

Florida Engineers Management Corporation

3rd Quarter Report

2014 - 2015



2639 North Monroe Street, Suite B-112
Tallahassee, Florida 32303
Telephone: (850) 521-0500; Fax: (850) 521-0521

www.fbpe.org



TABLE OF CONTENTS

FLORIDA ENGINEERS MANAGEMENT CORPORATION Quarterly Report in Compliance with Sections IV Deliverables

Third Quarter 2014-2015

Section VIII Deliverables, B. Quarterly Reports – Requirements of Contract for Quarterly Report

- B1. The status of the performance standards adopted by Rule 61G15-37.001, Florida Administrative Code.
- B2. Compliance with priority referrals from the Contract Monitor, per Section IV, Contract Monitor, of the Agreement.
- B3. Information related to disciplinary actions.
 - a. A list and status of all complaints made during this agreement (open and closed, licensed and unlicensed). Status information should include:
 - The name of the complainant;
 - The name and license number of subject;
 - The date of the complaint;
 - The alleged violation;
 - The last action taken; and
 - The next appropriate action recommended.
 - b. A list of all cases FEMC closed as legally insufficient since the last report;
 - c. A list showing the status of compliance with all final orders with pending provisions;
 - d. A list of cases where the alleged violation is for unlicensed activity and the subject also holds a license with another profession within the department.
- B4. Information regarding the failure of FEMC to comply with any provisions of the contract.
- B5. A list of all legal cases where FEMC has not been the prevailing party. The list must include copies of final orders and specify cases where attorney fees have been awarded.
- B6. Corrective Plan as required by Section IV of the contract.
- B7. A detailed report of expenditures and cash balance.

B8. Long Range Estimates of Revenue.

B9. Detailed information for the last quarter as required by contract:

- The number of license renewals;
- The number of license applications received;
- The number of licenses approved;
- The number of licenses denied;
- The number of licenses issued;
- The average time required to issue a license;
- The number of examinations administered;
- The number of applicants who passed the examination;
- The number of applicants who failed the examination;
- The number of complaints received;
- The number of complaints determined to be legally sufficient;
- The number of complaints dismissed;
- The number of complaints determined to have probable cause;
- The number of administrative complaints issued along with the status of the complaint; and
- The number and nature of disciplinary actions taken by the FBPE.

Section VIII. Deliverables

B1. Performance Standards

B1. Performance Standards - Quarterly Report in Compliance with Rule 61G15-37.001, F.A.C.

In order to facilitate efficient and cost effective regulation by the Florida Engineers Management Corporation ("FEMC"), the following performance standards and measurable outcomes are adopted:

(1) FEMC shall make a determination of legal sufficiency within 30 days of receipt of a complaint. FEMC is authorized to seek an extension of an additional 30 days from the Chair of the Probable Cause Committee if the circumstances of a specific complaint justify such an extension.

In the Third Quarter of Fiscal Year 2014/2015, 35 total new complaints were received of which 29 were complaints against licensees and 6 were complaints against unlicensed individuals or entities. Of the new complaints, 21 licensed complaints were deemed legally sufficient, 6 licensed complaints were deemed legally insufficient, and 2 licensed complaints were undetermined at the end of the Third Quarter, as it is still within the 30 days of determination and is still in the initial review process. Of the new complaints, 5 unlicensed complaints were deemed legally sufficient, 1 unlicensed complaint was deemed legally insufficient, and 0 unlicensed complaints are undetermined at the end of the Third Quarter, due to being within the 30 days of determination and still in the initial review process.

(2) Within fifteen days of receiving a complaint that is determined to be legally sufficient, FEMC shall furnish to the subject or the subject's attorney a copy of the complaint or document that resulted in the initiation of the investigation.

Of the 26 cases deemed legally sufficient, the subject (or subject's attorney) was notified and furnished a copy of the complaint, or, in the case of some unlicensed cases, furnished with a Cease and Desist Order resulting in 100% compliance with the Rule.

(3) FEMC shall provide status reports to the Board regarding all outstanding disciplinary cases at every other regularly scheduled meeting of the Board. The status report shall include all legally sufficient disciplinary cases until entry of a final order by the Board. Upon entry of a final order, FEMC shall notify the licensee's employer of the action taken by the Board.

Status reports were submitted to the Board at the February 2015 FBPE meeting. As far as FEMC can reasonably ascertain the existence of an employer for Respondent, FEMC now has 100% compliance with that requirement.

B1. Performance Standards - Quarterly Report in Compliance with Rule 61G15-37.001, F.A.C.

(4) FEMC shall refer to the Board any investigation or disciplinary proceeding not before the Division of Administrative Hearings pursuant to Chapter 120, Florida Statutes, or otherwise completed by FEMC within one year after the filing of a complaint.

*Referenced in the previous quarterly report, at the end of the Second Quarter of the fiscal year 2014 –2015, **22** cases were identified as being more than one year old; and, of those **22** cases, **8** have been resolved. As a result of aging, there are **5** additional cases that reached year old status during the Third Quarter of Fiscal Year 2014/2015 thus making a total of **19** cases which are more than one year old at the end of the Third Quarter for the 2014/2015 Fiscal Year.*

(5) FEMC shall notify the person who filed the complaint of the status of the investigation every six months, including whether probable cause has been found, when the case is on the agenda for consideration by the Board, and the status of any administrative proceeding or appeal.

FEMC is in 100% compliance with this section.

(6) At least 90 days before the end of a licensure cycle, FEMC shall forward a licensure renewal notification to active or inactive licensees at the licensee's last known address of record with FEMC.

FEMC is in 100% compliance with this section.

(7) At least 90 days before the end of a licensure cycle, FEMC shall forward a notice of pending cancellation of licensure to a delinquent status licensee at the licensee's last known address of record with FEMC.

FEMC is in 100% compliance with this section.

B1. Performance Standards - Quarterly Report in Compliance with Rule 61G15-37.001, F.A.C.

(8) Upon receipt of an application for a license, FEMC shall examine the application and, within 30 days after such receipt, notify the applicant of any apparent errors or omissions and request any additional information FEMC is permitted by law to require.

Type of Application	Applications Received	Applications in compliance	Applications not in compliance with 30 day notice
Engineer Intern	197	197	
Continuing Education Providers	9	9	
Continuing Education Courses	0	0	
Professional Engineer	56	56	
Endorsement	369	369	
Certificate of Authorizations	198	198	

(9) Every application for a license shall be approved or denied within 90 days after receipt of a completed application.

FEMC is in 100% compliance with the rule.

Applications Received and in 90 – Day Compliance	
January 2015	
Principles & Practice	8
Engineer Intern/Fundamentals	24
Continuing Education	1
Endorsements	76
Special Inspectors	1
Total	110
February 2015	
Principles & Practice	19
Engineer Intern/Fundamentals	83
Continuing Education	5
Endorsements	170
Special Inspectors	0
Total	277
March 2015	
Principles & Practice	28
Engineer Intern/Fundamentals	25
Continuing Education	4
Endorsements	138
Special Inspectors	2
Total	197
Grand Total for quarter	584

B1. Performance Standards - Quarterly Report in Compliance with Rule 61G15-37.001, F.A.C.

(10) If an applicant seeks a license for an activity that is exempt from licensure, FEMC shall notify the applicant and return any tendered application fee within 30 days after receipt of the original application.

FEMC is in 100% compliance with this section due to the fact that it has not received any application for an exempt activity.

(11) FEMC shall maintain the Board's web page and update the web page within 14 days of the date the updates go into effect. Administrative complaints shall be posted no later than 30 days after the recommendation by the probable cause panel. All active disciplinary cases shall be posted on the web page, including the final action taken by the Board until the terms of the final order are completed or until the licensee becomes inactive, retires, relinquishes the license or permits the license to become null and void.

FEMC is in 100% compliance with this section.

Section VIII. Deliverables

B2. Priority Referrals Compliance

B2. Priority Referrals

During the third quarter of 2014-2015, there were two priority referrals. The first referral, from the Governor's office, was regarding building code regulations for snow loading on building rooftops in the state of Florida, as it pertains to the 2014 Florida Building Code, Section 1603.1.3. The second referral, from the Office of Inspector General at DBPR, was a complaint alleging that FEMC did not fulfill its contractual requirement for license renewal.

