

Landers, Edward – Case No: 2016000184 (Final Order Filed with DBPR on 02/09/2017)

- Basic Engineering Professionalism and Ethics Course – DUE: 02/09/2018
- Costs: \$4,566.67 – DUE 02/09/2019-first payment due 05/09/2017
- Fine: \$1,000.00 – DUE: 03/09/2017 –Paid 03/08/2017
- Study Guide – DEADLINE: 03/09/2017
- Project Reviews
  - 6 months – Deadline : 08/09/2017
  - 18 Months – Deadline: 08/09/2018
- Probation – Conclusion: 02/09/2019

## **B3c – Final Order Compliance Report Summary For the Third Quarter of Fiscal Year 2016/2017 FEMC**

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### **SUBJECT IS IN COMPLIANCE**

Lewis, Matthew D. – Case No: 2014018435 (Final Order Filed with DBPR on 04/13/2015)

- Basic Engineering Professionalism and Ethics Course: Completed: 04/05/2016.
- Fine: \$1,000.00. PAID: 02/16/2015
- Costs: \$3,237.60. PAID: 02/16/2015.
- Study Guide. COMPLETED: 05/11/2015.
- Project review 6 Months due 10/13/2015
  - Timely submitted and project documents have been requested
  - Subject's 6 month projects reviews both received an unfavorable opinion
  - March 08, 2016 Probable Cause Panel directed that Subject must comply with the 18 month Project Review
- Project review 18 Months due: 10/13/2016.-received list -10/13/2016-
  - Received a favorable opinion for the 18 month review 01/25/2017
- Probation: 2 years from filing Final Order – 04/13/2017

### **SUBJECT IS IN COMPLIANCE**

Maslan, Stephen – Case No.: 2013026181 (Final Order Filed with DBPR on 04/29/2014)

- Basic Engineering Professionalism and Ethics Course: COMPLETED: 06/18/2014.
- Costs: \$1,126.50. PAID: 12/18/2013 via internet
- Study Guide: COMPLETED 05/01/2014.
- Project Reviews:
  - 6 months: DUE: 10/29/2014 – the list was received timely, and sent to the FEMC Consultant for review and choosing of 2 projects on November 6, 2014. The consultant continues to work on this case.
    - Unfavorable review to original projects review and to all responses sent
  - 18 months: DUE: 10/29/2014
    - Received project list & forward it to consultant for project review 10/28/2017
    - Received a favorable review from Zadikoff and forward the opinion to Subject 01/25/2017
- Subject's License is restricted from practicing any Fire Protection engineering in the state of Florida unless he completes, passes, and submits proof of passing the NCEES Fire Protection Exam.
- This case is set to go before the November 17, 2015 Probable Cause Panel for direction on the unfavorable projects reviews.
- This case went before the May 10, 2016 PCP Panel and more information was tabled until the July 2016 PCP meeting. The PCP Panel wants to see a full response from the consultant regarding the Subjects response to the Consultants Opinion. PCP recommended to continue with 18 month project review.
- All obligations have been met and the case has been closed.

### **SUBJECT IS IN COMPLIANCE**

Messulam, Aldo Jack – Case No: 2014017182 (Final Order filed with DBPR: 10/14/2015)

- Basic Engineering Professionalism and Ethics Course: DUE: 10/14/2016.--
- Costs: \$1,411.75. PAID: 11/12/2015.

## **B3c – Final Order Compliance Report Summary For the Third Quarter of Fiscal Year 2016/2017 FEMC**

---

- Fine: \$500.00. PAID: 11/12/2015.
- Study Guide: DUE: Completed 04/06/2016
- ---sent reminder of due dates 02/09/2016
- ----gave file to FEMC Investigator due to non-compliance
- ---Hard copy of study guide mailed to attorney for subject on 03/29/2016
- Project Reviews:
  - 6 months: DUE: 04/14/2016.
  - Requested a 6 month extension- Due date now 10/14/2016
    - Received list 03/22/2017
    - 03/24/2017-sent request for documents for project review
    - 03/29/2017-received email that Subject was out of the country.
  - 18 months: DUE: 04/14/2017
- Probation ends: 10/14/2017
- Has been set to Inactive status per Final Order. Must request in writing change of status. 01/2017
- Received written request to be brought out of Inactive status
- Gave file to FEMC Investigator due to non-compliance. Subject is out of the country and has not completed the P&E course.-04/04/2017

