

Florida Engineers Management Corporation

2nd Quarter Report

2015-2016



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FLORIDA ENGINEERS MANAGEMENT CORPORATION Quarterly Report in Compliance with Sections IV Deliverables

Second Quarter 2015-2016

Section VIII Deliverables, B. Quarterly Reports – Requirements of Contract for Quarterly Report

- B1. The status of the performance standards adopted by Rule 61G15-37.001, Florida Administrative Code.
- B2. Compliance with priority referrals from the Contract Monitor, per Section IV, Contract Monitor, of the Agreement.
- B3. Information related to disciplinary actions.
 - a. A list and status of all complaints made during this agreement (open and closed, licensed and unlicensed). Status information should include:
 - The name of the complainant;
 - The name and license number of subject;
 - The date of the complaint;
 - The alleged violation;
 - The last action taken; and
 - The next appropriate action recommended.
 - b. A list of all cases FEMC closed as legally insufficient since the last report;
 - c. A list showing the status of compliance with all final orders with pending provisions;
 - d. A list of cases where the alleged violation is for unlicensed activity and the subject also holds a license with another profession within the department.
- B4. Information regarding the failure of FEMC to comply with any provisions of the contract.
- B5. A list of all legal cases where FEMC has not been the prevailing party. The list must include copies of final orders and specify cases where attorney fees have been awarded.
- B6. Corrective Plan as required by Section IV of the contract.
- B7. A detailed report of expenditures and cash balance.
- B8. Long Range Estimates of Revenue.

B9. Detailed information for the last quarter as required by contract:

- The number of license renewals;
- The number of license applications received;
- The number of licenses approved;
- The number of licenses denied;
- The number of licenses issued;
- The average time required to issue a license;
- The number of examinations administered;
- The number of applicants who passed the examination;
- The number of applicants who failed the examination;
- The number of complaints received;
- The number of complaints determined to be legally sufficient;
- The number of complaints dismissed;
- The number of complaints determined to have probable cause;
- The number of administrative complaints issued along with the status of the complaint; and
- The number and nature of disciplinary actions taken by the FBPE.

Section VIII. Deliverables

B1. Performance Standards

B1. Performance Standards

In order to facilitate efficient and cost effective regulation by the Florida Engineers Management Corporation ("FEMC"), the following performance standards and measurable outcomes are adopted:

- (1) FEMC shall make a determination of legal sufficiency within 30 days of receipt of a complaint.

In the Second Quarter of Fiscal Year 2015 / 2016, 25 total new complaints were received of which 20 were complaints against licensees and 5 were complaints against unlicensed individuals or entities. Of the new complaints, 20 licensed complaints were deemed legally sufficient, 3 licensed complaints were deemed legally insufficient, and 2 licensed complaints were undetermined at the end of the Second Quarter, as they were still within the 30 days of determination and were still in the initial review process. Of the new complaints, 5 unlicensed complaints were deemed legally sufficient, 0 unlicensed complaints were deemed legally insufficient, and 0 unlicensed complaint was undetermined at the end of the Second Quarter, due to being within the 30 days of determination and still in the initial review process. Determination of legal sufficiency was not made within 30 days of receipt for 2 files. While the initial determination of insufficiency was made within the legal section within the 30 day time period, the Executive Director did not approve the closure by legal insufficiency until after the 30 day time period had expired. This occurred due to legally insufficient cases being processed through an electronic format. While the program was built, there were bugs that needed to be worked out. One of those items was that the Executive Director was not notified by email that legally insufficient memos were awaiting her approval. Once it was discovered that the time period had lapsed and an error occurred, the program was modified to ensure that the Executive Director will receive email notification of all legally insufficient cases for approval at the time they are completed by the legal section.

- (2) Within fifteen days of receiving a complaint that is determined to be legally sufficient, FEMC shall furnish to the subject or the subject's attorney a copy of the complaint or document that resulted in the initiation of the investigation.

Of the 20 cases deemed legally sufficient, the subject (or subject's attorney) was notified and furnished a copy of the complaint, or, in the case of some unlicensed cases, furnished with a Cease and Desist Order resulting in 100% compliance with the Rule.

- (3) FEMC shall provide status reports to the Board regarding all outstanding disciplinary cases at every other regularly scheduled meeting of the Board. The status report shall include all legally sufficient disciplinary cases until entry of a final order by the Board. Upon entry of a final order, FEMC shall notify the licensee's employer of the action taken by the Board.

B1. Performance Standards

Status reports were submitted to the Board at the October and December 2015 FBPE meetings. As far as FEMC can reasonably ascertain the existence of an employer for Respondent, FEMC now has 100% compliance with that requirement.

- (4) FEMC shall refer to the Board any investigation or disciplinary proceeding not before the Division of Administrative Hearings pursuant to Chapter 120, Florida Statutes, or otherwise completed by FEMC within one year after the filing of a complaint.

Referenced in the previous quarterly report, at the end of the First Quarter of the fiscal year 2015 –2016, 19 cases were identified as being more than one year old; and, of those 19 cases, 3 have been resolved. As a result of aging, there are 0 additional cases that reached year old status during the Second Quarter of Fiscal Year 2015 / 2016 thus making a total of 16 cases which were more than one year old at the end of the Second Quarter for the 2015 / 2016 Fiscal Year.

- (5) FEMC shall notify the person who filed the complaint of the status of the investigation every six months, including whether probable cause has been found, when the case is on the agenda for consideration by the Board, and the status of any administrative proceeding or appeal.

FEMC is in 100% compliance with this section.

- (8) Upon receipt of an application for a license, FEMC shall examine the application and, within 30 days after such receipt, notify the applicant of any apparent errors or omissions and request any additional information FEMC is permitted by law to require.

Type of Application	Applications Received	Applications in compliance	Applications not in compliance with 30 day notice
Engineer Intern	235	235	
Continuing Education Providers	17	17	
Continuing Education Courses	6	6	
Professional Engineer	239	239	
Endorsement	349	349	
Certificate of Authorizations	122	122	

- (9) Every application for a license shall be approved or denied within 90 days after receipt of a completed application.

FEMC is in 100% compliance with the rule.

B1. Performance Standards

Applications Received and in 90 – Day Compliance	
October 2015	
Principles & Practice	184
Engineer Intern/Fundamentals	41
Continuing Education	1
Endorsements	175
Special Inspectors	3
Total	404
November 2015	
Principles & Practice	30
Engineer Intern/Fundamentals	34
Continuing Education	6
Endorsements	143
Special Inspectors	4
Total	217
December 2015	
Principles & Practice	18
Engineer Intern/Fundamentals	43
Continuing Education	3
Endorsements	145
Special Inspectors	2
Total	211
Grand Total for quarter	832

(10) If an applicant seeks a license for an activity that is exempt from licensure, FEMC shall notify the applicant and return any tendered application fee within 30 days after receipt of the original application.

FEMC is in 100% compliance with this section due to the fact that it has not received any application for an exempt activity.

(11) FEMC shall maintain the Board’s web page and update the web page within 14 days of the date the updates go into effect. Administrative complaints shall be posted no later than 30 days after the recommendation by the probable cause panel. All active disciplinary cases shall be posted on the web page, including the final action taken by the Board until the terms of the final order are completed or until the licensee becomes inactive, retires, relinquishes the license or permits the license to become null and void.

FEMC is in 100% compliance with this section.

Section VIII. Deliverables

B2. Priority Referrals Compliance

B2. Priority Referrals

During the second quarter of 2015-2016, there was one priority referral from the Department of Business and Professional Regulation requesting the number of ULA complaints that FEMC has had over the last 5 years.