Section VIII. Deliverables

B3a. Complaint Status List

B3. A. – COMPLAINTS RECEIVED IN 3RD QUARTER OF 2014-2015 FISCAL YEAR AND LAST ACTION TAKEN

No.	Case Number	Complainant Name	Name of Subject; License No. of Subject	Received Date	Status Date	Alleged Violation	Last Action Taken	Next Action Recommended
1.	2015000978	REDACTED	REDACTED	01/08/15	01/08/15	Possible violation of FS 471.023 and Rule 61G15-19.001(3), FAC – Firm practicing without certificate of authorization	Under Investigation	Continue Investigation
2.	2015001348	REDACTED	REDACTED	01/09/15	01/12/15	Possible violation of FS 471.033(1)(f) and Rule 61G15-19.001(2), FAC – Fraudulent, false, deceptive or misleading advertising	Under Investigation	Continue Investigation
3.	2015001630	REDACTED	REDACTED	01/12/15	01/13/15 02/03/15	Possible violation of FS 471.033(1)(g) and 455.227(1)(a), (m) and Rule 61G15-19.001(6)(a)(b), FAC – Being untruthful, deceptive or misleading in any professional report, statement or testimony or omitting relevant and pertinent information from such report, statement or testimony when the result or such omission would or reasonably could lead to a fallacious conclusion	Under Investigation	Continue Investigation
4.	2015002324	Keith Thomas Krieger	Kimley-Horn & Associates, Inc. CA – 696	01/12/15	01/16/15 01/21/15	Possible violation of FS 471.033(1)(g) and 455.227(1)(a), (m) and Rule 61G15-19.001(6) – Misconduct, Fraud or Deceit	CLOSED – Legally Insufficient	NOTHING – THIS CASE IS FINISHED
5.	2015002910	REDACTED	REDACTED	01/21/15	01/22/15 03/19/15	Possible violation of FS 471.033(1)(g) and 455.227(1)(a), (m) and Rule 61G15-19.001(6)(a), FAC – Expressing an opinion publicly on an engineering subject without being informed as to the facts and being competent to form a sound opinion	Under Investigation	Continue Investigation
6. UL	2015002977	FBPE	Spencer Consulting Services, Inc. UNLICENSED	01/21/15	01/22/15 02/03/15	Possible violation of FS 471.023 and Rule 61G15-19.001(3), FAC – Firm practicing without certificate of authorization	Under Investigation NOTICE TO CEASE & DESIST ISSUED	Continue Investigation
7.	2015003144	FBPE	Byer, Jeffrey A. P.E. – 42826	01/22/15	01/27/15 02/09/15	Possible violation of FS 455.227(1)(t) – Failing to report in writing a conviction or plea of nolo contendere, a crime in any jurisdiction	CLOSED – NOTICE OF NON-COMPLIANCE OR AFFIDAVIT OF COMPLIANCE	NOTHING – THIS CASE IS FINISHED

B3. A. – COMPLAINTS RECEIVED IN 3RD QUARTER OF 2014-2015 FISCAL YEAR AND LAST ACTION TAKEN

No.	Case Number	Complainant Name	Name of Subject; License No. of Subject	Received Date	Status Date	Alleged Violation	Last Action Taken	Next Action Recommended
8.	2015003151	Darrel J. Fasano	Kennedy, Karl M. P.E. – 48838	01/13/15	01/23/15 01/30/15	Possible violation of FS 471.033(1)(g) and 455.227(1)(a), (m) and Rule 61G15-19.001(6)(a)(b), FAC – Being untruthful, deceptive or misleading in any professional report, statement or testimony or omitting relevant and pertinent information from such report, statement or testimony when the result or such omission would or reasonably could lead to a fallacious conclusion	CLOSED – LEGALLY INSUFFICIENT	NOTHING – THIS CASE IS FINISHED
9.	2015004163	Keith Thomas Krieger, P.E.	Kimley-Horn & Associates, Inc. C.A. – 696	01/16/15	02/05/15	Possible violation of FS 471.033(1)(f) and Rule 61G15-19.001(2), FAC – Fraudulent, false, deceptive or misleading advertising	CLOSED – LEGALLY INSUFFICIENT	NOTHING – THIS CASE IS FINISHED
10. UL	2015003812	Anonymous	Tucci, James Unlicensed	01/27/15	02/05/15	Possible violation of FS 471.031(1)(a), (b) – Practicing engineering without a license or using a name or title tending to indicate that such person holds an active license as an engineer	Under Investigation NOTICE TO CEASE & DESIST ISSUED	Continue Investigation
11. UL	2015005249	REDACTED	REDACTED	01/29/15	02/05/15 03/03/15	Possible violation of FS 471.031(1)(a), (b) – Practicing engineering without a license or using a name or title tending to indicate that such person holds an active license as an engineer	Under Investigation	Continue Investigation
12.	2015004852	Jeffrey Bergmann	Fagan, Gregory P.E. – 37262	02/02/15	02/03/15	Complainant was complaining about not receiving payment for services rendered to Fagan & Associates, the company for which Subject is the qualifying engineer.	CLOSED – LEGALLY INSUFFICIENT	NOTHING – THIS CASE IS FINISHED
13. UL	2015003814	FBPE	K&J Safety and Security Consulting Services, Inc. Unlicensed	01/27/15	02/05/15	Possible violation of FS 471.031(1)(a), (b) – Practicing engineering without a license or using a name or title tending to indicate that such person holds an active license as an engineer AND Possible violation of FS 471.023 and Rule 61G15-19.001(3), FAC – Firm practicing without certificate of authorization	Under Investigation NOTICE TO CEASE & DESIST ISSUED	Continue Investigation
14.	2015003839	REDACTED	REDACTED	01/27/15	01/28/15	Possible violation of FS 471.025(2) – Sealing any document after license has expired or been revoked or suspended, or failure to surrender seal if the license has been revoked or suspended	Under Investigation	Continue Investigation

B3. A. – COMPLAINTS RECEIVED IN 3RD QUARTER OF 2014-2015 FISCAL YEAR AND LAST ACTION TAKEN

No.	Case Number	Complainant Name	Name of Subject; License No. of Subject	Received Date	Status Date	Alleged Violation	Last Action Taken	Next Action Recommended
15.	2015004777	REDACTED	REDACTED	02/02/15	02/03/15	Possible violation of FS 471.033(1)(d) and 455.227(1)(c) – Being convicted or found guilty of, or entering a plea of nolo contendere to a crime which relates to the practice or ability to practice	Under Investigation	Continue Investigation
16. UL	2015005004	FBPE	Celestin, Josaphat Joe Unlicensed	02/03/15	02/05/15	Possible violation of FS 471.031(1)(a), (b) – Practicing engineering without a license or using a name or title tending to indicate that such person holds an active license as an engineer	Under Investigation NOTICE TO CEASE & DESIST ISSUED	Continue Investigation
17.	2015007060	Justin Rabolli	Arias, Gregory Jonathan P.E. – 73071	02/06/15	02/17/15 03/07/15	Possible violation of FS 471.033(1)(g) and 455.227(1)(a), (m) – Fraud, deceit, negligence, incompetence or misconduct	CLOSED – LEGALLY INSUFFICIENT	NOTHING – THIS CASE IS FINISHED
18.	2015009363	REDACTED	REDACTED	02/12/15	03/09/15	Possible violation of FS 471.033(1)(g) and 455.227(1)(a), (m) – Fraud, deceit, negligence, incompetence or misconduct	Under Investigation	Continue Investigation
19.	2015006915	REDACTED	REDACTED	02/13/15	02/16/15 03/12/15	Possible violation of FS 471.033(1)(g) and 455.227(1)(a), (m) and Rule 61G15-19.001(4), FAC – Negligence	Received opinion from consultant	Set for May 12, 2015 PCP Meeting
20.	2015008065	William Bahr	Lawless & Mangione Architects & Engineers, LLP C.A. – 29536	02/23/15	02/23/15 03/23/15	Possible violation of FS 471.031(1)(f) and 455.227(1)(j) – Employing unlicensed persons to practice engineering or aiding, assisting, procuring, employing unlicensed practice or practice contrary to Chapter 455 or 471, FS	CLOSED – LEGALLY INSUFFICIENT	NOTHING
21. UL	2015011039	Michael A. Thompson	Wilson, Mario O. Unlicensed	02/24/15	03/12/15 03/17/15	Possible violation of FS 471.031(1)(a), (b) – Practicing engineering without a license or using a name or title tending to indicate that such person holds an active license as an engineer	CLOSED – LEGALLY INSUFFICIENT	NOTHING