### **SUBJECT IS NOT IN COMPLIANCE**

Mitchell, Charles – Case No: 2014031694 – Final Order Date to reinstate licensure 08/24/2016

- Fine of \$1,000.00 – Due:08/24/2017
- Cost of \$ 5,957.95- Due 08/24/2017
- STUDY GUIDE COMPLETED: 09/12/2016
- Project Reviews – two required –
- (structural) 6 month- 02/24/2017
  - Received list 02/28/17
- (structural) 18 month:02/24/2018
- Subject will have Project 6 & 18 month reviews for Electrical and Mechanical once he passes the NCEES Electrical and Mechanical exams.
- Engineering Professionalism & Ethics Course – DUE: 08/24/2017

### **SUBJECT IS IN COMPLIANCE**

Mitchell, Stephen – Case No: 2013026181 – Final Order Date to reinstate licensure 3/7/2014

- Fine of \$2,000.00 – PAID IN FULL
- STUDY GUIDE COMPLETED: 09/24/2013
- Project Reviews – two required – Subject has not completed any Florida Projects so Subject's PE License will remain on probation until such time as Subject completes Florida Projects and submits them for review by the Consultant. This is a very old Final Order (1995)
- Engineering Professionalism & Ethics Course – COMPLETED: 01/20/2015
- Probation Ends (if discipline is adhered to and successfully completed): 03/07/2016
  - Out of Compliance due to the project reviews. Subjects file has been turned over to FEMC Investigator due to not being in compliance.
  - Sent for 1<sup>st</sup> project review 06/02/2016

### **SUBJECT IS IN COMPLIANCE**

Pino, William – Case No: 2015040623 – Final Order Date to reinstate licensure 04/26/2016

- Fine of \$5,000.00 – PAID IN FULL

## **B3c – Final Order Compliance Report Summary For the Third Quarter of Fiscal Year 2016/2017 FEMC**

---

- Costs: \$226.20 – PAID IN FULL
- STUDY GUIDE COMPLETED: 05/26/2016
- Engineering Professionalism & Ethics Course – DUE: - 04/26/2017-Completed 03/01/17
- **All obligations have been met and the case has been closed.**

**SUBJECT IS IN COMPLIANCE**

Salsbury, Robert – Case No: 2014009216 (Final Order Filed with DBPR on 08/24/2016)

- Basic Engineering Professionalism & Ethics Course. DUE: 08/24/2017.
- Costs \$9,247.70 –Paid 09/30/2016
- Study Guide. Completed: 09/26/2016

**SUBJECT IS IN COMPLIANCE**

Sinkholes, LLC.– Case No: 2015047628 (Final Order Filed with DBPR on 02/21/2017)

- Fines: \$5,000.00 – Due 02/21/2018
- Costs: \$107.25 – DUE: 02/21/2018
- 

**SUBJECT IS IN COMPLIANCE**

Standrod, Marcus – Case No: 2015030471(Final Order Filed with DBPR on 07/05/2016)

- Costs: \$210.60 – DUE: 08/04/2016
- FINE: \$5,000.00 – DUE: 08/04/2016
  - Subject has been turned over to Collections for non-payment on 09/27//2016

**SUBJECT IS NOT IN COMPLIANCE**

Williams, Allan, P.E. – Case No: 2013019830 (Final Order Filed with DBPR on 11/04/2014)  
and

ABW Engineering – Case No: 2013019832 (Final Order Filed with DBPR on 11/04/2014)

These two cases were combined and treated as one.

- Cost: \$1,292.15 – DUE: 11/04/2016
- Fine: \$5,000.00 – DUE: 11/04/2016
  - Gave over to FEMC Investigator 02/10/17 for Non-Compliance of Final Order

**SUBJECT IS NOT IN COMPLIANCE**

Wilson, Lawrence – Case No.: 2013011573 (Final Order Filed with DBPR on 02/18/2014)

- Basic Engineering Professionalism and Ethics Course – DUE: 02/18/2015.
- Costs \$1,387.00. PAID: 02/13/2014.
- Fine \$1,000.00. PAID: 02/13/2014.
- Study Guide COMPLETED 03/12/2014.
- 6 Month and 18 Month Project Review
  - 07/05/2014 – extension of time granted for 6 month project review
  - No projects have been completed by Subject, therefore, subject's license is inactive.
- Subject did not renew his PE license in February 2015 – it appears that Subject may allow his PE to go null & void – monitoring until February 2017 in this regard
- Subject is currently on Inactive Status.
- Turned over to FEMC Investigator for Non-Compliance- NO P&E course.
- He is no longer active P.E. Went Null/Void on 03/14/2017