Section VIII. Deliverables

B3a. Complaint Status List

B3a. – COMPLAINTS STATUS LIST FOR ALL CASES WORKED ON DURING 2nd QUARTER OF 2015 / 2016 FISCAL YEAR

Case Number	Complainant Name	Name of Subject; License No. of Subject	Alleged Violation	Last Action Taken	Next Action Recommended
2013009998	Gibson, Donald Hayden	Cardona, Alberto G. P.E. – 17138	Possible violation of FS 471.033(1)(g), engaging in fraud or deceit, incompetence, or misconduct in the practice of engineering.	SETTLEMENT REACHED	Scheduled for February 2016 Board Presentation
2013011567	Anonymous	Wood, Leonard G. P.E. – 47377	Possible violation of FS 471.033(1)(g), engaging in fraud or deceit, incompetence, or misconduct in the practice of engineering.	CLOSED WITH FINAL ORDER	NO FURTHER ACTION REQUIRED
2013029125	FEMC	Goel, Ram Autar P.E. – 47431	Possible violation of FS 471.033(1)(g), engaging in fraud or deceit, incompetence, or misconduct in the practice of engineering.	SETTLEMENT REACHED	Scheduled for February 2016 Board Presentation
2013033149	FEMC	Gavarrete, Gilberto P.E. – 51371	Possible violation of FS 471.033(1)(g), engaging in fraud or deceit, incompetence, or misconduct in the practice of engineering.	CLOSED WITH FINAL ORDER	NO FURTHER ACTION REQUIRED
2014004347	Schuchmann, Jonathon T.	Robinson, Michael Alan P.E. – 28317	Possible violation of FS 471.033(1)(g), engaging in fraud or deceit, incompetence, or misconduct in the practice of engineering.	CLOSED WITH FINAL ORDER	NO FURTHER ACTION REQUIRED
2014004705	Johnson, Ronald	Wilson, Ronald H. P.E. – 9710	Possible violation of FS 471.033(1)(j), Affixing or permitting to be affixed his or her seal, name, or digital signature to any final drawings, specifications, plans, reports, or documents that were not prepared by him or her or under his or her responsible supervision, direction, or control. Possible violation of FS 471.033(1)(g), engaging in fraud or deceit, incompetence, or misconduct in the practice of engineering.	CLOSED WITH FINAL ORDER	NO FURTHER ACTION REQUIRED
2014009216	FEMC	Salsbury, Robert A. P.E. – 49852	Possible violation of FS 471.023, offering engineering services without a Certificate of Authorization.	Amended Petition for Formal and Evidentiary Hearing filed	Negotiations

B3a. – COMPLAINTS STATUS LIST FOR ALL CASES WORKED ON DURING 2nd QUARTER OF 2015 / 2016 FISCAL YEAR

Case Number	Complainant Name	Name of Subject; License No. of Subject	Alleged Violation	Last Action Taken	Next Action Recommended
2014017182	Blanco, Orlando Luis	Messulam, Aldo J. P.E. – 12326	Possible violation of FS 471.033(1)(g) and 455.227(1)(a), (m) – Fraud, deceit, negligence, incompetence or misconduct in the practice of engineering. And Possible violation of FS 455.227(1)(p) – Improper delegation of professional responsibilities	CLOSED WITH FINAL ORDER	NO FURTHER ACTION REQUIRED
2014023033	Guillermo, Merique	Cardona, Alberto G. P.E. – 17138	Possible violation of FS 471.033(1)(g) and 455.227(1)(a), (m) – Fraud, deceit, negligence, incompetence or misconduct	SETTLEMENT REACHED	Scheduled for February 2016 Board Presentation
2014031694	Modena, Roberta	Mitchell, Charles C. P.E. – 11127	Possible violation of FS 471.033(1)(g) and 455.227(1)(a), (m) – Fraud, deceit, negligence, incompetence or misconduct	Petition for Formal and Evidentiary Hearing filed with FBPE	Negotiations
2014031178	Santoro, Al	De Stefano, Mark A. P.E. – 61657	Possible violation of FS 471.033(1)(g) and 455.227(1)(a), (m) – Fraud, deceit, negligence, incompetence or misconduct OR Possible violation of FS 471.033(1)(e), 455.227(1)(l) and Rule 61G15-19.001(7)(c), FAC – Knowingly making or filing a false report or record, failing to file a report or record required by law, impeding or obstructing such filing	SETTLEMENT REACHED	Set for February 2016 Board Presentation
2014038609	Dan Gargas	Wood, Leonard Geoffrey P.E. – 47377	Possible violation of FS 471.033(1)(g) and 455.227(1)(a), (m) – Fraud, deceit, negligence, incompetence or misconduct	CLOSED WITH FINAL ORDER	NO FURTHER ACTION REQUIRED
2014038613	Dan Gargas	Wood, Leonard Geoffrey P.E. – 47377	Possible violation of FS 471.033(1)(g) and 455.227(1)(a), (m) – Fraud, deceit, negligence, incompetence or misconduct	CLOSED WITH FINAL ORDER	NO FURTHER ACTION REQUIRED

B3a. – COMPLAINTS STATUS LIST FOR ALL CASES WORKED ON DURING 2nd QUARTER OF 2015 / 2016 FISCAL YEAR

Case Number	Complainant Name	Name of Subject; License No. of Subject	Alleged Violation	Last Action Taken	Next Action Recommended
2014038617	Dan Gargas	Wood, Leonard Geoffrey P.E. – 47377	Possible violation of FS 471.033(1)(g) and 455.227(1)(a), (m) – Fraud, deceit, negligence, incompetence or misconduct	CLOSED WITH FINAL ORDER	NO FURTHER ACTION REQUIRED
2014039501	Stephen Fred Kowkabany	Ferdelman, Joseph S. P.E. – 64571	Possible violation of FS 471.033(1)(g) and 455.227(1)(a), (m) – Fraud, deceit, negligence, incompetence or misconduct	CLOSED WITH FINAL ORDER	NO FURTHER ACTION REQUIRED
2014039867	Anonymous	National Cost, Inc. Unlicensed	Possible violation of FS 471.023 and Rule 61G15-19.001(3), FAC – Firm practicing without certificate of authorization	Administrative Complaint Filed – Awaiting EOR	Set for April 2016 Board Presentation
2014042285	Capion, Craig A.	DeSilva, David Anthony P.E. 54739	Possible violation of FS 471.033(1)(g) and 455.227(1)(a), (m) and Rule 61G15-19.001(4), FAC – Negligence	Closed with Final Order	NO FURTHER ACTION REQUIRED
2014045758	Bloom, Michael	Goel, Ram Autar P.E. – 47431	Possible violation of FS 471.033(1)(g) and 455.227(1)(a), (m) – Fraud, deceit, negligence, incompetence or misconduct	SETTLEMENT REACHED	Scheduled for February 2016 Board Presentation
2014046957	Hodges, David	Wood, Leonard Geoffrey P.E. – 47377	Possible violation of FS 471.033(1)(g) and 455.227(1)(a), (m) – Fraud, deceit, negligence, incompetence or misconduct	CLOSED WITH FINAL ORDER	NO FURTHER ACTION REQUIRED
2014049993	FBPE	Hakken, Joshua M. P.E. – 63999	Possible violation of FS 471.033(1)(d) and 455.227(1)(c) – Being convicted or found guilty of, or entering a plea of nolo contendere to a crime which relates to the practice or ability to practice	Administrative Complaint Filed - Returned EOR requesting Informal Hearing	Scheduled for February 2016 Board Presentation
2014050002	FBPE	Hakken, Sharyn P. P.E. – 65748	Possible violation of FS 471.033(1)(d) and 455.227(1)(c) – Being convicted or found guilty of, or entering a plea of nolo contendere to a crime which relates to the practice or ability to practice	CLOSED WITH FINAL ORDER	NO FURTHER ACTION REQUIRED

B3a. – COMPLAINTS STATUS LIST FOR ALL CASES WORKED ON DURING 2nd QUARTER OF 2015 / 2016 FISCAL YEAR

Case Number	Complainant Name	Name of Subject; License No. of Subject	Alleged Violation	Last Action Taken	Next Action Recommended
2014050099	John Farinelli	Holt, John D. P.E. – 15252	Possible violation of FS 471.033(1)(g) and 455.227(1)(a), (m) – Fraud, deceit, negligence, incompetence or misconduct and Possible violation of FS 471.033(1)(j) and Rule 61G15-19.001(6)(j), (q), FAC – Affixing or permitting to be affixed his or her seal, name, or digital signature to any documents that were not prepared by him or her or under his or her responsible supervision, direction or control	Prepare for Trial	Formal Hearing Scheduled Before the Division of Administrative Hearings January 25-26, 2016
2015000170	Michael S. Wilson	TTL Associates, Inc. C.A. – 28526 – is Null and Void Unlicensed	Possible violation of FS 471.023 and Rule 61G15-19.001(3), FAC – Firm practicing without certificate of authorization	CLOSED WITH A LETTER OF GUIDANCE	NO FURTHER ACTION REQUIRED
2015000978	FBPE	Northside Engineering Services, Inc. CA – 5936	Possible violation of FS 471.023 and Rule 61G15-19.001(3), FAC – Firm practicing without certificate of authorization	CLOSED WITH A LETTER OF GUIDANCE	NO FURTHER ACTION REQUIRED
2015001630	Leslie David Kramer	Smith, James J. P.E. – 57598	Possible violation of FS 471.033(1)(g) and 455.227(1)(a), (m) and Rule 61G15-19.001(6)(a)(b), FAC – Being untruthful, deceptive or misleading in any professional report, statement or testimony or omitting relevant and pertinent information from such report, statement or testimony when the result or such omission would or reasonably could lead to a fallacious conclusion	CLOSED WITH CLOSING ORDER	NO FURTHER ACTION REQUIRED