B3. A. – COMPLAINTS RECEIVED IN 3RD QUARTER OF 2014-2015 FISCAL YEAR AND LAST ACTION TAKEN

No.	Case Number	Complainant Name	Name of Subject; License No. of Subject	Received Date	Status Date	Alleged Violation	Last Action Taken	Next Action Recommended
22.	2015009395	REDACTED	REDACTED	02/25/15	03/03/15	Possible violation of FS 471.033(1)(g) and 455.227(1)(a), (m) – Fraud, deceit, negligence, incompetence or misconduct	Initial Review	Investigation
23.	2015009328	REDACTED	REDACTED	02/27/15	03/03/15 03/23/15 04/03/15	Possible violation of FS 471.033(1)(c) and 455.227(1)(f) – Having a license to practice engineering acted against or denied by another jurisdiction	Received Opinion from Consultant	Set for May 12, 2015 PCP Meeting
24.	2015009332	REDACTED	REDACTED	02/27/15	03/03/15 04/02/15	Possible violation of FS 471.033(1)(c) and 455.227(1)(f) – Having a license to practice engineering acted against or denied by another jurisdiction	Received Opinion from Consultant	Set for May 12, 2015 PCP Meeting
25.	2015009340	REDACTED	REDACTED	03/02/15	03/03/15 03/20/15	Possible violation of FS 471.033(1)(g) and 455.227(1)(a), (m) – Fraud, deceit, negligence, incompetence or misconduct	Under Investigation	Continue Investigation
26.	2015009581	REDACTED	REDACTED	03/03/15	03/04/15 03/09/15	Possible violation of FS 471.033(1)(g) and 455.227(1)(a), (m) – Fraud, deceit, negligence, incompetence or misconduct	Under Investigation	Continue Investigation
27.	2015009584	REDACTED	REDACTED	03/03/15	03/09/15	Possible violation of FS 471.033(1)(g) and 455.227(1)(a), (m) – Fraud, deceit, negligence, incompetence or misconduct	Under Investigation	Continue Investigation
28.	2015011032	FBPE	Pearson, Royce Edwin P.E. – 48243	03/11/15	03/12/15 03/18/15	Possible violation of FS 471.033(1)(d) and 455.227(1)(c) – Being convicted or found guilty of, or entering a plea of nolo contendere to a crime which relates to the practice or ability to practice	CLOSED VIOLATION FOUND – MINOR	NOTHING
29.	2015011311	REDACTED	REDACTED	03/05/15	03/13/15	Possible violation of FS 471.033(1)(c) and 455.227(1)(f) – Having a license to practice engineering acted against or denied by another jurisdiction	Under Investigation	Continue Investigation
30.	2015011060	REDACTED	REDACTED	03/05/15	03/12/15	Possible violation of FS 471.033(1)(g) and 455.227(1)(a), (m) and Rule 61G15-19.001(6)(a)(b), FAC – Being untruthful, deceptive or misleading in any professional report, statement or testimony or omitting relevant and pertinent information from such report, statement or testimony when the result or such omission would or reasonably could lead to a fallacious conclusion	Initial Review	Investigation

B3. A. – COMPLAINTS RECEIVED IN 3RD QUARTER OF 2014-2015 FISCAL YEAR AND LAST ACTION TAKEN

No.	Case Number	Complainant Name	Name of Subject; License No. of Subject	Received Date	Status Date	Alleged Violation	Last Action Taken	Next Action Recommended
31.	2015011700	REDACTED	REDACTED	03/10/15	03/17/15	Possible violation of FS 471.033(1)(g) and 455.227(1)(a), (m) – Fraud, deceit, negligence, incompetence or misconduct This complaint is brought due to failure to comply with Final Order in case #: 2014046334	Under Investigation	Continue Investigation
32.	2015012158	REDACTED	REDACTED	03/17/15	03/25/15	Possible violation of FS 471.033(1)(j) and Rule 61G15-19.001(6)(j), (q), FAC – Affixing or permitting to be affixed his or her seal, name, or digital signature to any documents that were not prepared by him or her or under his or her responsible supervision, direction or control	Under Investigation	Continue Investigation
33.	2015013655	REDACTED	REDACTED	03/23/15	03/26/15	Possible violation of FS 471.033(1)(g) and 455.227(1)(a), (m) – Fraud, deceit, negligence, incompetence or misconduct	Under Investigation	Continue Investigation
34.	2015013030	REDACTED	REDACTED	03/20/15	03/23/15	Possible violation of FS 471.033(1)(g) and 455.227(1)(a), (m) – Fraud, deceit, negligence, incompetence or misconduct	Initial Review	Investigation
35.	2015013697	REDACTED	REDACTED	03/25/15	04/01/15	Possible violation of FS 471.033(1)(g) and 455.227(1)(a), (m) and Rule 61G15-19.001(6)(a)(b), FAC – Being untruthful, deceptive or misleading in any professional report, statement or testimony or omitting relevant and pertinent information from such report, statement or testimony when the result or such omission would or reasonably could lead to a fallacious conclusion	Under Investigation	Continue Investigation

Section VIII. Deliverables

B3b. Current FEMC Legally Insufficient Case List

B3b. List of all cases FEMC closed as legally insufficient since last report; i.e., since Second Quarterly Report for Fiscal Year 2014/2015:

2014050464

2014051321

2014051938

2014051892

2015002324

2015003151

2015004163

2015004852

2015007060

2015008065

2015011039

Section VIII. Deliverables

B3c. Final Order Compliance Report

B3c – Final Order Compliance Report Summary For the Third Quarter of Fiscal Year 2014/2015 FEMC

This report is a summary of current cases with Final Orders imposing probations, restrictions, and additional penalties along with pending due dates and current statuses and activity.

Anderson, Thomas – Case No. 2009064129 (Final Order Filed with DBPR on 05/13/2011)

- Currently Restricted from Practicing Structural Engineering Until Passing NCCES Civil Engineering Exam with Structural Option.
 - Once he passes and appears before the Board to Lift Restriction, additional terms will be imposed including the following:
 - Spoke with Subject regarding this exam and he said he is registered for the exam at the October 2014 testing.
 - Probation for 2 years – after restriction is lifted
 - Project reviews at 6 and 18 months – after restriction is lifted
- Intermediate Professionalism & Ethics Course due 05/13/2012 – COMPLETED 05/04/2014.
- Costs: \$1,071.25 – PAID: 08/01/2011
- Fine: \$1,000.00 – PAID: 06/20/2011

○ **SUBJECT IS IN COMPLIANCE**

**THIS IS A CASE WHERE SUBJECT HAS COMPLIED WITH THE FINAL ORDER BUT THIS IS HERE TO
KEEP TRACK OF SUBJECT'S RESTRICTION**

Banks, Julio – Case No. 2013042242 (Final Order filed 08/27/2015) enforcing Case No.: 2009015308 (Final Order filed 10/19/2011)

This Subject failed to comply with the Final Order issued 10/19/2011 in Case No. 2009015308; therefore, a new Case No. 2013042242 was opened for failure to comply with Final Order. Thereafter a Final Order in that case was issued and filed with the DBPR on 08/27/2014.

- Due to non-compliance with Final Order in Case No: 2009015308, a new complaint (Case No.: 2013042242) was considered at the 11/12/2013 Probable Cause Panel meeting.
- The new Administrative Complaint was brought before the Board in August 2014, as Subject's counsel requested time before the Board to argue reasons why Subject has failed to comply with the Final Order.
- The Final Order in Case No.: 2013042242 enforcing the previous Final Order requests the following terms:
 - The Subject's PE License will be suspended on 02/27/2015, unless Subject completes all of the remaining terms and conditions in the Final Order filed 2009015308 by this date:
 - Pay all remaining costs (which at the date of the filing of the final order in Case No.: 2013042242 was \$1,654.00) no later than 02/27/2015.
 - ✓ Subject PAID all outstanding costs as of 02/25/2015.
 - Subject must submit his Projects list and the \$1,500.00 to pay the Consultant to review two Projects from that list no later than 02/27/2015.
 - ✓ Subject submitted his projects list with payment for Consultant on 02/27/2015
 - The Final Order in Case No.: 2013042242 enforcing the Final Order in Case No.: 2009015308 is silent on the completion of the Intermediate Professionalism and Ethics Course. It is a term of

B3c – Final Order Compliance Report Summary For the Third Quarter of Fiscal Year 2014/2015 FEMC

This report is a summary of current cases with Final Orders imposing probations, restrictions, and additional penalties along with pending due dates and current statuses and activity.

the Final Order in Case No: 2009015308; therefore, he continues to be obligated to complete that. – COMPLETED: 01/10/2015

- **SUBJECT IS IN COMPLIANCE**

Barnett, Winthrop – Case No: 2011055854 (Final Order Filed with DBPR on 04/23/2013)

- Subject complied with all discipline in the Final Order so that part is finished, BUT ...