## **B3c – Final Order Compliance Report Summary For the Third Quarter of Fiscal Year 2016/2017 FEMC**

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### **THIS SUBJECT IS NOT IN COMPLIANCE**

Zaleski, James – Case No.: 2013000391 (Final Order Filed with DBPR on 04/15/2014)

- Advanced Engineering Professionalism and Engineering Course – COMPLETED: 04/15/2015.
- Costs \$159.00. PAID: 05/05/2014.
- Fine \$1,000.00. PAID: 05/05/2014.
- Study Guide. COMPLETED 04/17/2014.
- Probation to extend to 04/15/2016
- If Subject commits a crime prior to 04/15/2019, his PE License will be suspended.

**THIS SUBJECT IS IN COMPLIANCE**



## **Section VII. Deliverables**

*B3d. Unlicensed Activity Case List for  
Multiple DBPR License Owners*

**B3d. Unlicensed Cases from January 1, 2017 through  
March 31, 2017 in which subjects hold other DBPR licenses**

---

During the quarter from 1/1/17 – 3/31/17, FEMC received 6 legally sufficient cases alleging unlicensed activity. Of those 6 cases, 1 held another DBPR license.

Complaint #2017010149 – Subject holds CGC1520487.

## **Section VII. Deliverables**

### *B4. Information Regarding the Failure of FEMC to Comply with Provisions of the Contract*

**B4. Information regarding the failure of FEMC to comply with any provision of the Contract.**

---

As far as FEMC is able to reasonably able to ascertain, it is in compliance with the current contract.

## **Section VII. Deliverables**

### *B5. Non-Prevailing Party Case List*

**B5. A list of all legal cases where FEMC has not been the prevailing party. The list must include copies of final orders and specific cases where attorney fees have been awarded.**

---

There have been no cases in the Third Quarter of fiscal year 2016 / 2017 in which FEMC has not been the prevailing party; as such, no attorney fees have been awarded.

## **Section VII. Deliverables**

### *B6. Corrective Action Plan*

**B6. Corrective plans for any deficiencies noted in the Quarterly Report.**

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There were no deficiencies in the third quarter.



## **Section VII. Deliverables**

### *B7. Expenditures and Cash Balance Report*

# FLORIDA ENGINEERS MANAGEMENT CORP

March 31, 2017

The carrying amount of FEMC's cash deposits were \$304,436.78 and the bank balance was \$332,627.02.