B3a. – COMPLAINTS STATUS LIST FOR ALL CASES WORKED ON DURING 2nd QUARTER OF 2015 / 2016 FISCAL YEAR

Case Number	Complainant Name	Name of Subject; License No. of Subject	Alleged Violation	Last Action Taken	Next Action Recommended
2015005249	Michael A. Ricketts	Hux, William F. Unlicensed	Possible violation of FS 471.031(1)(a), (b) – Practicing engineering without a license or using a name or title tending to indicate that such person holds an active license as an engineer	CLOSED – INFORMAL AGREEMENT REACHED due to NOTICE TO CEASE & DESIST ISSUED	NO FURTHER ACTION REQUIRED
2015003839	Ana G. Comptis	Cadavid, Rodrigo H. P.E. – 39415	Possible violation of FS 471.025(2) – Sealing any document after license has expired or been revoked or suspended, or failure to surrender seal if the license has been revoked or suspended	Administrative Complaint Filed	Await return of EOR
2015009363	FBPE	Capps, Andrew Blake P.E. – 47642	Possible violation of FS 471.033(1)(g) and 455.227(1)(a), (m) – Fraud, deceit, negligence, incompetence or misconduct	CLOSED WITH LETTER OF GUIDANCE	NO FURTHER ACTION REQUIRED
2015015700	REDACTED	REDACTED	Possible violation of FS 471.033(1)(g) and 455.227(1)(a), (m) – Fraud, deceit, negligence, incompetence or misconduct	Sent to Consultant for Review	Await Report from Consultant
2015018348	Pamela Morgan	Campbell, David O. P.E. – 47572	Possible violation of FS 471.033(1)(g) and 455.227(1)(a), (m) – Fraud, deceit, negligence, incompetence or misconduct	CLOSED WITH CLOSING ORDER	NO FURTHER ACTION REQUIRED
2015019772	FBPE	Turzak, Oliver J. P.E. – 18230	Allegations that Subject violated Final Order	EOR signed and Formal Hearing Requested Case closed at DOAH	Scheduled for February 11, 2016 Board Meeting
2015021498	Peter J. Fitzgerald	Alexander, Charles Thomas P.E. – 76422	Possible violation of FS 471.033(1)(c) and 455.227(1)(f) – Having a license to practice engineering acted against or denied by another jurisdiction	SETTLEMENT REACHED	Scheduled for April 2016 Board Presentation

B3a. – COMPLAINTS STATUS LIST FOR ALL CASES WORKED ON DURING 2nd QUARTER OF 2015 / 2016 FISCAL YEAR

Case Number	Complainant Name	Name of Subject; License No. of Subject	Alleged Violation	Last Action Taken	Next Action Recommended
2015024112	Robert Broussard	Lockhart, Lawrence Leslie, Jr. P.E. – 41649	Possible violation of FS 471.031(1)(f) and 455.227(1)(j) – Employing unlicensed persons to practice engineering or aiding, assisting, procuring, employing unlicensed practice or practice contrary to Chapter 455 or 471, FS	CLOSED – SUBJECT OF COMPLAINT DECEASED OR NON-EXISTENT	NO FURTHER ACTION REQUIRED
2015024416	REDACTED	REDACTED	Possible violation of FS 471.023 and Rule 61G15-19.001(3), FAC – Firm practicing without certificate of authorization	Investigation Complete	Set for January 12, 2016 PCP Meeting
2015024243	William Charles Bracken	Wood, Leonard Geoffrey P.E. – 47377	Possible violation of FS 471.033(1)(g) and 455.227(1)(a), (m) – Fraud, deceit, negligence, incompetence or misconduct	CLOSED WITH FINAL ORDER	NO FURTHER ACTION REQUIRED
2015029749	REDACTED	REDACTED	Possible violation of FS 471.033(1)(g) and 455.227(1)(a), (m) – Fraud, deceit, negligence, incompetence or misconduct	Received Opinion from Consultant	Scheduled for January 12, 2016 PCP Meeting
2015029758	David Hodges	Thompson, Michael Anthony P.E. – 47509	Possible violation of FS 471.033(1)(g) and 455.227(1)(a), (m) – Fraud, deceit, negligence, incompetence or misconduct	CLOSED WITH CLOSING ORDER	NO FURTHER ACTION REQUIRED
2015031030	James Hoffman	Darby, Steven Lee P.E. – 4519	Possible violation of FS 471.033(1)(g) and 455.227(1)(a), (m) – Fraud, deceit, negligence, incompetence or misconduct	CLOSED WITH CLOSING ORDER	NO FURTHER ACTION REQUIRED
2015030471	Ellen Schafer	Standrod, Marcus Brian	Possible violation of FS 471.031(1)(a), (b) – Practicing engineering without a license or using a name or title tending to indicate that such person holds an active license as an engineer	Investigation Complete NOTICE TO CEASE & DESIST ISSUED	Set for January 12, 2016 PCP Meeting
2015034920	James R. Schock	Bowen, David III UNLICENSED	Possible violation of FS 471.031(1)(c) – Presenting as his or her own the license of another	Administrative Complaint Filed NOTICE TO CEASE & DESIST ISSUED	Await return of EOR

B3a. – COMPLAINTS STATUS LIST FOR ALL CASES WORKED ON DURING 2nd QUARTER OF 2015 / 2016 FISCAL YEAR

Case Number	Complainant Name	Name of Subject; License No. of Subject	Alleged Violation	Last Action Taken	Next Action Recommended
2015036055	REDACTED	REDACTED	Possible violation of FS 471.033(1)(g) and 455.227(1)(a), (m) – Fraud, deceit, negligence, incompetence or misconduct	Sent to Consultant for Review	Await Receipt of Report from Consultant
2015036080	REDACTED	REDACTED	Possible violation of FS 471.033(1)(g) and 455.227(1)(a), (m) – Fraud, deceit, negligence, incompetence or misconduct	Sent to Consultant	Await Receipt of Report from Consultant
2015036195	REDACTED	REDACTED	Possible violation of FS 471.033(1)(g) and 455.227(1)(a), (m) – Fraud, deceit, negligence, incompetence or misconduct	Sent to Consultant for Review	Receive Opinion and proceed accordingly
2015039050	FBPE	Adams, Kevin Richard P.E. – 52421	Possible violation of FS 471.033(1)(c) and 455.227(1)(f) – Having a license to practice engineering acted against or denied by another jurisdiction	CLOSED WITH CLOSING ORDER	NO FURTHER ACTION REQUIRED
2015040314	FBPE	WLS Lighting Systems	Possible violation of FS 471.023 and Rule 61G15-19.001(3), FAC – Firm practicing without certificate of authorization	CLOSED – CEASE & DESIST AGREEMENT – INFORMAL due to NOTICE TO CEASE & DESIST ISSUED	NOTHING
2015040623	REDACTED	REDACTED	Possible violation of FS 471.033(1)(d) and 455.227(1)(c) – Being convicted or found guilty of, or entering a plea of nolo contendere to a crime which relates to the practice or ability to practice	Investigation Complete	Scheduled for January 12, 2015 PCP Meeting
2015040927	Robert Broussard	Lockhart., Lawrence Leslie, Jr. P.E. – 41649	Possible violation of FS 471.033(1)(f) and Rule 61G15-19.001(2), FAC – Fraudulent, false, deceptive or misleading advertising	CLOSED – SUBJECT OF COMPLAINT DECEASED OR NON-EXISTENT	NO FURTHER ACTION REQUIRED
2015041843	REDACTED	REDACTED	Possible violation of FS 471.025(1) – Failure to sign, seal or date documents.	Sent to Consultant for Review	Await return of Opinion from Consultant