**SUBJECT IS RESTRICTED FROM STRUCTURAL UNTIL PASSES 16 HR. NCEES STRUCTURAL EXAM
SUBJECT IS IN COMPLIANCE**

Bergsten, Jr., Harold F. – Case No: 2013021397 (Final Order Filed with DBPR on 02/18/2015)

- Costs: \$1,643 – DUE: 03/20/2015
 - Requested payment plan of: \$643.00 in March; \$500.00 in April; \$500.00 in May
 - ✓ PAID \$643.00 on 03/03/2015
 - ✓ PAID \$500.00 on 03/16/2015
- Professionalism and Ethics Course – DEADLINE: 02/18/2016
- Study Guide – DEADLINE: 02/18/2016
- Project Reviews
 - 6 months – Deadline : 08/18/2015
 - 18 Months – Deadline: 08/18/2016
- Probation – Conclusion: 02/18/2017

SUBJECT IS IN COMPLIANCE

Bruseski, Wendy – Case Nos: 2012052732 and 2013028825 (Final Order Filed with DBPR on 04/15/2014)

- VOLUNTARY RELINQUISHMENT OF PE LICENSE UNTIL FURTHER NOTICE
- Fine: \$5,000.00. DUE: Prior to Reinstatement of PE License
- Costs: \$11,542.25. DUE: Prior to Reinstatement of PE License
- P&E Course. DUE: Prior to Reinstatement of PE License
- Study Guide: DUE: Prior to Reinstatement of PE License
- Screen Enclosure Design – Board Approved. DUE: One year from Board's approval of the course Respondent chooses and submits for Board approval and Prior to Reinstatement of PE License
- Probation. DUE: Two years from Reinstatement of PE License
- Project Review 6/18 months from Reinstatement of PE License

SUBJECT IS IN COMPLIANCE

Chewning, Roger – Case No: 2012003988 (Final Order Filed with DBPR on 11/04/2014)

- Costs: \$3,290.00 – DUE: 02/04/2015 – PAID: 02/02/2015
- Fine: \$2,000.00 – DUE: 02/04/2015 – PAID: 02/02/2015
- Professionalism and Ethics Course – DEADLINE: 11/04/2016
- Study Guide – DEADLINE: 11/04/2016

B3c – Final Order Compliance Report Summary For the Third Quarter of Fiscal Year 2014/2015 FEMC

This report is a summary of current cases with Final Orders imposing probations, restrictions, and additional penalties along with pending due dates and current statuses and activity.

- Project Reviews
 - 6 months – Deadline : 05/04/2015
 - 18 Months – Deadline: 05/04/2016
- Probation – Conclusion: 11/04/2016

SUBJECT IS IN COMPLIANCE

Daugherty, Kristina – Case No. 2010059945 (Final Order Filed with DBPR on 08/17/2011)

- Subject is currently restricted from practicing Structural engineering until completion of Wind Load Design Criteria II, and A General Overview of ASCE-17 Changes to Windload Provisions. An appearance will be required to lift restriction. COMPLETED: 3/20/2013.
- Probation is set to terminate: 08/17/2013.
- Professionalism & Ethics Course. COMPLETED: 08/06/2012
- 6 month project review scheduled for 02/17/2012
 - Received email stating Subject has had no work. Subject has a 6 month extension per the Final Order.
- 18 month project review scheduled for 02/17/2013
 - Received e-mail on 08/09/2012 requesting to voluntarily place her license on inactive status due to lack of work.

SUBJECT'S LICENSE IS ON INACTIVE STATUS DUE TO HER INABILITY TO COMPLETE HER COMPLIANCE OBLIGATION OF PROJECT REVIEWS

Denis, Faustin F. – Case No: 2012003988 (Final Order Filed with DBPR – 11/04/2014)

- Costs: \$380.25 – DUE: 05/04/2015
- Fine: \$1,000.00 – DUE: 05/04/2015
- Professionalism and Ethics Course – DEADLINE: 11/04/2016
- Study Guide – DEADLINE: 11/04/2016
- Probation – Conclusion: 11/04/2016

SUBJECT IS IN COMPLIANCE

Dominguez, Jose – Case No: 2012002508 (Final Order Filed with DBPR on 06/25/2013)

- Costs: \$1,000.00 due 7/25/2013 – PAID: 07/25/2013.
- Fines: \$4,665.00 due 7/25/2013 – PAID: 07/25/2013.
- P&E Course due 6/25/2014. – COMPLETED: 11/17/2013
- Study Guide due 7/25/2013 – COMPLETED: 08/11/2013.
- Probation (2) years – ends 06/25/2015
- Project Review 6/18 months due 12/25/13 and 6/2/2014.
 - 05/10/2014 – Received report on favorable reviews of both projects and a refund of money

SUBJECT IS IN COMPLIANCE

B3c – Final Order Compliance Report Summary For the Third Quarter of Fiscal Year 2014/2015 FEMC

This report is a summary of current cases with Final Orders imposing probations, restrictions, and additional penalties along with pending due dates and current statuses and activity.

Ford, Kim B. – Case No: 2014002866 (Final Order Filed with DBPR on 12/10/2014)

- Costs: \$1,600.00 – PAID: 12/10/2014
- Fine: \$500.00 – PAID: 12/10/2014
- Study Guide – COMPLETED: 01/10/2015
- P&E Course – DUE: 12/10/2015
- Project Review 6/18 months due 10/6/2015 and 06/10/2016

SUBJECT IS IN COMPLIANCE

Gawad, Amr T. – Case No: 2013048128 (Final Order Filed with DBPR on 02/16/2015)

- Costs - \$1,298.50 – DUE: 03/18/2015 – PAID: 03/05/2015
- Fine - \$1,000.00 – DUE; 03/18/2015 – PAID: 03/05/2015
- Engineering Professionalism & Ethics Course – DUE: 02/16/2016
- Study Guide – DUE: 03/18/2015 – COMPLETED: 03/06/2015

SUBJECT IS IN COMPLIANCE

Gaydosh, Stephen – Case No: 2012013872 (Final Order Filed with DBPR on 06/18/2014)

- Costs - \$8,072.97 – DUE: 07/18/2014 – PAID: 07/21/2014
- Fine - \$4,000.00 – DUE; 07/18/2014 – PAID: 07/21/2014
- Engineering Professionalism & Ethics Course – DUE: 06/18/2015
- Study Guide – DUE: 07/18/2014 – COMPLETED: 07/21/2014
- Probation – Two Years, until: 06/18/2016
- Project Reviews: Two
 - 6 months – DEADLINE: 12/18/2014
 - ✓ 10/24/2014 – Final Order Compliance Coordinator sent reminder e-mail to Subject reminding him of the upcoming Project Review Deadline
 - ✓ 01/06/2015 – Consultant requested documents of chosen projects.
 - ✓ 01/29/2015 – Requested Documents from Subject. Subject's father died and he asked for a bit of time in which to tend to his father's passing and then would get the documents to FEMC.
 - 18 months – DEADLINE: 06/18/2015

SUBJECT IS IN COMPLIANCE

Gildart, David – Case No: 2012011280 (Final Order Filed with DBPR on 10/14/2013)

- Costs: \$3,255.75 – PAID 07/02/2014
- Professionalism and Ethics Course – deadline extended until 04/14/2015.

SUBJECT IS IN COMPLIANCE

James, Jacqueline – Case No: 2011048483 (Final Order Filed with DBPR on 06/25/2013)

- Fine: \$1,000.00. PAID: 10/06/2013.
- Costs: \$2,390.00. PAID: 10/06/2013.
- P&E Course – completed: 6/20/2014.

B3c – Final Order Compliance Report Summary For the Third Quarter of Fiscal Year 2014/2015 FEMC

This report is a summary of current cases with Final Orders imposing probations, restrictions, and additional penalties along with pending due dates and current statuses and activity.

- Study Guide. COMPLETED: 12/05/2013
- Project review 6 & 18 Months due 12/25/2013:6/25/2015.
 - Subject was given an unfavorable review on one of her 6 months project reviews.
 - Subject's has a new Complaint open at this time for failure to comply with Final Order.