FLORIDA ENGINEERS MANAGEMENT CORP  
CUMULATIVE DISBURSEMENTS  
As of March 31, 2017

Accrual Basis		Preliminary	Preliminary	Preliminary	Preliminary	Preliminary	Preliminary	Preliminary	Preliminary	Preliminary	Preliminary	Preliminary
DISBURSEMENTS	Expense Budget 2016-2017	Report of Expenses July-16	Report of Expenses August-16	Report of Expenses September-16	Report of Expenses October-16	Report of Expenses November-16	Report of Expenses December-16	Report of Expenses January-17	Report of Expenses February-17	Report of Expenses March-17	Report of Expenses YTD Total	
Salary & Expenses	\$ 1,247,368	\$ 54,103.15	\$ 77,125.99	\$ 113,585.84	\$ 80,132.45	\$ 80,494.36	\$ 81,517.69	\$ 82,078.98	\$ 82,144.46	\$ 151,396.96	\$ 802,579.88	
Other Personnel Services(OPS)	\$ 5,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
Consultants												
Prosecution/Enforcement	\$ 167,500	\$ 18,540.00	\$ 7,375.00	\$ 16,429.51	\$ 9,812.50	\$ 10,893.55	\$ 12,351.45	\$ 15,854.25	\$ 8,025.00	\$ 19,939.75	\$ 119,221.01	
Court Reporters	\$ 6,000	\$ -	\$ 415.00	\$ 200.00	\$ -	\$ 200.00	\$ -	\$ 225.00	\$ 361.25	\$ 910.45	\$ 2,311.70	
Computer Consultants	\$ 88,208	\$ 6,934.41	\$ 9,278.91	\$ 7,320.16	\$ 6,795.16	\$ 17,424.66	\$ 6,377.16	\$ 10,508.41	\$ 7,292.16	\$ 6,875.16	\$ 78,806.19	
Human Resource Consultants	\$ 2,400	\$ 200.00	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 200.00	
Accounting Services	\$ 34,000	\$ 17,865.00	\$ 8,315.00	\$ -	\$ -	\$ -	\$ -	\$ 328.00	\$ 184.00	\$ -	\$ 26,692.00	
FEMC General Counsel	\$ 10,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
Contract Monitor	\$ 19,200	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
Employment/Training	\$ 12,000	\$ 750.00	\$ 4,168.25	\$ 2,660.15	\$ 1,425.00	\$ -	\$ (741.20)	\$ 1,395.00	\$ 680.20	\$ 10.00	\$ 10,347.40	
Publications	\$ 10,607	\$ 512.52	\$ 767.17	\$ 637.22	\$ 1,118.83	\$ 1,647.73	\$ 747.83	\$ 1,120.78	\$ 770.33	\$ 760.36	\$ 8,082.77	
Insurance												
Directors & Officers	\$ 6,000	\$ 496.50	\$ 496.50	\$ 496.50	\$ 496.50	\$ 496.50	\$ 496.50	\$ 496.50	\$ 496.50	\$ 496.50	\$ 4,468.50	
Commercial Liability	\$ 6,577	\$ 1,576.23	\$ 458.61	\$ 458.61	\$ 458.61	\$ 458.61	\$ 458.61	\$ 458.61	\$ 458.61	\$ 458.61	\$ 5,245.11	
Professional Liability	\$ 8,995	\$ 660.25	\$ 660.25	\$ 660.25	\$ 660.25	\$ 660.25	\$ 660.25	\$ 660.25	\$ 660.25	\$ 660.25	\$ 5,942.25	
Cyber Liability	\$ -	\$ 192.68	\$ 192.68	\$ 192.68	\$ 192.68	\$ 192.68	\$ 192.68	\$ 192.68	\$ 192.68	\$ 192.68	\$ 1,734.12	
Fidelity	\$ 219	\$ 109.50	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 109.50	
Workers Compensation	\$ 2,032	\$ 211.67	\$ 211.67	\$ 211.67	\$ 211.67	\$ 211.67	\$ 211.67	\$ 490.00	\$ 168.00	\$ 374.00	\$ 2,302.02	
Public Information	\$ 15,000	\$ 338.00	\$ 338.00	\$ 667.00	\$ 807.00	\$ 2,841.44	\$ 327.45	\$ 498.00	\$ 1,130.74	\$ 375.38	\$ 7,323.01	
Board Member Honorarium	\$ 11,800	\$ 100.00	\$ 1,300.00	\$ 150.00	\$ 50.00	\$ 450.00	\$ 850.00	\$ 200.00	\$ 1,000.00	\$ 250.00	\$ 4,350.00	
Furniture and Equipment *	\$ 7,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 5,653.93	\$ -	\$ 5,653.93	
Computers and Software *	\$ 40,000	\$ -	\$ 7,550.54	\$ -	\$ -	\$ -	\$ 4,201.60	\$ 826.66	\$ -	\$ -	\$ 12,578.80	
Study Guide	\$ 600	\$ -	\$ -	\$ 600.00	\$ 128.25	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 728.25	
Copying & Printing	\$ 22,215	\$ 817.45	\$ 810.75	\$ 278.22	\$ 1,177.27	\$ 637.38	\$ 1,331.94	\$ 1,397.26	\$ 2,123.83	\$ 3,994.76	\$ 12,568.86	
Office Supplies	\$ 28,828	\$ 1,696.76	\$ 3,263.62	\$ 2,361.93	\$ 2,377.32	\$ 2,031.18	\$ 1,628.16	\$ 2,157.76	\$ 2,098.47	\$ 2,159.75	\$ 19,774.95	