B3a. – COMPLAINTS STATUS LIST FOR ALL CASES WORKED ON DURING 2nd QUARTER OF 2015 / 2016 FISCAL YEAR

Case Number	Complainant Name	Name of Subject; License No. of Subject	Alleged Violation	Last Action Taken	Next Action Recommended
2015042254	FBPE	Perko, Richard P.E. – 67928	Possible violation of FS 455.227(1)(h) – Attempting to obtain, obtaining, or renewing a license to practice a profession by bribery, by fraudulent misrepresentation, or through an error of the department or the board.	CLOSED WITH LETTER OF GUIDANCE	NO FURTHER ACTION REQUIRED
2015042259	REDACTED	REDACTED	Possible violation of FS 455.227(1)(h) – Attempting to obtain, obtaining, or renewing a license to practice a profession by bribery, by fraudulent misrepresentation, or through an error of the department or the board.	Investigation Completed	Set for January 12, 2016 PCP Meeting
2015042263	FBPE	Palasak, Joseph P.E. – 46373	Possible violation of FS 455.227(1)(h) – Attempting to obtain, obtaining, or renewing a license to practice a profession by bribery, by fraudulent misrepresentation, or through an error of the department or the board.	CLOSED WITH LETTER OF GUIDANCE	NO FURTHER ACTION REQUIRED
2015042265	FBPE	Kowatch, Justin Lee P.E. – 70434	Possible violation of FS 455.227(1)(h) – Attempting to obtain, obtaining, or renewing a license to practice a profession by bribery, by fraudulent misrepresentation, or through an error of the department or the board.	CLOSED WITH LETTER OF GUIDANCE	NO FURTHER ACTION REQUIRED
2015042302	REDACTED	REDACTED	Possible violation of FS 455.227(1)(h) – Attempting to obtain, obtaining, or renewing a license to practice a profession by bribery, by fraudulent misrepresentation, or through an error of the department or the board.	Investigation Completed	Set for January 12, 2016 PCP Meeting
2015042617	REDACTED	REDACTED	Possible violation of FS 471.025(1) – Failure to sign, seal or date documents.	Received Opinion from Consultant	Set for January 12, 2016 PCP Meeting
2015042849	REDACTED	REDACTED	Possible violation of FS 471.033(1)(c) and 455.227(1)(f) – Having a license to practice engineering acted against or denied by another jurisdiction	Investigation Concluded	Scheduled for January 12, 2016 PCP Meeting
2015042898	REDACTED	REDACTED	Possible violation of FS 471.033(1)(g) and 455.227(1)(a), (m) – Fraud, deceit, negligence, incompetence or misconduct	Sent to Consultant for Opinion	Await for Opinion and proceed Accordingly

B3a. – COMPLAINTS STATUS LIST FOR ALL CASES WORKED ON DURING 2nd QUARTER OF 2015 / 2016 FISCAL YEAR

Case Number	Complainant Name	Name of Subject; License No. of Subject	Alleged Violation	Last Action Taken	Next Action Recommended
2015042782	REDACTED	REDACTED	Possible violation of FS 471.031(1)(a), (b) – Practicing engineering without a license or using a name or title tending to indicate that such person holds an active license as an engineer	Under Investigation	Continue Investigation
2015042786	REDACTED	REDACTED	Possible violation of FS 471.031(1)(f) and 455.227(1)(j) – Employing unlicensed persons to practice engineering or aiding, assisting, procuring, employing unlicensed practice of practice contrary to Chapter 455 or 471, FS	Under Investigation	Continue Investigation
2015044271	FBPE	Sailors Engineering Associates UNLICENSED	Possible violation of FS 471.023 and Rule 61G15-19.001(3), FAC – Firm practicing without certificate of authorization	Under Investigation NOTICE TO CEASE & DESIST ISSUED	Continue Investigation
2015044275	REDACTED	REDACTED	Possible violation of FS 471.031(1)(f) and 455.227(1)(j) – Employing unlicensed persons to practice engineering or aiding, assisting, procuring, employing unlicensed practice of practice contrary to Chapter 455 or 471, FS	Under Investigation	Continue Investigation
2015044144	Teresa Lynn Toole	James, Alan Patrick UNLICENSED	Possible violation of FS 471.031(1)(a), (b) – Practicing engineering without a license or using a name or title tending to indicate that such person holds an active license as an engineer	CLOSED – INFORMAL AGREEMENT REACHED due to Notice to CEASE & DESIST ISSUED	NO FURTHER ACTION REQUIRED
2015044230	REDACTED	REDACTED	Possible violation of FS 471.033(1)(c) and 455.227(1)(f) – Having a license to practice engineering acted against or denied by another jurisdiction	Investigation Concluded	Set for January 12, 2016 PCP Meeting
2015045425	REDACTED	REDACTED	Possible violation of FS 471.033(1)(g) and 455.227(1)(a), (m) – Fraud, deceit, negligence, incompetence or misconduct	Under Investigation	Continue Investigation

B3a. – COMPLAINTS STATUS LIST FOR ALL CASES WORKED ON DURING 2nd QUARTER OF 2015 / 2016 FISCAL YEAR

Case Number	Complainant Name	Name of Subject; License No. of Subject	Alleged Violation	Last Action Taken	Next Action Recommended
2015045620	REDACTED	REDACTED	Possible violation of FS 471.033(1)(g) and 455.227(1)(a), (m) – Fraud, deceit, negligence, incompetence or misconduct	Under Investigation	Continue Investigation
2015045621	REDACTED	REDACTED	Possible violation of FS 471.033(1)(g) and 455.227(1)(a), (m) – Fraud, deceit, negligence, incompetence or misconduct	Under Investigation	Continue Investigation
2015045622	REDACTED	REDACTED	Possible violation of FS 471.033(1)(g) and 455.227(1)(a), (m) – Fraud, deceit, negligence, incompetence or misconduct	Under Investigation	Continue Investigation
2015045640	REDACTED	REDACTED	Possible violation of FS 471.033(1)(g) and 455.227(1)(a), (m) – Fraud or deceit	Sent to Consultant	Receive opinion from consultant and proceed accordingly
2015045726	REDACTED	REDACTED	Possible violation of FS 471.033(1)(g) and 455.227(1)(a), (m) – Fraud, deceit, negligence, incompetence or misconduct	Under Investigation	Continue Investigation
2015047286	REDACTED	REDACTED	Possible violation of FS 471.033(1)(c) and 455.227(1)(f) – Having a license to practice engineering acted against or denied by another jurisdiction	Under Investigation	Continue Investigation
2015047351	REDACTED	REDACTED	Possible violation of FS 471.033(1)(f) and Rule 61G15-19.001(2), FAC – Fraudulent, false, deceptive or misleading advertising	Under Investigation	Continue Investigation
2015047582	Juanita Lewis	Andreyev Engineering, Inc. C.A. – 7634	Possible violation of FS 471.033(1)(g) and 455.227(1)(a), (m) – Fraud or deceit	CLOSED LEGALLY INSUFFICIENT	NO FURTHER ACTION REQUIRED
2015047628	FBPE	Sinkholes, LLC UNLICENSED	Possible violation of FS 471.023 and Rule 61G15-19.001(3), FAC – Firm practicing without certificate of authorization	Under Investigation NOTICE TO CEASE & DESIST ISSUED	Continue Investigation