**SUBJECT IS NOT IN COMPLIANCE
THIS CASE IS BEFORE THE BOARD ON APRIL 10, 2015**

Maslan, Stephen – Case No.: 2013026181 (Final Order Filed with DBPR on 04/29/2014)

- Costs: \$1,126.50. PAID: 12/18/2013 via internet
- Study Guide: COMPLETED 05/01/2014.
- Basic P&E Course: COMPLETED: 06/18/2014.
- Project Reviews:
 - 6 months: DUE: 10/29/2014 – the list was received timely, and sent to the FEMC Consultant for review and choosing of 2 projects on November 6, 2014. The consultant continues to work on this case.
 - 18 months: DUE: 10/29/2014
- Subject's License is restricted from practicing any Fire Protection engineering in the state of Florida unless he completes, passes, and submits proof of passing the NCEES Fire Protection Exam.

SUBJECT IS IN COMPLIANCE

Mitchell, Stephen – Case No: 2013026181 – Final Order Date to reinstate licensure 3/7/2014

- Fine of \$2,000.00 due within 6 months of final order: 09/07/2014 (to be paid in installments)
 - \$500.00 – 4/07/2014 – COMPLETED: 04/07/2014
 - \$300.00 – 5/07/2014 – COMPLETED: 05/12/2014
 - \$300.00 – 6/07/2014 – COMPLETED: 06/07/2014
 - \$300.00 – 7/07/2014 – COMPLETED: 11/28/2014
 - \$300.00 – 8/07/2014 – COMPLETED: 12/29/2014
 - \$300.00 – 9/07/2014 – COMPLETED: 01/29/2015
- STUDY GUIDE COMPLETED: 09/24/2013
- Project Reviews – two required – Subject has not completed any Florida Projects so Subject's PE License will remain on probation until such time as Subject completes Florida Projects and submits them for review by the Consultant. This is a very old Final Order (1995)
 - First one completion in 12 Months: 03/07/2015
 - Second one completion in 24 Months: 03/07/2016
- Engineering Professionalism & Ethics Course – COMPLETED: 01/20/2015
- Probation Ends (if discipline is adhered to and successfully completed): 03/07/2016

SUBJECT IS IN COMPLIANCE

B3c – Final Order Compliance Report Summary For the Third Quarter of Fiscal Year 2014/2015 FEMC

This report is a summary of current cases with Final Orders imposing probations, restrictions, and additional penalties along with pending due dates and current statuses and activity.

Mosby, Randall – Case No. 2011-028047 *Order on Reinstatement (“Order”)* filed on September 13, 2013 (*Amended Order on Reinstatement Filed* – due to scrivener’s error – with DBPR on 05/09/2014).

- License Reinstated as of August 15, 2013.
- License on Probation for two (2) years – 09/13/2015.
- Three (3) Project Reviews: At 3 months, 6 months, and 18 months, with the ability for early termination if both the 3 month and 6 month project reviews are favorable.
 - W. Keith McCully, P.E. to do the first project review at 3 months;
 - Subject received a favorable report on three civil engineering projects that Subject submitted directly to Mr. McCully for review. Board Consultant to do the 6 and 18 months reports.
 - Final Order Compliance Coordinator forwarded the 3rd Projects List received from Subject to the FEMC Board Consultant, R. Jeffery, on June 16, 2014.
 - Board Consultant, R. Jeffery, picked 2 projects with a 3rd alternate and requested Projects documents were requested of Subject on 06/25/2014.
 - Subject was unable to provide documents, plans, etc. for any of the projects chosen by the FEMC Consultant because Subject did not complete any of those projects.
 - Subject explained that the list he submitted to FBPE of his projects was his client list and he did not complete or even begin all of the projects listed.
 - Subject has been given an extension of time until 07/15/2014 to submit a new list of all completed projects for Consultant to review.
 - Subject submitted a new Projects List to FBPE on July 14, 2014 that contained only completed, signed, and sealed projects. That Projects List was forwarded to R. Jeffery that same date.
 - FEMC Consultant, Jeffery, chose 2 projects on July 15, 2014; and that information was forwarded to Subject, via e-mail, July 16, 2014.
 - 07/21/2014 – Subject sent in the documents for the 2 projects chosen by Mr. Jeffery.
 - 09/20/2014 – Consultant returned favorable reports for both projects
- W. Keith McCulley, P.E. will submit quarterly reports to the Board on Respondent’s well-being and his ability to practice and conduct his engineering business.
 - Mr. McCully has submitted 6 of these reviews – all favorable – and is current.
- Subject shall participate in the Freedom Journey Program with Faith Farm Ministries, which shall submit quarterly reports to the FBPE on Subject’s rehabilitative progress; and, further, shall immediately inform the FBPE of any issues that may arise with Subject’s treatment.
 - Pastor Ball has submitted 6 of these reviews – all favorable – and is current.

SUBJECT IS IN COMPLIANCE

B3c – Final Order Compliance Report Summary For the Third Quarter of Fiscal Year 2014/2015 FEMC

This report is a summary of current cases with Final Orders imposing probations, restrictions, and additional penalties along with pending due dates and current statuses and activity.

Powell, Johnathan C. – Case No: 2014014129 (Final Order Filed with DBPR on 12/10/2014)

- Costs: \$585.25 – PAID: 12/29/2014
- Probation: 2 years from date of Final Order in Alabama to run concurrent with Probation in Alabama – DEADLINE: 04/17/2015

SUBJECT IS IN COMPLIANCE

Seckinger, Peter – Case No: 2014004225(Final Order Filed with DBPR on 10/15/2014)

- Costs: \$473.98 – PAID: 11/15/2014
- FINE: \$1,000.00 – PAID: 11/15/2014
- Probation – Completion date: 11/10/2016

SUBJECT IS IN COMPLIANCE

Stitgen, Douglas – Case No: 2009050310 (Final Order Filed with DBPR on 02/29/2012)

- Professionalism & Ethics Course. COMPLETED: 04/03/2013.
- Costs \$1,073.00. PAID: 03/18/2012.
- Fine: \$1,000.00. PAID: 03/28/2012.
- Study Guide. COMPLETED: 03/21/2013.
- 6 month project review set for 7/29/2012.
 - 10/04/2012 – Sent Consultant plans/check.
 - 03/22/2013 – Sent additional information to Consultant.
 - Provided entire Projects Review documents to another FEMC Consultant for review of what was already reviewed by the previous Consultant.
- Probation ends 02/29/2014.

SUBJECT IS NOT IN COMPLIANCE – DUE TO A COMPLAINT FILED ON THE ONE ADDITIONAL PROJECT SUBJECT PROVIDED TO FEMC CONSULTANT FOR REVIEW. A NEW CASE HAS BEEN OPENED AGAINST THIS SUBJECT REGARDING THIS ISSUE AND IT IS UNDER INVESTIGATION

Tajmir-Davis & Associates, Inc. – Case No: 2013049674 (Final Order Filed with DBPR on 10/15/2014)

- Costs: \$370.50 – PAID: 03/03/2015
- Fine: \$5,000.00 – PAID: 03/03/2015
- Probation – Completion date: 10/15/2016

SUBJECT IS IN COMPLIANCE

B3c – Final Order Compliance Report Summary For the Third Quarter of Fiscal Year 2014/2015 FEMC

This report is a summary of current cases with Final Orders imposing probations, restrictions, and additional penalties along with pending due dates and current statuses and activity.

Turzak, Oliver – Case No: 2011032162 (Final Ordered Filed with DBPR on 06/24/2014)

- Subject's Professional Engineer license is SUSPENDED for one year – 06/24/2015.
- Probation for 2 years to begin after suspension is fulfilled: 06/24/2017.
- Costs \$7,162.32 – at the conclusion of the Probation: 06/24/2017
- Fine \$2,000.00 – DUE: 07/24/2014.
 - 10/09/2014 – Subject granted an extension of time in which to pay the fine – until 02/27/2015 – Subject has not paid this fine as of 03/18/2015.
 - Study Guide – DUE: 07/24/2014
 - ✓ Subject was initially set up in the Support Study Guide database on 07/27/2014, due to not having an e-mail for Subject until that date.
 - ✓ Subject was, again, set up in the Support Study Guide database on 11/21/2014 due to Subject's failure to complete the Study Guide and in an abundance of caution, just in case something had gone wrong.
 - ✓ Subject has not successfully completed the Study Guide as of 03/18/2015.
- Probation for 2 years until: 06/24/2017.