Leased Equipment & Repair	\$ 21,314	\$ 2,395.00	\$ 1,324.00	\$ 2,395.00	\$ 1,324.00	\$ 1,324.00	\$ 3,040.85	\$ 1,373.90	\$ 1,489.06	\$ 2,395.00	\$ 17,060.81	
Postage	\$ 10,488	\$ 61.41	\$ 1,000.00	\$ 31.70	\$ 1,023.19	\$ 720.00	\$ 1,000.00	\$ 2,000.00	\$ 1,000.00	\$ -	\$ 6,836.30	
Office Space												
Lease Payment	\$ 127,290	\$ 10,379.59	\$ 10,379.59	\$ 10,379.59	\$ 10,433.47	\$ 10,433.47	\$ 10,433.47	\$ 10,433.47	\$ 10,433.47	\$ 10,433.47	\$ 93,739.59	
Utilities	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
Janitorial	\$ 1,360	\$ 59.13	\$ 73.32	\$ -	\$ 58.85	\$ 59.13	\$ 162.42	\$ 71.28	\$ 146.70	\$ 59.68	\$ 690.51	
Pest Control	\$ 300	\$ -	\$ 75.00	\$ -	\$ -	\$ 75.00	\$ -	\$ -	\$ 75.00	\$ -	\$ 225.00	
Security Monitoring	\$ 5,371	\$ 413.54	\$ 413.54	\$ 436.28	\$ 436.28	\$ 436.28	\$ 436.28	\$ 436.28	\$ 436.28	\$ 436.28	\$ 3,881.04	
Moving Expenses	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
Leasehold Improvements *	\$ 3,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
Telephone												
Local Line Charge	\$ 8,580	\$ 1,603.66	\$ 1126.55	\$ 1,256.00	\$ 79.89	\$ 801.23	\$ 795.40	\$ 791.65	\$ 877.91	\$ 876.29	\$ 8,208.58	
Long Distance	\$ 2,340	\$ 79.04	\$ 332.22	\$ 213.09	\$ (20.47)	\$ 194.14	\$ 194.14	\$ 193.35	\$ 105.46	\$ 105.46	\$ 1,396.43	
Internet Access Charges	\$ 3,762	\$ 299.70	\$ 299.48	\$ 299.70	\$ 299.48	\$ 410.77	\$ 469.48	\$ 399.48	\$ 399.48	\$ 399.48	\$ 3,277.05	
Conference Calls	\$ 1,200	\$ 10.52	\$ 77.13	\$ 57.68	\$ 66.40	\$ 13.22	\$ 169.34	\$ 21.00	\$ 138.81	\$ 55.65	\$ 609.75	
Training - Board Members	\$ 750	\$ -	\$ -	\$ -	\$ 800.00	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 800.00	
Travel												
Board/Committee Meetings	\$ 102,090	\$ 2,136.36	\$ 10,978.34	\$ 1,234.96	\$ 1,792.53	\$ 2,761.64	\$ 10,124.77	\$ 1,781.80	\$ 12,911.76	\$ 3,110.91	\$ 46,833.07	
Investigation/Prosecution	\$ 2,400	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
NCEES Travel	\$ 15,870	\$ 931.20	\$ 6,831.09	\$ -	\$ 374.69	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 8,136.98	
General Travel	\$ 512	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 26.70	\$ -	\$ -	\$ -	\$ 26.70	
Employee Training Travel	\$ 12,000	\$ 1,582.38	\$ 815.12	\$ 1,621.20	\$ 1,537.41	\$ -	\$ 741.20	\$ -	\$ 735.85	\$ -	\$ 7,033.16	
Public Information Travel	\$ 5,000	\$ -	\$ 277.01	\$ -	\$ 238.52	\$ -	\$ -	\$ -	\$ 800.37	\$ 2,817.63	\$ 4,133.53	
NCEES Dues	\$ 6,500	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 6,500.00	\$ -	\$ -	\$ 6,500.00	
Memberships/Registrations	\$ 5,000	\$ 350.00	\$ -	\$ -	\$ -	\$ 335.43	\$ 110.00	\$ 1,100.00	\$ 1,019.00	\$ 300.00	\$ 3,214.43	
Renewal Activities												
Printing Renewal Notices	\$ 9,885	\$ -	\$ -	\$ -	\$ 1,663.00	\$ -	\$ -	\$ 3,062.00	\$ -	\$ -	\$ 4,725.00	
Mailing Renewal Notices	\$ 21,320	\$ -	\$ -	\$ -	\$ 14,629.31	\$ -	\$ -	\$ 10,143.99	\$ -	\$ -	\$ 24,773.30	
Printing Licenses/ID Cards	\$ 8,665	\$ -	\$ -	\$ -	\$ -	\$ 5,914.00	\$ -	\$ -	\$ -	\$ -	\$ 5,914.00	
Mailing Licenses/ID Cards	\$ 19,200	\$ -	\$ -	\$ -	\$ 1,388.00	\$ -	\$ 977.62	\$ 1,845.21	\$ 3,344.86	\$ 11,286.26	\$ 18,841.95	
Renewal OPS	\$ 25,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
Renewal Supplies	\$ 129	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
Legal Settlements	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
FEMC Budget Total	\$ 2,170,875	\$ 125,405.65	\$ 156,730.33	\$ 164,834.94	\$ 141,968.04	\$ 142,118.32	\$ 139,293.46	\$ 159,041.55	\$ 147,354.42	\$ 221,130.72	\$ 1,397,877.43	