B3a. – COMPLAINTS STATUS LIST FOR ALL CASES WORKED ON DURING 2nd QUARTER OF 2015 / 2016 FISCAL YEAR

Case Number	Complainant Name	Name of Subject; License No. of Subject	Alleged Violation	Last Action Taken	Next Action Recommended
2015047629	Neville Smith	Earl, Jeffrey UNLICENSED	Possible violation of FS 471.031(1)(a), (b) – Practicing engineering without a license or using a name or title tending to indicate that such person holds an active license as an engineer	Under Investigation NOTICE TO CEASE & DESIST ISSUED	Continue Investigation
2015048800	REDACTED	REDACTED	Possible violation of FS 471.033(1)(g) and 455.227(1)(a), (m) – Fraud, deceit, negligence, incompetence or misconduct	Under Investigation	Continue Investigation
2015048853	REDACTED	REDACTED	Possible violation of FS 471.033(1)(g) and 455.227(1)(a), (m) – Fraud, deceit, negligence, incompetence or misconduct	Combined with Duplicate Complaint #2015032758	Continue Investigation
2015049441	James Smith	Mullin, Brian P. P.E. – 73985	Possible violation of FS 471.033(1)(g) and 455.227(1)(a), (m) – Fraud, deceit, negligence, incompetence or misconduct	CLOSED LEGALLY INSUFFICIENT	NO FURTHER ACTION REQUIRED
2015049989	Andrew DeSalvo	McDougal, Tyler Ben P.E. – 76049	Possible violation of FS 471.033(1)(g) and 455.227(1)(a), (m) – Fraud, deceit, negligence, incompetence or misconduct	CLOSED LEGALLY INSUFFICIENT	NO FURTHER ACTION REQUIRED
2015050388	David Tottle	Poulos, Jamie T. P.E. – 57568	Possible violation of FS 471.033(1)(g) and 455.227(1)(a), (m) – Fraud, deceit, negligence, incompetence or misconduct	CLOSED LEGALLY INSUFFICIENT	NO FURTHER ACTION REQUIRED
2015050230	Candice Munster	RHH Consulting & Engineering Group, LLC UNLICENSED	Possible violation of FS 471.023 and Rule 61G15-19.001(3), FAC – Firm practicing without certificate of	Under Investigation NOTICE TO CEASE & DESIST ISSUED	Continue Investigation
2015051003	REDACTED	REDACTED	Possible violation of FS 471.033(1)(g) and 455.227(1)(a), (m) – Fraud, deceit, negligence, incompetence or misconduct	Under Investigation	Continue Investigation

B3a. – COMPLAINTS STATUS LIST FOR ALL CASES WORKED ON DURING 2nd QUARTER OF 2015 / 2016 FISCAL YEAR

Case Number	Complainant Name	Name of Subject; License No. of Subject	Alleged Violation	Last Action Taken	Next Action Recommended
2015052640	REDACTED	REDACTED	Possible violation of FS 471.033(1)(k) and 455.227(1)(q) and Rule 61G15-19.001(6)(o), FAC – Violating any order of the board or department	Payment Received	Set for January 12, 2016 PCP Meeting
2015054097	REDACTED Donna June Ritter	REDACTED Tippens, James C., Jr. P.E. – 12217	Possible violation of FS 471.033(1)(g) and 455.227(1)(a), (m) – Fraud, deceit, negligence, incompetence or misconduct	Initial Review	Awaiting Preliminary Opinion from Consultant

Section VIII. Deliverables

B3b. Current FEMC Legally Insufficient Case List

B3b. List of all cases FEMC closed as legally insufficient since last report:

2015047582

2015049441

2015049989

2015050388

Section VIII. Deliverables

B3c. Final Order Compliance Report

B3c – Final Order Compliance Report Summary For the Second Quarter of Fiscal Year 2015/2016 FEMC

This report is a summary of current cases with Final Orders imposing probations, restrictions, and additional penalties along with pending due dates and current statuses and activity.

Bergsten, Jr., Harold F. – Case No: 2013021397 (Final Order Filed with DBPR on 02/18/2015)

- Costs: \$1,643 – PAID IN FULL by 04/22/2015 – via payment plan
- Professionalism and Ethics Course – DEADLINE: 02/18/2016
- Study Guide – DEADLINE: 02/18/2016
- Project Reviews
 - 6 months – Deadline : 08/18/2015
 - 18 Months – Deadline: 08/18/2016
- Probation – Conclusion: 02/18/2017

SUBJECT IS IN COMPLIANCE

Chewning, Roger – Case No: 2012003988 (Final Order Filed with DBPR on 11/04/2014)

- Costs: \$3,290.00 – PAID: 02/02/2015
- Fine: \$2,000.00 – PAID: 02/02/2015
- Professionalism and Ethics Course – DEADLINE: 11/04/2016
- Study Guide – DEADLINE: 11/04/2016
- Project Reviews
 - 6 months – Deadline : 05/04/2015
 - Unfavorable report to original projects review and all responses to review
 - Nov. 2015 PCP confirmed that Subject needs to comply with 18 month Projects Review Deadline.
 - 18 Months – Deadline: 05/04/2016
- Probation – Conclusion: 11/04/2016

SUBJECT IS IN COMPLIANCE

Denis, Faustin F. – Case No: 2012003988 (Final Order Filed with DBPR – 11/04/2014)

- Costs: \$380.25 – PAID: 05/12/2015
- Fine: \$1,000.00 – PAID: 05/12/2015
- Professionalism and Ethics Course – DEADLINE: 11/04/2016
- Study Guide – DEADLINE: 11/04/2016
- Probation – Conclusion: 11/04/2016

SUBJECT IS IN COMPLIANCE

Ford, Kim B. – Case No: 2014002866 (Final Order Filed with DBPR on 12/10/2014)

- Costs: \$1,600.00 – PAID: 12/10/2014
- Fine: \$500.00 – PAID: 12/10/2014
- Study Guide – COMPLETED: 01/10/2015
- P&E Course – DUE: 12/10/2015
- Project Review
 - 6 months due 06/10/2015

B3c – Final Order Compliance Report Summary For the Second Quarter of Fiscal Year 2015/2016 FEMC

This report is a summary of current cases with Final Orders imposing probations, restrictions, and additional penalties along with pending due dates and current statuses and activity.

- Unfavorable report to original projects review and all responses to report
- 18 month due 06/10/2016

SUBJECT IS IN COMPLIANCE

Gainer, Gergory D. – Case No: 2013021400 (Final Order Filed with DBPR on 04/13/2015)

- Costs: \$2,960.75. DUE: 10/13/2015.
- Fine: \$500.00. DUE: 10/13/2015.
- Study Guide; COMPLETED: 05/13/2015
- **RESTRICTED** from creating, producing, or certifying any engineering documents relating to electrical engineering, until such time that he takes and passes and submits proof of passing the NCEES ELECTRICAL ENGINEERING EXAM.

SUBJECT IS IN COMPLIANCE

Gawad, Amr T. – Case No: 2013048128 (Final Order Filed with DBPR on 02/16/2015)

- Costs - \$1,298.50 – PAID: 03/05/2015
- Fine - \$1,000.00 – PAID: 03/05/2015
- Engineering Professionalism & Ethics Course – DUE: 02/16/2016
- Study Guide – DUE: 03/18/2015 – COMPLETED: 03/06/2015

SUBJECT IS IN COMPLIANCE

Gaydosh, Stephen – Case No: 2012013872 (Final Order Filed with DBPR on 06/18/2014)

- Costs - \$8,072.97 – PAID: 07/21/2014
- Fine - \$4,000.00 – PAID: 07/21/2014
- Engineering Professionalism & Ethics Course – COMPLETED: 06/16/2015
- Study Guide – COMPLETED: 07/21/2014
- Probation – Two Years, until: 06/18/2016
- Project Reviews: for 4 different disciplines – Civil, Structural, Electrical/Mechanical/Plumbing
 - 6 months
 - ✓ Structural: Favorable Reports on both projects
 - ✓ Civil: reports not completed
 - ✓ Electrical/Mechanical/Plumbing – documents received and forwarded for review
 - 18 months – DEADLINE: 06/18/2015

SUBJECT IS NOT IN COMPLIANCE

Giangrande, Leo – Case No: 2014006723 (Final Order Filed with DBPR on 06/23/2015)

- Costs - \$1,981.00 – PAID: 07/17/2015
- Fine - \$1,000.000 – PAID: 07/17/2015
- Engineering Professionalism & Ethics Course – BASIC – DUE: 06/23/2016
- Study Guide – DUE: 07/23/2015

B3c – Final Order Compliance Report Summary For the Second Quarter of Fiscal Year 2015/2016 FEMC

This report is a summary of current cases with Final Orders imposing probations, restrictions, and additional penalties along with pending due dates and current statuses and activity.