SUBJECT IS NOT IN COMPLIANCE AND THIS CASE HAS BEEN GIVEN TO THE FEMC INVESTIGATOR TO OPEN A NEW COMPLAINT AGAINST SUBJECT FOR FAILURE TO COMPLY WITH FINAL ORDER

Williams, Allan, P.E. – Case No: 2013019830 (Final Order Filed with DBPR on 11/04/2014)
and

ABW Engineering – Case No: 2013019832 (Final Order Filed with DBPR on 11/04/2014)

These two cases were combined and treated as one.

- Cost: \$1,292.15 – DUE: 11/04/2016
- Fine: \$5,000.00 – DUE: 11/04/2016

SUBJECT IS IN COMPLIANCE

Wilson, Lawrence – Case No.: 2013011573 (Final Order Filed with DBPR on 02/18/2014)

- Costs \$1,387.00. PAID: 02/13/2014.
- Fine \$1,000.00. PAID: 02/13/2014.
- Study Guide COMPLETED 03/12/2014.
- P&E Course – due to be completed by 02/18/2015.
- 6 Month Project Review list due here: 08/18/2014 new deadline: 02/18/2015
 - 07/05/2014 – Extension of time granted for 6 month project review.
 - 18 Month Project Review list due here: 02/18/2016.

THIS SUBJECT IS NOT IN COMPLIANCE

Zaleski, James – Case No.: 2013000391 (Final Order Filed with DBPR on 04/15/2014)

- Costs \$159.00. PAID: 05/05/2014.
- Fine \$1,000.00. PAID: 05/05/2014.
- Study Guide. COMPLETED 04/17/2014.

B3c – Final Order Compliance Report Summary For the Third Quarter of Fiscal Year 2014/2015 FEMC

This report is a summary of current cases with Final Orders imposing probations, restrictions, and additional penalties along with pending due dates and current statuses and activity.

- Advanced P&E Course – DUE: by 05/15/2015.

THIS SUBJECT IS IN COMPLIANCE

Section VIII. Deliverables

*B3d. Unlicensed Activity Case List for
Multiple DBPR License Owners*

**B3d. Unlicensed Cases from January 1, 2015 through
March 31, 2015 in which subjects hold other DBPR
licenses**

During the quarter from 1/1/15 – 3/31/15, FEMC received 6 cases alleging unlicensed activity. Of those 6 cases, 2 held another DBPR licenses.

The Subject in Complaint #2015005004 holds CGC059952.

The Subject in Complaint #2015011039 holds CGC1520487. (Please note that this complaint was closed as legally insufficient).

Section VIII. Deliverables

B4. Information Regarding the Failure of FEMC to Comply with Provisions of the Contract

B4. Information regarding the failure of FEMC to comply with any provision of the Contract.

FEMC was not in compliance with Section VI. Scope of Services, Section B. Licensure Services as it relates to providing licensure services. FEMC supplied the wrong license number to a professional engineer and to an engineering company. FEMC also provided a license number to an applicant but whose application was not approved in VERSA: Regulation, DBPR's licensure management system. FEMC has been in contact with the contract monitor regarding this matter and steps have been taken to correct the errors. The corrective action plan supplied in this Quarterly Report of 2014-2015 is in Section B6.

Section VIII. Deliverables

B5. Non-Prevailing Party Case List

B5. A list of all legal cases where FEMC has not been the prevailing party. The list must include copies of final orders and specific cases where attorney fees have been awarded.

There have been no cases in the Third Quarter of Fiscal Year 2014/2015 in which FEMC has not been the prevailing party; as such, no attorney fees have been awarded.

Section VIII. Deliverables

B6. Corrective Action Plan

B6. Corrective plans for any deficiencies noted in the Quarterly Report.

FEMC was not in compliance with Section VI. Scope of Services, Section B. Licensure Services as it relates to providing licensure services. FEMC supplied the wrong license number to a professional engineer and to an engineering company. FEMC also provided a license number to an applicant but whose application was not approved in VERSA: Regulation, DBPR's licensure management system. FEMC has been in contact with the contract monitor regarding this matter and steps have been taken to correct the errors. Below is a summary of the incidents and the steps FEMC took to make sure that this does not happen in the future.

The issues are as follows:

Incident #1:

On 12/17/14, the Executive Director (Zana Raybon) approved the NCEES applications for Stephen Butler and James Campbell in Laserfiche. The program routed the applications back to Ms. Coleman in Laserfiche for issuance of their license number and for final storage processing.

On 12/22/14, Ms. Coleman approved the application in Versa Regulation for Mr. Campbell and a license number was issued for Mr. Campbell (78375).

On 12/22/14, according to Laserfiche, Ms. Coleman entered PE Numbers in the Laserfiche metadata for both Mr. Butler (78375) and Mr. Campbell (78375) and sent the files to storage processing. Laserfiche issued an automatic email to each applicant with their license number, which was wrong for Mr. Butler since it was a duplicate number.

On 3/20/15, Mr. Butler contacted our office inquiring as to why the public portal showed his "application in process" when he was issued a PE Number in December 2014.

On 3/20/15, Ms. Sammons checked Versa Regulation and the application for Mr. Butler was still open and had not yet been approved in Versa but he had been issued a PE number according to Laserfiche.

Incident #2:

On 3/11/15, Ms. Coleman sent the application file of Mr. Hector Acosta to Ms. Raybon for her approval.

On 3/13/15, Ms. Coleman sent the application file of Ms. Michele Cerveny to Ms. Raybon for her approval.

On 3/18/15, Ms. Raybon approved the NCEES applications for Mr. Hector Acosta and Ms. Cervený in Laserfiche. The program routed the applications back to Ms. Coleman in Laserfiche for issuance of their license number and for final storage processing.

On 3/19/15, Ms. Coleman approved the applications in Versa Regulation for Mr. Acosta and Ms. Cervený and Versa Regulation issued PE numbers for Mr. Acosta (78902) and Ms. Cervený (78890).

On 3/19/15, according to Laserfiche, Ms. Coleman entered PE numbers in Laserfiche metadata for both Mr. Acosta (78890) and Ms. Cervený (78890) and sent the files to storage processing. Laserfiche issued an automatic email to each applicant with their license number, which was wrong for Mr. Acosta since it was a duplicate number.

Incident #3:

On 12/29/14, Ms. Coleman sent the application file of Fulghum, MacIndoe & Associates, Inc. to Ms. Raybon for her approval.

On 1/28/15, Ms. Raybon approved the Certificate of Authorization application for Fulghum, MacIndoe & Associates, Inc. in Laserfiche. The program routed the application back to Ms. Coleman in Laserfiche for issuance of their license number and for final storage processing.

On 1/30/15, Ms. Coleman approved the application in Versa Regulation for Fulghum, MacIndoe & Associates, Inc. and Versa Regulation issued the CA number for Fulghum, MacIndoe & Associates, Inc. (31001).

On 1/30/15, according to Laserfiche, Ms. Coleman entered the CA number in Laserfiche metadata for Fulghum, MacIndoe & Associates, Inc. (310001), which has an additional digit) and sent the file to storage processing. The program issued an automatic email to the applicant with their license number, which was a wrong number due to a staff error when it was entered into Laserfiche.

FEMC sent letters to the individuals/companies involved in these incidents. Furthermore, FEMC has now implanted an auditing procedure in Laserfiche that will send all approved applications for license to an auditing folder, which then will be audited by controller assistant in order to verify that the license number in Laserfiche matches the license number in Versa prior to an email being sent to the applicant with the newly assigned license number. FEMC believes this will add an additional safeguard to the process as the controller assistant is not in the licensing section and will ensure that we do not send an email with a duplicate or wrong license number.

As a result of these errors and other work related issues, Ms. Coleman's employment was terminated on March 23, 2015.

Section VIII. Deliverables

B7. Expenditures and Cash Balance Report

FLORIDA ENGINEERS MANAGEMENT CORP
MARCH 31, 2015

The carrying amount of FEMC's cash deposits was \$312,616.39 and the bank balance was \$315,261.76.