## **Section VII. Deliverables**

### *B8. Long Range Estimates of Revenue*

## **B8. Long Range Estimates of Revenue**

---

This was provided in the 2016-2017 1<sup>st</sup> Quarter Report as required by the contract.

## **Section VII. Deliverables**

*B9. Quarterly Licensure, Examination,  
Complaint & Disciplinary Activity Report*

## **B9. Quarterly Licensure, Examination, Complaint & Disciplinary Activity Report:**

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Total Renewals to date: (from 7/1/16)	33,154 Professional Engineers 5,006 Certificate of Authorizations
---------------------------------------	--

### **Applications:**

Received:	1,508
Approved:	898
Denied:	35
Licenses Issued:	28,309 PE 4,509 CA
Average time (days) to Process Applications:	65.02

### Scheduled Candidates for October Exam

Principles and Practice:	
Scheduled:	522
Passed:	
Failed:	
No Show:	

### Fundamentals of Engineering\*\*:

Passed:	273
Failed:	168

\*\* In January 2014, NCEES moved to Computer Based Testing for the Fundamentals Examination. NCEES has contracted with a third party vendor to administer the exam. FEMC now approves the applicant but FEMC has no way of knowing when the applicant schedules to take the exam. The applicant has 12 months to take the exam anywhere in the US. All FEMC is made aware of is who passes and who fails the examination. These results may contain candidates who have not applied with FEMC and who have been approved for licensure. The exam windows are for only two months at a time with one month off (January and February were exam windows and March they were closed).

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<sup>1</sup> For an explanation regarding legal sufficiency and legal insufficiency, please see (1) of the document entitled Quarterly Report in Compliance with Rule 61G15-37.001, F.A.C.

## B9. Quarterly Licensure, Examination, Complaint & Disciplinary Activity Report:

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<b>Number of Complaints Received in 3<sup>rd</sup> Quarter of 2016 / 2017:</b> .....	<u>41</u>
<b>Activity on Licensed Complaints received in 3<sup>rd</sup> Quarter of 2016 / 2017:</b>	
Number of Licensed Complaints .....	<u>32</u>
Number of Complaints Deemed Legally Sufficient:.....	<u>30</u>
Number of Complaints Deemed Legally Insufficient:.....	<u>2</u>
Number of Complaints Still within 30 days of determination .....	<u>0</u>
<b>Activity on Unlicensed Complaints received in 3<sup>rd</sup> Quarter of 2016 / 2017:</b>	
Number of Unlicensed Complaints: .....	<u>9</u>
Number of Legally Sufficient Activity Cases: .....	<u>6</u>
Number of Legally Insufficient Activity Cases:.....	<u>3</u>
Number of Complaints Still within 30 days of determination .....	<u>0</u>
<b>Activity on all Licensed Complaints worked on in the 3<sup>rd</sup> Quarter of 2016 / 2017:</b>	
Number of all Licensed Complaints.....	<u>102</u>
Number Dismissed by Probable Cause:.....	<u>14</u>
Number Determined to Have Probable Cause and Administrative Complaint filed:.....	<u>11</u>
<b>Disciplinary Actions Taken on all pending Licensed cases by FBPE during 3<sup>rd</sup> Quarter of 2016 / 2017:</b>	
Number of Final Orders Filed per Settlement Stipulation:.....	<u>5</u>
Number of Early Termination Orders due to completion of compliance obligations.....	<u>1</u>
Number of Reinstatement of PE License due to completion of compliance obligations.....	<u>0</u>
Certificates of Authorization Citations Issued: .....	<u>1</u>
Number of Cases taken to DOAH .....	<u>1</u>
Number of Recommended Orders Entered: .....	<u>0</u>
Number of Final Orders adopting Recommended Order.....	<u>0</u>
Informal Hearings: .....	<u>2</u>
Defaults: .....	<u>3</u>
Attorney Fees: .....	<u>0</u>
<b>Disciplinary Actions Taken on all pending Unlicensed cases by FEMC during 3<sup>rd</sup> Quarter of 2016 / 2017:</b>	
Number of all Unlicensed Cases worked on.....	<u>32</u>
Notice to Cease and Desist Issued.....	<u>8</u>
Cease & Desist Agreements reached and resolved:.....	<u>6</u>
Number referred to another agency .....	<u>0</u>
Number still under investigation .....	<u>7</u>
Number within thirty day of determining legal sufficiency.....	<u>0</u>
Certificates of Authorization Citations Issued: .....	<u>0</u>
Certificates of Authorization Citations Paid: .....	<u>0</u>
Administrative Complaints after Cease & Desist:.....	<u>2</u>
Final Orders Issued: .....	<u>2</u>