SUBJECT IS IN COMPLIANCE

Gildart, David – Case No: 2012011280 (Final Order Filed with DBPR on 10/14/2013)

- Costs: \$3,255.75 – PAID 07/02/2014
- Professionalism and Ethics Course – deadline extended until 11/14/2015 due to extended illness.

SUBJECT IS IN COMPLIANCE

Kosinski, Joseph C. – Case No: 2014026742 (Final Order Filed with DBPR on 04/13/2015)

- Fine: \$2,000.00. PAID: 05/08/2015.
- Costs: \$4,361.25. PAID: 05/08/2015.
- Study Guide. COMPLETED: 04/30/2015.
- Advanced P&E Course: Deadline: 04/13/2016.
- Project review 6 & 18 Months due 10/13/2015:10/13/2016.
- Probation: 2 years from filing Final Order – 04/13/2017

- **SUBJECT IS IN COMPLIANCE**

Lewis, Matthew D. – Case No: 2014018435 (Final Order Filed with DBPR on 04/13/2015)

- Fine: \$1,000.00. PAID: 02/16/2015
- Costs: \$3,237.60. PAID: 02/16/2015.
- Study Guide. COMPLETED: 05/11/2015.
- Basic P&E Course: Deadline: 04/13/2016.
- Project review 6 & 18 Months due 10/13/2015:10/13/2016.
- Probation: 2 years from filing Final Order – 04/13/2017

- **SUBJECT IS IN COMPLIANCE**

Maslan, Stephen – Case No.: 2013026181 (Final Order Filed with DBPR on 04/29/2014)

- Costs: \$1,126.50. PAID: 12/18/2013 via internet
- Study Guide: COMPLETED 05/01/2014.
- Basic P&E Course: COMPLETED: 06/18/2014.
- Project Reviews:
 - 6 months: DUE: 10/29/2014 – the list was received timely, and sent to the FEMC Consultant for review and choosing of 2 projects on November 6, 2014. The consultant continues to work on this case.
 - Unfavorable review to original projects review and to all responses sent
 - 18 months: DUE: 10/29/2014
- Subject's License is restricted from practicing any Fire Protection engineering in the state of Florida unless he completes, passes, and submits proof of passing the NCEES Fire Protection Exam.

B3c – Final Order Compliance Report Summary For the Second Quarter of Fiscal Year 2015/2016 FEMC

This report is a summary of current cases with Final Orders imposing probations, restrictions, and additional penalties along with pending due dates and current statuses and activity.

SUBJECT IS IN COMPLIANCE

Mitchell, Stephen – Case No: 2013026181 – Final Order Date to reinstate licensure 3/7/2014

- Fine of \$2,000.00 due within 6 months of final order: 09/07/2014 PAID IN FULL
- STUDY GUIDE COMPLETED: 09/24/2013
- Project Reviews – two required – Subject has not completed any Florida Projects so Subject's PE License will remain on probation until such time as Subject completes Florida Projects and submits them for review by the Consultant. This is a very old Final Order (1995)
- Engineering Professionalism & Ethics Course – COMPLETED: 01/20/2015
- Probation Ends (if discipline is adhered to and successfully completed): 03/07/2016

SUBJECT IS IN COMPLIANCE

Mosby, Randall – Case No. 2011-028047 *Order on Reinstatement ("Order")* filed on September 13, 2013 (*Amended Order on Reinstatement* Filed – due to scrivener's error – with DBPR on 05/09/2014).

- License Reinstated as of August 15, 2013.
- License on Probation for two (2) years – 09/13/2015.
- Three (3) Project Reviews: At 3 months, 6 months, and 18 months, with the ability for early termination if both the 3 month and 6 month project reviews are favorable.
 - W. Keith McCully, P.E. to do the first project review at 3 months;
 - Subject received a favorable report on three civil engineering projects that Subject submitted directly to Mr. McCully for review. Board Consultant to do the 6 and 18 months reports.
 - Subject sent Project List for FEMC Consultant to choose 2 project.
 - FEMC Consultant returned favorable reports (on 09/20/2014) for both projects reviewed.
- W. Keith McCulley, P.E. will submit quarterly reports to the Board on Respondent's well-being and his ability to practice and conduct his engineering business.
 - Mr. McCully has submitted 7 of these reviews – all favorable – and is current.
- Subject shall participate in the Freedom Journey Program with Faith Farm Ministries, which shall submit quarterly reports to the FBPE on Subject's rehabilitative progress; and, further, shall immediately inform the FBPE of any issues that may arise with Subject's treatment.
 - Pastor Ball has submitted 6 of these reviews – all favorable – but the June report is overdue.

SUBJECT IS IN COMPLIANCE

Seckinger, Peter – Case No: 2014004225(Final Order Filed with DBPR on 10/15/2014)

B3c – Final Order Compliance Report Summary For the Second Quarter of Fiscal Year 2015/2016 FEMC

This report is a summary of current cases with Final Orders imposing probations, restrictions, and additional penalties along with pending due dates and current statuses and activity.

- Costs: \$473.98 – PAID: 11/15/2014
- FINE: \$1,000.00 – PAID: 11/15/2014
- Probation – Completion date: 11/10/2016

SUBJECT IS IN COMPLIANCE

Stitgen, Douglas – Case No: 2009050310 (Final Order Filed with DBPR on 02/29/2012)

- Professionalism & Ethics Course. COMPLETED: 04/03/2013.
- Costs \$1,073.00. PAID: 03/18/2012.
- Fine: \$1,000.00. PAID: 03/28/2012.
- Study Guide. COMPLETED: 03/21/2013.
- 6 month project review set for 7/29/2012.
 - 10/04/2012 – Sent Consultant plans/check.
 - 03/22/2013 – Sent additional information to Consultant.
 - Provided entire Projects Review documents to another FEMC Consultant for review of what was already reviewed by the previous Consultant.
- Probation ends 02/29/2014.

SUBJECT IS NOT IN COMPLIANCE – DUE TO A COMPLAINT FILED ON THE ONE ADDITIONAL PROJECT SUBJECT PROVIDED TO FEMC CONSULTANT FOR REVIEW. A NEW CASE HAS BEEN OPENED AGAINST THIS SUBJECT REGARDING THIS ISSUE AND IT IS UNDER INVESTIGATION

Tajmir-Davis & Associates, Inc. – Case No: 2013049674 (Final Order Filed with DBPR on 10/15/2014)

- Costs: \$370.50 – PAID: 03/03/2015
- Fine: \$5,000.00 – PAID: 03/03/2015
- Probation – Completion date: 10/15/2016

SUBJECT IS IN COMPLIANCE

Turzak, Oliver – Case No: 2011032162 (Final Ordered Filed with DBPR on 06/24/2014)

- Subject's Professional Engineer license is SUSPENDED for one year – 06/24/2015.
- Probation for 2 years to begin after suspension is fulfilled: 06/24/2017.
- Costs \$7,162.32 – at the conclusion of the Probation: 06/24/2017
- Fine \$2,000.00 – DUE: 07/24/2014.
 - 10/09/2014 – Subject granted an extension of time in which to pay the fine – until 02/27/2015 – Subject has not paid this fine as of 03/18/2015.
 - Study Guide – DUE: 07/24/2014
 - ✓ Subject was initially set up in the Support Study Guide database on 07/27/2014, due to not having an e-mail for Subject until that date.
 - ✓ Subject was, again, set up in the Support Study Guide database on 11/21/2014 due to Subject's failure to complete the Study Guide and in an abundance of caution, just in case something had gone wrong.

B3c – Final Order Compliance Report Summary For the Second Quarter of Fiscal Year 2015/2016 FEMC

This report is a summary of current cases with Final Orders imposing probations, restrictions, and additional penalties along with pending due dates and current statuses and activity.

- ✓ Subject has not successfully completed the Study Guide as of 03/18/2015.
- Probation for 2 years until: 06/24/2017.

SUBJECT IS NOT IN COMPLIANCE AN ADMINISTRATIVE COMPLAINT HAS BEEN OPENED AGAINST SUBJECT FOR FAILURE TO COMPLY WITH FINAL ORDER WHICH WILL BE RESOLVED AT THE FEBRUARY 2016 BOARD MEETING

Williams, Allan, P.E. – Case No: 2013019830 (Final Order Filed with DBPR on 11/04/2014)
and

ABW Engineering – Case No: 2013019832 (Final Order Filed with DBPR on 11/04/2014)

These two cases were combined and treated as one.