FLORIDA ENGINEERS MANAGEMENT CORP
CUMULATIVE DISBURSEMENTS
March 31, 2015

Accrual Basis

Unaudited		Preliminary	Preliminary	Preliminary	Preliminary	Preliminary	Preliminary	Preliminary	Preliminary	Preliminary	Preliminary	Preliminary
DISBURSEMENTS	Approved Budget	Report of	Report of	Report of	Report of	Report of	Report of	Report of	Report of	Report of	Report of	Report of
FBPE Grants and Aid	07/01/14-06/30/15	Expenses	Expenses	Expenses	Expenses	Expenses	Expenses	Expenses	Expenses	Expenses	Expenses	Expenses
		July-14	August-14	September-14	October-14	November-14	December-14	January-15	February-15	March-15	YTD Total	
Salary & Expenses	\$ 1,434,364.00	\$ 112,419.78	\$ 65,125.86	\$ 74,110.36	\$ 132,930.21	\$ 72,907.56	\$ 94,275.13	\$ 88,121.43	\$ 76,970.47	\$ 126,126.29	\$ 842,987.09	
Other Personnel Services(OPS)	\$ 10,000.00	\$ -	\$ -		\$ 1,048.00	\$ 851.50					\$ 1,899.50	
Consultants												
Prosecution/Enforcement	\$ 146,000.00	\$ 4,701.84	\$ 4,201.34	\$ 13,976.19	\$ 13,751.65	\$ 8,125.00	\$ 20,795.05	\$ 15,651.19	\$ 8,475.00	\$ 18,435.00	\$ 108,112.26	
Court Reporters	\$ 5,000.00	\$ 240.00	\$ 532.40	\$ 240.00	\$ 390.00	\$ 160.00	\$ 160.00	\$ 160.00	\$ 365.00	\$ 160.00	\$ 2,407.40	
Computer Consultants	\$ 65,000.00	\$ 4,634.73	\$ 4,100.95	\$ 4,000.70	\$ 29,153.58	\$ 2,768.67	\$ 3,194.18	\$ 3,419.70	\$ 2,889.00	\$ 3,331.97	\$ 57,493.48	
Human Resource Consultants	\$ 1,000.00	\$ -	\$ 500.00		\$ 500.00	\$ 780.00	\$ 500.00	\$ 200.00	\$ 200.00	\$ 200.00	\$ 2,880.00	
Accounting Services	\$ 30,000.00	\$ -	\$ 16,142.00		\$ 15,710.00					\$ 705.00	\$ 32,557.00	
FEMC General Counsel	\$ 10,000.00	\$ -	\$ -		\$ 450.00						\$ 450.00	
Contract Monitor	\$ 19,200.00	\$ -	\$ -								\$ -	
Employment/Training	\$ 10,000.00	\$ 295.45	\$ 50.00	\$ 927.00	\$ 1,148.14	\$ 414.00	\$ 2,027.89	\$ 721.31	\$ 213.00	\$ 1,320.00	\$ 7,116.79	
Publications	\$ 6,000.00	\$ (237.57)	\$ 227.30	\$ 660.37	\$ 1,061.69	\$ 1,727.83	\$ 1,169.58	\$ 476.74	\$ 476.74	\$ 488.11	\$ 6,050.79	
Insurance												
Directors & Officers	\$ 6,000.00	\$ 5,169.31	\$ -								\$ 5,169.31	
Commercial Liability	\$ 5,300.00	\$ 4,385.18	\$ -								\$ 4,385.18	
Professional Liability	\$ 9,310.00	\$ 7,867.66	\$ -								\$ 7,867.66	
Fidelity	\$ -	\$ 110.93	\$ -		\$ 109.50						\$ 220.43	
Workers Compensation	\$ 2,500.00	\$ 1,232.50	\$ -					\$ 1,239.50			\$ 2,472.00	
Public Information	\$ 8,000.00	\$ 9,898.23	\$ -			\$ 27.25		\$ 485.45		\$ 1,649.61	\$ 12,060.54	
Board Member Honorarium	\$ 10,000.00	\$ 800.00	\$ 1,100.00	\$ 150.00	\$ 1,300.00	\$ 400.00	\$ 900.00	\$ 550.00	\$ 900.00	\$ 550.00	\$ 6,650.00	
Furniture and Equipment *	\$ 1,000.00	\$ -	\$ -					\$ -		\$ 2,194.17	\$ 2,194.17	
Computers and Software *	\$ -	\$ 4,625.00	\$ -				\$ 2,055.94	\$ -	\$ 31,048.05	\$ 9,366.37	\$ 47,095.36	
Study Guide	\$ 1,000.00	\$ -	\$ -	\$ 600.00							\$ 600.00	
Copying & Printing	\$ 15,000.00	\$ 244.02	\$ 1,086.64	1657.71	\$ 944.64	\$ 1,934.26	\$ 1,021.41	\$ 1,218.02	\$ 2,767.90	\$ 4,555.81	\$ 15,430.41	
Office Supplies	\$ 20,000.00	\$ 9,596.62	\$ 1,375.25	(2.21)	\$ 1,051.42	\$ 793.82	\$ 2,612.61	\$ 1,040.12	\$ 1,454.72	\$ 3,969.51	\$ 21,891.86	
Leased Equipment & Repair	\$ 28,500.00	\$ 2,618.33	\$ 2,749.50	\$ 1,745.50	\$ 2,663.00	\$ 1,889.00	\$ 2,622.13	\$ 1,119.00	\$ 1,544.00	\$ 3,088.00	\$ 20,038.46	
Postage	\$ 10,000.00	\$ 19.99	\$ -		\$ 33.82	\$ 19.99	\$ 2,737.92	\$ 3,276.10	\$ 4,529.80	\$ 1,522.38	\$ 12,140.00	
Office Space											\$ -	
Lease Payment	\$ 116,751.00	\$ 19,596.20	\$ 9,798.10	\$ 10,084.55	\$ 9,798.10	\$ -	\$ 10,371.00	\$ 10,084.55	\$ 10,084.55	\$ 10,084.55	\$ 89,901.60	
Utilities	\$ -	\$ -	\$ -								\$ -	
Janitorial	\$ 900.00	\$ 50.00	\$ 105.00	\$ 125.00	\$ -	\$ 1,150.00	\$ 50.00	\$ 50.00		\$ 100.00	\$ 1,630.00	
Pest Control	\$ 300.00	\$ -	\$ 75.00			\$ 75.00			\$ 75.00		\$ 225.00	
Security Monitoring	\$ 4,500.00	\$ 845.14	\$ -	\$ 387.57	\$ 387.57	\$ 775.14	\$ 387.57	\$ 387.57	\$ 387.57	\$ 446.57	\$ 4,004.70	
Moving Expenses	\$ -	\$ -	\$ -								\$ -	
Leasehold Improvements *	\$ 1,000.00	\$ -	\$ -					\$ -			\$ -	
Telephone												
Local Line Charge	\$ 7,500.00	\$ 451.48	713.49	\$ 711.46		\$ 1,423.33	\$ 713.26	\$ 719.18	\$ 711.49	\$ 711.49	\$ 6,155.18	
Long Distance	\$ 1,800.00	\$ 89.77	\$ 182.10	\$ 153.36		\$ 325.22	\$ 115.73	\$ 153.05	\$ 227.54	\$ 278.13	\$ 1,524.90	
Internet Access Charges	\$ 1,800.00	\$ 42.21	\$ 199.93	\$ 382.85	\$ 292.89	\$ 292.89	\$ 307.39	\$ 312.89	\$ 307.89	\$ 307.45	\$ 2,446.39	
Conference Calls	\$ 300.00	\$ 86.57	\$ 107.82	\$ 89.06	\$ 97.68	\$ 181.19	\$ 35.44	\$ 55.94	\$ 35.97	\$ 50.96	\$ 740.63	
Training - Board Members	\$ 750.00	\$ -									\$ -	
Travel												
Board/Committee Meetings	\$ 65,000.00	\$ 1,933.75	\$ 10,589.87	\$ 906.64	\$ 11,771.70	\$ 3,350.03	\$ 4,100.33	\$ 4,482.28	\$ 4,202.17	\$ 9,966.52	\$ 51,303.29	
Investigation/Prosecution	\$ 5,000.00	\$ -	\$ -			\$ 73.47			\$ 352.09		\$ 425.56	
NCEES Travel	\$ 12,000.00	\$ 2,076.00	\$ 1,035.80	\$ 4,880.72						\$ 1,827.60	\$ 9,820.12	
General Travel	\$ 2,000.00	\$ -	\$ 70.31	\$ 230.96		\$ 149.40	\$ (39.75)	\$ 7.12	\$ (1.50)		\$ 416.54	
Employee Training Travel	\$ 12,000.00	\$ 681.94	\$ 189.00	\$ 845.45	\$ 2,294.50	\$ 32.25	\$ 99.12	\$ 2,694.10	\$ 3.12	\$ 543.20	\$ 7,382.68	
Public Information Travel	\$ 10,000.00	\$ 114.00	\$ 413.40	\$ 749.56	\$ 140.35	\$ 1,605.06			\$ 15.93	\$ 131.99	\$ 3,170.29	
NCEES Dues	\$ 6,500.00	\$ -	\$ -					\$ 6,500.00			\$ 6,500.00	
Bad Debt Expense	\$ -								\$ 21,137.51		\$ 21,137.51	
Memberships/Registrations	\$ 4,000.00	\$ 3,550.00	\$ -	\$ 3,243.00		\$ 217.00	\$ 786.00	\$ 310.00	\$ 2,610.00	\$ 165.00	\$ 10,881.00	
Renewal Activities												
Printing Renewal Notices	\$ 5,600.00	\$ -	\$ -		\$ 5,209.00			\$ 1,249.00			\$ 6,458.00	
Mailing Renewal Notices	\$ 20,000.00	\$ -	\$ -		\$ 11,821.22			\$ 9,219.94	\$ 253.00		\$ 21,294.16	
Mailing Licenses/ID Cards	\$ 10,000.00	\$ -	\$ -					\$ 154.00	\$ 509.00		\$ 663.00	
Renewal OPS	\$ 25,000.00	\$ -	\$ -								\$ -	
Renewal Supplies	\$ 5,000.00	\$ 7,147.28	\$ -								\$ 7,147.28	
Legal Settlements	\$ -										\$ -	
FEMC Budget Total	\$ 2,170,875.00	\$ 205,286.34	\$ 120,671.06	\$ 120,855.80	\$ 244,058.66	\$ 102,448.86	\$ 150,997.93	\$ 153,904.18	\$ 172,390.01	\$ 202,784.68	\$ 1,473,397.52	