Section VII, Deliverables, Section B:

FEMC shall provide a quarterly report to the Department along with the report required in Section X, Funding, of this Agreement, which shall include the following:

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<sup>1</sup> For an explanation regarding legal sufficiency and legal insufficiency, please see (1) of the document entitled Quarterly Report in Compliance with Rule 61G15-37.001, F.A.C.



## **B9. Quarterly Licensure, Examination, Complaint & Disciplinary Activity Report:**

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A list and status of all complaints made during this agreement (open and closed, licensed and unlicensed). Please See Tab "B3."

A list and status of all complaints made during this agreement, a/k/a this Third Quarter of 2016-2017 (open and closed, licensed and unlicensed). Please See Tab "B3."

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<sup>1</sup> For an explanation regarding legal sufficiency and legal insufficiency, please see (1) of the document entitled Quarterly Report in Compliance with Rule 61G15-37.001, F.A.C.

## Disciplinary Process

### Licensed & Unlicensed Activity:

<b><u>Number of Complaints Received: 41</u></b>						
2017002512	2017005754	2017007963	2017009313	2017011835	2017002576	2017002508
2017002872	2017005756	2017008786	2017009322	2017013575	2017002868	2017006473
2017003147	2017006001	2017008790	2017010023	2017014123	2017004672	2017002557
2017003149	2017006351	2017008793	2017010149	2017014337	2017007080	2017008795
2017003607	2017006475	2017009065	2017010771	2017014339	2017010673	2017004862
2017005262	2017006837	2017009244	2017011069	2017002568	2017001049	

### Licensed Activity

Number of Licensed Complaints Received: <u>32</u>	Number of Licensed Complaints Deemed Legally Sufficient: <u>30</u>	Number of Licensed Complaints Deemed Legally Insufficient: <u>2</u>	Number of Licensed Complaints still within 30 day determination time frame: <u>0</u>
2017011069	2017011069	2017002508	
2017008786	2017008786	2017004862	
2017008790	2017008790		
2017002568	2017002568		
2017002576	2017002576		
2017008793	2017008793		
2017009065	2017009065		
2017009313	2017009313		
2017003149	2017003149		
2017009322	2017009322		
2017010023	2017010023		
2017002868	2017002868		
2017011835	2017011835		
2017013575	2017013575		
2017014123	2017014123		
2017014339	2017014339		
2017007963	2017007963		
2017006475	2017006475		
2017002872	2017002872		
2017006837	2017006837		
2017005754	2017005754		
2017003607	2017003607		
2017005756	2017005756		
2017007080	2017007080		
2017006351	2017006351		
2017005262	2017005262		
2017010771	2017010771		

## Disciplinary Process

2017002508	2017006473		
2017006473	2017002557		
2017002557	2017004672		
2017004862			
2017004672			

**Unlicensed Activity:**

Number of Unlicensed Complaints Received: <u>9</u>	Number of Unlicensed Complaints Deemed Legally Sufficient: <u>6</u>	Number of Unlicensed Complaints Deemed Legally Insufficient: <u>3</u>	Number of Unlicensed Complaints still within 30 day determination time frame: <u>0</u>
2017010673	2017009244	2017010673	
2017001049	2017010149	2017001049	
2017009244	2017014337	2017008795	
2017010149	2017006001		
2017014337	2017003147		
2017006001	2017002512		
2017003147			
2017002512			
2017008795			

**For an explanation regarding legal sufficiency and legal insufficiency, please see (1) of the document entitled Quarterly Report in Compliance with Rule 61G15-37.001, Florida Administrative Code.**