- Cost: \$1,292.15 – DUE: 11/04/2016
- Fine: \$5,000.00 – DUE: 11/04/2016

SUBJECT IS IN COMPLIANCE

Wilson, Lawrence – Case No.: 2013011573 (Final Order Filed with DBPR on 02/18/2014)

- Costs \$1,387.00. PAID: 02/13/2014.
- Fine \$1,000.00. PAID: 02/13/2014.
- Study Guide COMPLETED 03/12/2014.
- P&E Course – due to be completed by 02/18/2015.
- 6 Month and 18 Month Project Review
 - 07/05/2014 – extension of time granted for 6 month project review
 - No projects have been completed by Subject, therefore, subject's license is inactive.
- Subject did not renew his PE license in February 2015 – it appears that Subject may allow his PE to go null & void – monitoring until February 2017 in this regard

THIS SUBJECT IS NOT IN COMPLIANCE

Zaleski, James – Case No.: 2013000391 (Final Order Filed with DBPR on 04/15/2014)

- Costs \$159.00. PAID: 05/05/2014.
- Fine \$1,000.00. PAID: 05/05/2014.
- Study Guide. COMPLETED 04/17/2014.
- Advanced P&E Course – COMPLETED: 04/15/2015.
- Probation to extend to 04/15/2016
- If Subject commits a crime prior to 04/15/2019, his PE License will be suspended.

THIS SUBJECT IS IN COMPLIANCE

Section VIII. Deliverables

*B3d. Unlicensed Activity Case List for
Multiple DBPR License Owners*

B3d. Unlicensed Cases from October 1, 2015 through December 31, 2015 in which subjects hold other DBPR licenses

During the quarter from 10/1/15 – 12/31/15, FEMC received 5 cases alleging unlicensed activity. Of those 5 cases, 0 held another DBPR licenses.

Section VIII. Deliverables

B4. Information Regarding the Failure of FEMC to Comply with Provisions of the Contract

B4. Information regarding the failure of FEMC to comply with any provision of the Contract.

As far as FEMC is able to reasonably able to ascertain, it is in compliance with the current contract.

Section VIII. Deliverables

B5. Non-Prevailing Party Case List

B5. A list of all legal cases where FEMC has not been the prevailing party. The list must include copies of final orders and specific cases where attorney fees have been awarded.

There have been no cases in the Second Quarter of fiscal year 2015 / 2016 in which FEMC has not been the prevailing party; as such, no attorney fees have been awarded.

Section VIII. Deliverables

B6. Corrective Action Plan

B6. Corrective plans for any deficiencies noted in the Quarterly Report.

There were no deficiencies in the second quarter.

Section VIII. Deliverables

B7. Expenditures and Cash Balance Report

FLORIDA ENGINEERS MANAGEMENT CORP
December 31, 2015

The carrying amount of FEMC's cash deposits were \$298,970.16 and the bank balance was \$325,997.54

FLORIDA ENGINEERS MANAGEMENT CORP
 CUMULATIVE DISBURSEMENTS
 As of September 30, 2015

Accrual Basis

Unaudited

DISBURSEMENTS	Approved Budget (Revised) 07/01/15-06/30/16	Preliminary Report of Expenses July-15	Preliminary Report of Expenses August-15	Preliminary Report of Expenses September-15	Preliminary Report of Expenses October-15	Preliminary Report of Expenses November-15	Preliminary Report of Expenses December-15	Preliminary Report of Expenses YTD Total
FBPE Grants and Aid								
Salary & Expenses	\$ 1,308,595	\$ 57,282.19	\$ 72,440.77	\$ 98,787.85	\$ 107,887.17	\$ 73,342.73	\$ 106,201.19	\$ 515,941.90
Other Personnel Services(OPS)	\$ 5,000	\$ -	\$ -	\$ -				\$ -
Consultants								
Prosecution/Enforcement	\$ 167,500	\$ 13,531.25	\$ 10,001.80	\$ 8,375.00	\$ 11,665.40	\$ 8,562.50	\$ 12,500.00	\$ 64,635.95
Court Reporters	\$ 5,000	\$ 240.00	\$ 475.00	\$ 160.00	\$ 260.00	\$ 268.25	\$ 320.00	\$ 1,723.25
Computer Consultants	\$ 90,000	\$ 28,740.33	\$ 3,082.90	\$ 7,333.00	\$ 3,137.47	\$ 4,607.88	\$ 12,383.00	\$ 59,284.58
Human Resource Consultants	\$ 2,400	\$ 200.00	\$ 200.00	\$ 200.00	\$ 200.00	\$ 200.00	\$ 200.00	\$ 1,200.00
Accounting Services	\$ 35,000	\$ 14,530.00	\$ 7,170.00	\$ -	\$ 402.00	\$ 260.00	\$ 1,222.00	\$ 23,584.00
FEMC General Counsel	\$ 10,000	\$ -	\$ -	\$ -	\$ -	\$ 50.00	\$ -	\$ 50.00
Contract Monitor	\$ 19,200	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Employment/Training	\$ 12,000	\$ 975.00	\$ 293.00	\$ 851.94	\$ 1,887.20	\$ 655.00	\$ 986.25	\$ 5,648.39
Publications	\$ 6,500	\$ 1,265.01	\$ 737.11	\$ 745.05	\$ 3,352.61	\$ 890.59	\$ 500.59	\$ 7,490.96
Insurance								
Directors & Officers	\$ 6,500	\$ 5,999.58	\$ -	\$ -				\$ 5,999.58
Commercial Liability	\$ 5,300	\$ 4,616.46	\$ -	\$ -				\$ 4,616.46
Professional Liability	\$ 9,100	\$ 8,994.50	\$ -	\$ -				\$ 8,994.50
Fidelity	\$ 222	\$ 109.50	\$ -	\$ -				\$ 109.50
Workers Compensation	\$ 2,500	\$ 1,239.50	\$ -	\$ -				\$ 1,239.50
Public Information	\$ 15,000	\$ 43.20	\$ 160.00	\$ 200.00	\$ 60.00	\$ 35.70	\$ 3,135.41	\$ 3,634.31
Board Member Honorarium	\$ 10,500	\$ 900.00	\$ 1,750.00	\$ 550.00	\$ 1,300.00	\$ 250.00	\$ 1,150.00	\$ 5,900.00
Furniture and Equipment *	\$ 35,000	\$ -	\$ -	\$ -				\$ -
Computers and Software *	\$ 55,000	\$ -	\$ -	\$ -		\$ 2,555.64		\$ 2,555.64
Study Guide	\$ 1,000	\$ -	\$ -	\$ -	\$ 600.00			\$ 600.00
Copying & Printing	\$ 15,000	\$ 1,772.83	\$ 1,764.85	\$ 1,726.85	\$ 1,185.46	\$ 1,588.49	\$ 1,564.38	\$ 9,602.86
Office Supplies	\$ 25,000	\$ 11,539.92	\$ 596.58	\$ 516.44	\$ 1,288.84	\$ 923.17	\$ 359.00	\$ 15,223.95
Leased Equipment & Repair	\$ 29,000	\$ 2,834.99	\$ 1,544.00	\$ 1,544.00	\$ 2,663.00	\$ 1,544.00	\$ 2,413.04	\$ 12,543.03
Postage	\$ 12,000	\$ 400.00	\$ 2,000.00	\$ 2,021.59	\$ 23.99	\$ 1,000.00	\$ (23.99)	\$ 5,421.59
Office Space								
Lease Payment	\$ 123,670	\$ 10,084.55	\$ 10,084.55	\$ 10,084.59	\$ 10,379.59	\$ 10,379.59	\$ 10,379.59	\$ 61,392.46
Utilities	\$ -	\$ -	\$ -	\$ -				\$ -
Janitorial	\$ 1,500	\$ 112.00	\$ 55.00	\$ 50.00	\$ 50.00	\$ 843.40	\$ 54.00	\$ 1,164.40
Pest Control	\$ 450	\$ -	\$ 75.00	\$ -	\$ -	\$ 75.00	\$ -	\$ 150.00
Security Monitoring	\$ 5,350	\$ 414.43	\$ 413.54	\$ 413.54	\$ 413.54	\$ 413.54	\$ 413.54	\$ 2,482.13
Moving Expenses	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 475.00	\$ 475.00
Leasehold Improvements *	\$ 8,000	\$ -	\$ -	\$ -			\$ 2,104.00	\$ 2,104.00
Telephone								
Local Line Charge	\$ 8,400	\$ 706.00	\$ 704.34	\$ 704.34	\$ 703.99	\$ 704.25	\$ 704.25	\$ 4,227.17
Long Distance	\$ 2,500	\$ 207.64	\$ 177.15	\$ 100.92	\$ 143.42	\$ 147.82	\$ 116.31	\$ 893.26
Internet Access Charges	\$ 2,600	\$ 246.63	\$ 278.70	\$ 278.70	\$ 278.48	\$ 278.48	\$ 278.48	\$ 1,639.47
Conference Calls	\$ 1,250	\$ 58.57	\$ 59.72	\$ 49.25	\$ 52.23	\$ 39.60	\$ 41.77	\$ 301.14
Training - Board Members	\$ 750	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Travel								
Board/Committee Meetings	\$ 70,000	\$ 3,458.36	\$ 11,835.72	\$ 3,354.25	\$ 12,860.33	\$ 3,196.62	\$ 6,483.02	\$ 41,188.30
Investigation/Prosecution	\$ 7,500	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
NCEES Travel	\$ 12,000	\$ (178.49)	\$ 9,746.31	\$ -	\$ -	\$ -	\$ -	\$ 9,567.82
General Travel	\$ 1,088	\$ -	\$ -	\$ 83.10	\$ (74.57)	\$ (7.20)	\$ -	\$ 1.33
Employee Training Travel	\$ 12,000	\$ 1,609.64	\$ (67.20)	\$ 1,492.40	\$ 3,122.51	\$ 616.70	\$ 36.00	\$ 6,810.05
Public Information Travel	\$ 10,000	\$ 34.20	\$ 1,565.48	\$ 21.07	\$ 19.56	\$ -	\$ 35.60	\$ 1,675.91
NCEES Dues	\$ 6,500	\$ -	\$ -	\$ -				\$ -
Memberships/Registrations	\$ 15,000	\$ 1,915.00	\$ -	\$ -	\$ 140.00	\$ 515.00	\$ 490.00	\$ 3,060.00
Renewal Activities								
Printing Renewal Notices	\$ -	\$ -	\$ -	\$ -				\$ -
Mailing Renewal Notices	\$ -	\$ -	\$ -	\$ -				\$ -
Mailing Licenses/ID Cards	\$ -	\$ -	\$ -	\$ -				\$ -
Renewal OPS	\$ -	\$ -	\$ -	\$ -				\$ -
Renewal Supplies	\$ -	\$ -	\$ -	\$ -				\$ -
Legal Settlements	\$ -	\$ -	\$ -	\$ -				\$ -
FEMC Budget Total	\$ 2,170,875	\$ 173,882.79	\$ 137,144.32	\$ 139,643.88	\$ 164,002.22	\$ 113,936.75	\$ 164,522.43	\$ 893,132.39