Section VIII. Deliverables

B8. Long Range Estimates of Revenue

B8. Long Range Estimates of Revenue

This was provided in the 2014-2015 1st Quarter Report as required by the contract.

Section VIII. Deliverables

*B9. Quarterly Licensure, Examination,
Complaint & Disciplinary Activity Report*

Total Renewals to date: (from 7/1/14)

32,370 Professional Engineers
4,683 Certificate of Authorizations

Applications:

Received:	1180
Approved:	439
Denied:	42

Licenses Issued:	25,921 PE
	4,118 CA

Average time (days) to Process Applications:	60.08
--	-------

Scheduled Candidates for April 2015 Exam

Principles and Practice:	
Scheduled:	455
Passed:	
Failed:	
No Show:	

Fundamentals of Engineering**:

Passed:	199
Failed:	112

** In January 2014, NCEES moved to Computer Based Testing for the Fundamentals Examination. NCEES has contracted with a third party vendor to administer the exam. FEMC now approves the applicant but FEMC has no way of knowing when the applicant schedules to take the exam. The applicant has 12 months to take the exam anywhere in the US. All FEMC is made aware of is who passes and who fails the examination. The exam windows are for only two months at a time with one month off (January and February were exam windows and March they were closed).

Q2 Statistics:¹
Third Quarter - Fiscal Year 2014 / 2015

Number of Complaints Received in 3rd Quarter of 2014 / 2015:..... 35

Activity on Licensed Complaints received in 3rd Quarter of 2014 / 2015:

Number of Licensed Activity Complaints..... 29
 Number of Complaints Deemed Legally Sufficient:..... 21
 Number of Complaints Deemed Legally Insufficient:..... 6
 Number of Complaints Still within 30 days of determination 2

Activity on Unlicensed Complaints received in 3rd Quarter of 2014 / 2015:

Number of Unlicensed Activity Complaints:..... 6
 Number of Legally Sufficient Activity Cases: 5
 Number of Legally Insufficient Activity Cases:..... 1
 Number of Complaints Still within 30 days of determination 0

Activity on all Licensed Complaints worked on in the 3rd Quarter of 2014 / 2015:

Number of all Licensed Complaints worked on 98
 Number Dismissed by Probable Cause: 15
 Number Determined to Have Probable Cause and Administrative Complaint filed:..... 10

Disciplinary Actions Taken on all pending Licensed cases by FBPE during 3rd Quarter of 2014 / 2015:

Number of Final Orders Filed per Settlement Stipulation:..... 1
 Number of Early Termination Orders due to completion of compliance obligations..... 0
 Number of Reinstatement of PE License due to completion of compliance obligations..... 0
 Certificates of Authorization Citations Issued: 1
 Number of Cases heard at DOAH 0
 Number of Recommended Orders Entered:..... 0
 Number of Final Orders adopting Recommended Order 0
 Informal Hearings: 1
 Defaults:..... 0
 Attorney Fees:..... 0
 Number referred to another agency 1

Disciplinary Actions Taken on all pending Unlicensed cases by FEMC during 3rd Quarter of 2014 / 2015:

Number of all Unlicensed Cases worked on 15
 Cease & Desist Agreements reached and resolved:..... 4
 Notice to Cease and Desist Issued 5
 Number still under investigation prior to issuing a Notice to Cease and Desist 1
 Number determined legally insufficient 3
 Cease & Desist with Administrative Complaints: 1
 Final Orders Issued and filed with DBPR:..... 1
 Number referred to another agency 0
 Certificates of Authorization Citations Issued: 0

Section XII Quarterly Compliance Report:

¹ For an explanation regarding legal sufficiency and legal insufficiency, please see (1) of the document entitled Quarterly Report in Compliance with Rule 61G15-37.001, F.A.C.

Q2 Statistics:¹
Third Quarter - Fiscal Year 2014 / 2015

FEMC shall provide a quarterly report to the Department of Business and Professional Regulation (“DBPR”) along with the report required in Section VIII, Expenditures, of this Agreement, which shall include the following:

A list and status of all complaints made during this agreement, a/k/a this Third Quarter of 2014 – 2015 (open and closed, licensed and unlicensed). Please See Tab “B3.”

¹ For an explanation regarding legal sufficiency and legal insufficiency, please see (1) of the document entitled Quarterly Report in Compliance with Rule 61G15-37.001, F.A.C.

Disciplinary Process Report for Third Quarter of 2014/2015 Fiscal Year

Licensed & Unlicensed Activity:

<u>Number of Complaints Received: 35</u>				
2015000978	2015003151	2015004777	2015009395	2015011311
2015001348	2015004163	2015005004	2015009328	2015011060
2015001630	2015003812	2015007060	2015009332	2015011700
2015002324	2015005249	2015009363	2015009340	2015012158
2015002910	2015004852	2015006915	2015009581	2015013655
2015002977	2015003814	2015008065	2015009584	2015013030
2015003144	2015003839	2015011039	2015011032	2015013697

Licensed Activity

Number of Licensed Complaints Received: 29	Number of Licensed Complaints Deemed Legally Sufficient: 21	Number of Licensed Complaints Deemed Legally Insufficient: 6	Number of Licensed Complaints still within 30 day determination timeframe: 2
2015000978	2015000978	2015002324	2015011060
2015001348	2015001348	2015003151	2015013030
2015001630	2015001630	2015004163	
2015002324	2015002910	2015004852	
2015002910	2015003144	2015007060	
2015003144	2015003839	2015008065	
2015003151	2015004777		
2015004163	2015009363		
2015004852	2015006915		
2015003839	2015009395		
2015004777	2015009328		
2015007060	2015009332		
2015009363	2015009340		
2015006915	2015009581		
2015008065	2015009584		
2015009395	2015011032		
2015009328	2015011311		
2015009332	2015011700		
2015009340	2015012158		
2015009581	2015013655		
2015009584	2015013697		
2015011032			
2015011311			
2015011060			
2015011700			
2015012158			
2015013655			

Disciplinary Process

Report for Third Quarter of 2014/2015 Fiscal Year

2015013030			
2015013697			

Unlicensed Activity:

Number of Unlicensed Complaints Received: 6	Number of Unlicensed Complaints Deemed Legally Sufficient: 5	Number of Unlicensed Complaints Deemed Legally Insufficient: 1	Number of Unlicensed Complaints still within 30 day determination time frame: 0
2015002977	2015002977	2015011039	
2015003812	2015003812		
2015005249	2015005249		
2015003814	2015003814		
2015005004	2015005004		
2015011039			

For an explanation regarding legal sufficiency and legal insufficiency, please see (1) of the document entitled Quarterly Report in Compliance with Rule 61G15-37.001, Florida Administrative Code.