Section VIII. Deliverables

B8. Long Range Estimates of Revenue

B8. Long Range Estimates of Revenue

This was provided in the 2015-2016 1st Quarter Report as required by the contract.

Section VIII. Deliverables

*B9. Quarterly Licensure, Examination,
Complaint & Disciplinary Activity Report*

B9. Quarterly Licensure, Examination, Complaint & Disciplinary Activity Report:

Number of Complaints Received in 2nd Quarter of 2015 / 2016:	<u>25</u>
Activity on Licensed Complaints received in 2nd Quarter of 2015 / 2016:	
Number of Licensed Complaints	<u>20</u>
Number of Complaints Deemed Legally Sufficient:.....	<u>14</u>
Number of Complaints Deemed Legally Insufficient:.....	<u>4</u>
Number of Complaints Still within 30 days of determination	<u>2</u>
Activity on Unlicensed Complaints received in 2nd Quarter of 2015 / 2016:	
Number of Unlicensed Complaints:	<u>5</u>
Number of Legally Sufficient Activity Cases:	<u>5</u>
Number of Legally Insufficient Activity Cases:.....	<u>0</u>
Number of Complaints Still within 30 days of determination	<u>0</u>
Activity on all Licensed Complaints worked on in the 2nd Quarter of 2015 / 2016:	
Number Dismissed by Probable Cause:.....	<u>9</u>
Number Determined to Have Probable Cause and Administrative Complaint filed:	<u>1</u>
Activity on all UnLicensed Complaints worked on in the 2nd Quarter of 2015 / 2016:	
Number Dismissed by Probable Cause:.....	<u>0</u>
Number Determined to Have Probable Cause and Administrative Complaint filed:	<u>3</u>
Disciplinary Actions Taken on all pending cases by FBPE during 2nd Quarter of 2015 / 2016:	
Number of Final Orders Filed per Settlement Stipulation:.....	<u>11</u>
Number of Early Termination Orders due to completion of compliance obligations.....	<u>0</u>
Number of Reinstatement of PE License due to completion of compliance obligations.....	<u>0</u>
Number of Final Orders adopting Recommended Order	<u>0</u>
Informal Hearings:	<u>0</u>
Defaults:	<u>0</u>
Attorney Fees:	<u>0</u>
Notice to Cease and Desist Issued.....	<u>5</u>
Cease & Desist Agreements reached and resolved:.....	<u>3</u>
Number referred to another agency.....	<u>0</u>
Citations Issued:	<u>0</u>
Citations Paid:.....	<u>1</u>

Section VIII, Deliverables, Section B:

FEMC shall provide a quarterly report to the Department along with the report required in Section XI, Funding, of this Agreement, which shall include the following:

A list and status of all complaints made during this agreement (open and closed, licensed and unlicensed). Please See Tab “B3.”

A list and status of all complaints made during this agreement, a/k/a this Second Quarter of 2015-2016 (open and closed, licensed and unlicensed). Please See Tab “B3.”

For an explanation regarding legal sufficiency and legal insufficiency, please see (1) of the document entitled Quarterly Report in Compliance with Rule 61G15-37.001, F.A.C.

Disciplinary Process

Licensed & Unlicensed Activity:

<u>Number of Complaints Received: 25</u>						
2015044271	2015044275	2015044144	2015045425	2015045620	2015045621	2015045622
2015045640	2015045726	2015047582	2015047628	2015047629	2015047286	2015047351
2015048800	2015048853	2015049441	2015049989	2015050388	2015050230	2015051003
2015052641	2015052732	2015052640	2015054097			

Licensed Activity

Number of Licensed Complaints Received: <u>20</u>	Number of Licensed Complaints Deemed Legally Sufficient: <u>14</u>	Number of Licensed Complaints Deemed Legally Insufficient: <u>4</u>	Number of Licensed Complaints still within 30 day determination time frame: <u>2</u>
2015044275	2015044275	2015047582	2015054097
2015045425	2015045425	2015049441	2015052732
2015045620	2015045620	2015049989	
2015045621	2015045621	2015050388	
2015045622	2015045622		
2015045640	2015045640		
2015045726	2015045726		
2015047286	2015047286		
2015047351	2015047351		
2015048800	2015048800		
2015048853	2015048853		
2015051003	2015051003		
2015052641	2015052641		
2015052640	2015052640		
2015047582			
2015049441			
2015049989			
2015050388			
2015054097			
2015052732			

Unlicensed Activity:

Number of Unlicensed Complaints Received: <u>5</u>	Number of Unlicensed Complaints Deemed Legally Sufficient: <u>5</u>	Number of Unlicensed Complaints Deemed Legally Insufficient: <u>0</u>	Number of Unlicensed Complaints still within 30 day determination time frame: <u>0</u>
2015044271	2015044271		
2015044144	2015044144		

Disciplinary Process

2015047628	2015047628		
2015047629	2015047629		
2015050230	2015050230		

For an explanation regarding legal sufficiency and legal insufficiency, please see (1) of the document entitled Quarterly Report in Compliance with Rule 61G15-37.001, Florida Administrative Code.